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12		
13	UNITED STATES DI FOR THE NORTHERN DIST	
14	SAN FRANCISC	CO DIVISION
15	SAISRAVAN BHARADWAJ KARRI,	Case No. 3:18-cv-03435-JD
16	Individually and on Behalf of All Others Similarly	
17	Situated,	LEAD PLAINTIFF'S NOTICE OF MOTION, MOTION FOR AN AWARD
18	Plaintiff,	OF ATTORNEYS' FEES AND EXPENSES AND AWARD TO CLASS
19	v. OCLARO, INC., MARISSA PETERSON,	REPRESENTATIVE PURSUANT TO 15 U.S.C. §78u-4(a)(4), AND
20	EDWARD COLLINS, GREG DOUGHERTY, KENDALL COWAN, DENISE HAYLOR, IAN	MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT
21	SMALL, BILL SMITH, and JOEL A. SMITH III,	THEREOF
22	Defendants.	Date: February 22, 2024
23 24		Time: 10:00 a.m. Courtroom: 11 – 19th Floor
24 25		Judge: Hon. James Donato
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NOTICE OF MOTION

2 || TO: ALL PARTIES AND THEIR ATTORNEYS OF RECORD

PLEASE TAKE NOTICE that on February 22, 2024, at 10:00 a.m., or as soon thereafter as
counsel may be heard before the Honorable James Donato, United States District Judge, at the United
States Courthouse, United States District Court, Northern District of California, 450 Golden Gate Ave.,
San Francisco, California, Lead Plaintiff Saisravan Bharadwaj Karri ("Plaintiff")¹ and his counsel,
Monteverde & Associates PC ("Lead Counsel" or the "Monteverde Firm"), will and hereby do move
for entry of an Order awarding attorneys' fees and providing for payment of litigation expenses and a
service award to Plaintiff.

10 This Motion is supported by the following Memorandum of Points and Authorities, the 11 accompanying Declaration of Juan E. Monteverde ("Monteverde Decl.") and the Exhibits thereto, the 12 previous filings in this case, and such other and further representations as may be made by counsel at 13 any hearing on this matter.

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STATEMENT OF ISSUES TO BE DECIDED

Whether the Court should approve as fair and reasonable Lead Counsel's application for
 attorneys' fees in the amount of one-third of the Settlement Amount, and reimbursement of expenses of
 \$397,680.55, plus all interest accrued thereon.

18 2. Whether the Court should award Plaintiff \$5,000 for his time and expenses incurred in
19 representing the Class.

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MEMORANDUM OF POINTS AND AUTHORITIES

$21 \parallel I$. INTRODUCTION

After more than four years of hard-fought litigation involving a minimally developed area of the law and a claim on which not a single case has gone to trial this century, Plaintiff secured a \$15,250,000 Settlement Amount for the Settlement Class in this Section 14(a) merger litigation. The difficulties of

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¹ All capitalized terms not defined herein have the same meanings as in the Stipulation of Settlement dated March 16, 2023, as modified by the Addendum to Stipulation of Settlement dated May 19, 2023. ECF Nos. 199-2 and 199-3.

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proving Section 14(a) damages coupled with an emerging and challenging body of law on these claims 2 are a testament to Lead Counsel's efforts and underscore the significance of the Settlement Amount.

3 Even if Plaintiff prevailed on liability, which was exceedingly difficult on the evidentiary record 4 and required challenging management's business decision to exit a business segment that reduced 5 revenues in the February Projections, loss causation presents challenges in this case that far exceed the 6 obstacles facing plaintiffs in more frequently litigated Rule 10b-5 cases seeking losses incurred during 7 a stock price drop. Here, Plaintiff's loss causation theory is that shareholders were misled into approving 8 an acquisition that undervalued Oclaro, notwithstanding the fact that the merger consideration 9 represented a 27% premium over Oclaro's stock price the day before the Merger. Although Plaintiff 10 believes his loss causation theory is valid under Supreme Court precedent,² several recent lower court 11 opinions have found that when shareholders receive a premium over the trading price it forecloses a 12 finding of economic loss, because the merger consideration is "greater than the market value or actual 13 value of the shares without consideration of the merger due to the existence of a merger premium." In 14 re Resolute Energy Corp. Sec. Litig., No. 19-77-RGA, 2021 U.S. Dist. LEXIS 19193, at *5, *10 (D. 15 Del. Feb. 1, 2021) (citing five other opinions in support of proposition). If this Court had agreed with 16 the reasoning in such cases, Plaintiff would have been unable to prove loss causation as a matter of law 17 and there would have been no recovery for the Settlement Class.

18 Nevertheless, through Lead Counsel's ingenuity, vigorous advocacy, skill and perseverance 19 despite significant impediments to recovery, Plaintiff secured proposed relief for the Settlement Class 20 that is not just in line with, but superior to, other § 14(a) "merger projection challenge" case settlements 21 involving companies with larger market caps and much higher stock prices. The Class will recover \$0.09 22 per share for Oclaro shares that were valued at \$8.36, compared to \$0.14 per share for a \$46 stock in In 23 re Envision Healthcare Corp., No. 18-1068-RGA (D. Del.) or \$0.40 per share for a \$111 stock in NECA-IBEW Pension Trust Fund v. Precision Castparts Corp., No. 16-cv-01756-YY (D. Or. 2021). 24

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Mills v. Elec. Auto-Lite Co., 396 U.S. 375, 389 (1970); Va. Bankshares v. Sandberg, 501 U.S. 1083, 1100 (1991); see also Baum v. Harman Int'l Indus., 575 F. Supp. 3d 289, 299 (D. Conn. 2021).

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1 In litigating this case, Lead Counsel expended substantial resources, including more than 7,782.9 2 hours in professional time and \$397,680.55 in expenses, without any assurance of recovery. As compensation for its efforts, Lead Counsel requests that the Court award attorneys' fees of one-third of 3 4 the Settlement Amount, plus the interest earned thereon. At present, a one-third fee of the \$15,250,000 5 Settlement Amount is \$5,083,333.33. While Lead Counsel notes that additional time will be spent in connection with seeking final approval and settlement administration, Lead Counsel's current 6 7 lodestar of \$5,460,580 already exceeds the fee request. Thus, Lead Counsel's attorneys' fee request 8 is less than the value of the time that the Monteverde Firm has expended in this case and represents 9 a negative multiplier to its lodestar.

10 Lead Counsel's fee request is fair and reasonable given its efforts on behalf of the Settlement 11 Class and the substantial risks undertaken by the Monteverde Firm in this Section 14(a) merger litigation. 12 See generally Monteverde Decl. Indeed, Lead Counsel conducted a thorough investigation, drafted 13 complaints, and ultimately defeated, in part, Defendants' initial motion to dismiss as well as Oclaro's 14 motion for judgment on the pleadings, conducted extensive fact discovery that included: (i) propounding 11 subpoenas; (ii) propounding and responding to multiple written discovery requests; (iii) reviewing in 15 16 excess of 400,000 pages of documents containing e-mails, board materials, financial data and projections, analyst reports, and other Merger related documentation; (iv) producing documents to 17 18 Defendants; (v) conducting 11 fact witness depositions; (vi) expert reports; (vii) conducting depositions 19 of each of the parties' experts; and (viii) securing affidavits from relevant third parties. In particular, 20 Plaintiff obtained an affidavit from Ford Tamer, CEO of Inphi, a bidder in the sales process, as well as 21 affidavits from Glass Lewis and ISS related to their processes in recommending mergers.

Moreover, Lead Counsel sought input from the Settlement Class by mailing surveys to approximately 2,000 potential Settlement Class Members to ascertain their views regarding pertinent issues in the case. Plaintiff's motion for class certification was fully briefed and Lead Counsel was developing Plaintiff's claims for trial when, on January 10, 2023, the parties attended a second mediation that lasted 14-hours with Michelle M. Yoshida, Esq. of Phillips ADR Enterprises, after which the parties reached an agreement and executed a term sheet memorializing the key Settlement terms, including the

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1 \$15,250,000 common fund.

2 At every stage of this Action, from case initiation through mediation and settlement, Lead 3 Counsel has exhibited diligence, hard work, and skill that justifies the requested fee. Lead Counsel's fee award is also consistent with the percentage range of fees awarded in the Ninth Circuit in common 4 5 fund cases, and warranted by the substantial recovery obtained over the heightened risks presented in this Section 14(a) merger litigation. A lodestar cross-check confirms the reasonableness of the requested 6 7 fee, as one-third of the Settlement Amount is less than Lead Counsel's lodestar, and counsel respectfully 8 submits that a higher fee representing a multiplier to Lead Counsel's lodestar would have been justified 9 here.

10

II. HISTORY OF THE LITIGATION AND FACTUAL BACKGROUND

11 In accordance with this District's Procedural Guidance for Class Action Settlements ("Northern 12 District Guidelines"), the relevant procedural history and facts will be set out in Plaintiff's forthcoming 13 memorandum in support of final approval of the Settlement which will be filed on or before January 11, 14 2024, in accordance with the Court's Order Re Preliminary Approval (ECF No. 209), and are thus not repeated here. See Northern District Guidelines, Final Approval ¶ 2 ("If the plaintiffs choose to file two 15 16 separate motions, they should not repeat the case history and background facts in both motions. The 17 motion for attorneys' fees should refer to the history and facts set out in the motion for final approval."). 18 A summary of certain pertinent facts and background may also be found in Lead Plaintiff's Notice of 19 Motion, Revised Motion for Preliminary Approval of Settlement, and Memorandum of Points and Authorities in Support Thereof. ECF No. 199. 20

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III. THE REQUESTED FEE IS FAIR AND REASONABLE

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A.

A REASONABLE PERCENTAGE OF THE FUND IS THE APPROPRIATE METHOD FOR AWARDING ATTORNEYS' FEES IN COMMON FUND CASES

Well-established Supreme Court precedent provides that "a litigant or a lawyer who recovers a common fund for the benefit of persons other than himself or his client is entitled to a reasonable attorneys' fee from the fund as a whole." *Boeing Co. v. Van Gemert*, 444 U.S. 472, 478 (1980). Under the common fund doctrine, "a private plaintiff, or his attorney, whose efforts create, discover, increase

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or preserve a fund to which others also have a claim is entitled to recover from the fund the costs of his 1 litigation, including attorneys' fees." Vincent v. Hughes Air W., Inc., 557 F.2d 759, 769 (9th Cir. 1977); 2 accord In re Nat'l Collegiate Athletic Ass'n Grant-in-Aid Cap Antitrust Litig.,768 F. App'x 651, 653 3 (9th Cir. 2019). This Court has also noted that "[t]he use of the percentage-of-the-fund method in 4 5 common-fund cases is the prevailing practice in the Ninth Circuit for awarding attorneys' fees and permits the Court to focus on a showing that a fund conferring benefits on a class was created through 6 7 the efforts of plaintiffs' counsel." In re Capacitors Antitrust Litig., 2018 U.S. Dist. LEXIS 169764, at 8 *43 (N.D. Cal. June 27, 2017) (Donato, J.) (citation omitted).

9 Courts have discretion to employ either the percentage of recovery or lodestar method, In re 10 Bluetooth Headset Prods. Liab. Litig., 654 F.3d 935, 942 (9th Cir. 2011), but the Ninth Circuit has 11 consistently approved the use of the percentage method in common fund cases. See, e.g., Vizcaino v. 12 Microsoft Corp., 290 F.3d 1043, 1047-48 (9th Cir. 2002); see also In re Omnivision Techs., Inc., 559 F. 13 Supp. 2d 1036, 1046 (N.D. Cal. 2008) ("use of the percentage method in common fund cases appears 14 to be dominant"); Capacitors, 2018 U.S. Dist. LEXIS 169764, at *2 ("The percentage-of-the fund method is *preferred* when counsel's efforts have created a common fund for the benefit of the class.") 15 16 (emphasis in original).

The PSLRA also contemplates that fees be awarded on a percentage basis, authorizing attorneys' fees and expenses to counsel that do not exceed "a reasonable percentage of the amount of any damages and prejudgment interest actually paid to the class." 15 U.S.C. § 78u-4(a)(6); *see also In re Am. Apparel, Inc. S'holder Litig.*, 2014 U.S. Dist. LEXIS 184548, at *66 (C.D. Cal. July 28, 2014) ("Congress plainly contemplated that percentage-of-recovery would be the primary measure of attorneys' fees awards in federal securities class actions.").

The rationale for compensating counsel on a percentage basis in common fund cases is grounded
on principles of equity and fairness. "[C]ourts try to . . . [tie] together the interests of class members and
class counsel" by "teather[ing] the value of an attorneys' fees award to the value of the class recovery .
. . [t]he more valuable the class recovery, the greater the fees award . . . [a]nd vice versa." *In re HP Inkjet Printer Litig.*, 716 F.3d 1173, 1178 (9th Cir. 2013). Use of the percentage-of-recovery method is

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particularly appropriate in common fund cases such as this one because "the benefit to the class is easily
 quantified." *Bluetooth*, 654 F.3d at 942; *Baird v. BlackRock Institutional Tr. Co.*, 2021 U.S. Dist. LEXIS
 212886, at *24-*25 (N.D. Cal. Nov. 3, 2021) (applying percentage of the fund method and lodestar
 crosscheck); *Vataj v. Johnson*, 2021 U.S. Dist. LEXIS 214678, at *23 (N.D. Cal. Nov. 5, 2021) (same).

5

B.

AN UPWARD ADJUSTMENT OF THE NINTH CIRCUIT BENCHMARK IS WARRANTED

"Courts in the Ninth Circuit applying the 'percentage of the fund' approach use a twenty-five 6 7 percent benchmark." Capacitors, 2018 U.S. Dist. LEXIS 169764, at *44. However, the 25% 8 benchmark is merely a starting point, as "in most common fund cases, the award exceeds that 9 benchmark." Omnivision, 559 F. Supp. 2d at 1047; Vataj, 2021 U.S. Dist. LEXIS 214678, at *25 10 (noting that in the Ninth Circuit, "the 25% award [is] a starting point."). Indeed, Lead Counsel's 11 one-third fee request is well within the range of percentage fees that courts in this Circuit have 12 awarded in other complex class actions. See, e.g., Davis v. Yelp, Inc., 2023 U.S. Dist. LEXIS 40323, 13 at *6 (N.D. Cal. Jan. 27, 2023) (awarding 33% of 22.25 million settlement in securities case and 14 collecting cases awarding same percentage of similarly sized common funds); In re Capacitors Antitrust Litig., 2023 U.S. Dist. LEXIS 37407, at *17-*18 (N.D. Cal. Mar. 6, 2023) (Donato, J.) 15 (awarding 40% of \$165 million partial settlement, resulting in cumulative 31.01% award of total 16 17 \$604,550,000 settlement); Andrews v. Plains All Am. Pipeline L.P., 2022 U.S. Dist. LEXIS 172183, 18 at *10 (C.D. Cal. Sept. 20, 2022) (awarding 32% of \$230 million settlement); Plant v. Jaguar 19 Animal Health, Inc., 17-cv-4102-RS, Order Awarding Attorneys' Fees, Expenses, and Service 20 Award (N.D. Cal. May 27, 2021) (ECF No. 97) (awarding 33% of \$2.6 million settlement); In re 21 Lidoderm Antitrust Litig., 2018 U.S. Dist. LEXIS 162425, at *32 (N.D. Cal. Sept. 20, 2018) 22 (awarding 33% of \$104.75 million settlement); In re Lenovo Adware Litig., 2019 U.S. Dist. LEXIS 23 69797, at *32 (N.D. Cal. Apr. 24 2019) (awarding 30% of \$8.3 million settlement); In re Pac. Enters. Sec. Litig., 47 F.3d 373, 379 (9th Cir. 1995) (awarding 33% of \$12 million settlement). 24

Adjustments to the benchmark may be made in light of the following factors which, among other things, take into consideration the contingent risk facing counsel, the lodestar cross-check, and the benefits achieved – factors which in this case weigh heavily in favor of an upward adjustment of the

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benchmark to 33.33%:

(1) The results achieved; (2) the risks of litigation; (3) the skill required and the quality of the work; (4) awards in similar cases; (5) the contingent nature of the representation and the opportunity cost of bringing the suit; (6) reactions from the class: and (7) a lodestar cross-check.

See generally In re Volkswagen "Clean Diesel" Mktg., Sales Practices, & Prods. Liab. Litig., No. 2672 CRB (JSC), 2017 U.S. Dist. LEXIS 39115, at *721-*722 (N.D. Cal. Mar. 17, 2017) (citing *Vizcaino*, 290 F.3d at 1048-52). As discussed below, the requested one-third fee is fair and reasonable.

1. Lead Counsel Achieved a Good Result for the Settlement Class

Courts have consistently recognized that the result achieved is "the most critical factor" to consider in making a fee award. Hensley v. Eckerhart, 461 U.S. 424, 436 (1983); Hefler v. Wells Fargo & Co., 2018 U.S. Dist. LEXIS 213045, at *37 (N.D. Cal. Dec. 18, 2018), aff'd sub nom. Hefler v. Pekoc, 802 F. App'x 285 (9th Cir. 2020). Here, despite enormous risk, Lead Counsel obtained a good recovery for the Settlement Class when measured as a percentage of estimated recoverable damages at trial relative to other securities class action settlements and on a per share basis as against comparable Section 14(a) merger litigation settlements.

The Settlement Amount of \$15.25 million exceeds or is in line with other Section 14(a) settlements, which raise unique challenges that do not exist in more traditional securities fraud actions under Section 10(b)/Rule 10b-5. Of the five Section 14(a) merger litigation settlements described in Appendix A appended hereto, the Settlement Amount here *exceeds three of five* of those settlements on a per share basis (the two other settlements involved much smaller companies and are thus less meaningful comparisons). For example, in Envision, the settlement represented approximately \$0.14 per share on a \$46 stock. See Appendix A. In Harman, the settlement was \$0.40 per share for a \$111 stock and in *Precision Castparts*, the settlement was \$0.16 on a \$235 stock. See Appendix A. Here, the Class will recover \$0.09 per share for its \$8.36 Oclaro stock. Put another way, the per share value of this Settlement is 1.044% of the share price while *Precision Castparts*, *Harman*, and *Envision* recoveries per share are just 0.068%, 0.359%, and 0.304%, respectively, of the share price. The Settlement here also surpasses the comparable settlements by yet another measure. Two of the companies, *Envision* and

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Harman, are most like Oclaro in terms of market capitalization, although Oclaro's market capitalization
 is several billion less than either of those companies. Still, the \$15.25 million Settlement Amount
 represents an approximately 0.85% recovery over market cap, while the recoveries in *Envision* and
 Harman are substantially less at merely 0.18% and 0.51%, respectively, over market cap.

5 The Settlement Amount is also a commendable result when measured against securities class action settlements more generally. Lead Counsel secured a proposed settlement fund that represents 6 7 4.54% - 13.84% of plausible damages predicated on the cash portion of the merger consideration – an approach to calculating damages here that this Court has construed favorably.³ Thus, the Settlement 8 9 value exceeds the average recoveries in other securities class actions. See, e.g., Vataj, 2021 U.S. Dist. 10 LEXIS 214678, at *25-26 (noting that a \$10 million common fund that represented a 2% recovery on 11 estimated damages was consistent with the 2-3% average recovery that the parties identified in other 12 securities class action settlements and "represents an excellent result for class members."); Omnivision, 13 559 F. Supp.2d at 1046 (noting that a recovery of 9% of possible damages was "more than triple the 14 average recovery in securities class action settlements"); Janeen McIntosh, Svetlana Starykh & Edward 15 Flores, Recent Trends in Securities Class Action Litigation: 2022 Full-Year Review, at 18, Figure 19 16 (NERA Jan. 24, 2023) (stating median ratio of settlement to investor losses was 1.8% in 2022), https://www.nera.com/content/dam/nera/publications/2023/PUB_2022_Full_Year_Trends.pdf; 17 18 L.T. Bulan, L.E. Simmons, Securities Class Action Settlements, 2022 Review and Analysis, Cornerstone 19 Research (2023), at 6 (stating that the median comparable securities class action settlements in 2022 20 resulted in a recovery of 4.3% or 4.4% of estimated damages), https://www.cornerstone.com/wp-21 content/uploads/2023/03/Securities-Class-Action-Settlements-2022-Review-and-Analysis.pdf.

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2. The Litigation Was Uncertain and Highly Complex

The "complexity of the issues and risks" undertaken by counsel are also relevant factors to be considered in fashioning an appropriate fee award. *Pac. Enters.*, 47 F.3d at 379. Section 14(a)

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³ Transcript of Proceedings, August 17, 2023, p. 8, lines 17-25 and p. 9, line 1 Atty Monteverde: "we can only really focus on the cash component. That leaves you roughly \$100 million in damages if we get a home run . . ." The Court: "I know it's 100 million . . ."

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merger cases are few and far between and no such case has gone to trial this century. The dearth of 1 2 Section 14(a) merger litigation has led to a limited and inconsistent body of law and even greater 3 uncertainty for practitioners like Lead Counsel that litigate these cases.

4 The complexity and risks of this Action were magnified by the fact that the merger 5 consideration consisted of both cash and stock, giving way to vigorous debate amongst the parties' experts as to the measure of damages. Cf. Vinh Nguyen v. Radient Pharms. Corp., 2014 U.S. Dist. 6 7 LEXIS 63312, at *6 (C.D. Cal. May 6, 2014) (noting that in securities class actions, "[p]roving and 8 calculating damages required a complex analysis, requiring the jury to parse divergent positions of 9 expert witnesses in a complex area of the law. The outcome of that analysis is inherently difficult 10 to predict and risky."). Defendants have repeatedly emphasized that Plaintiff cannot establish causally-related damages because Oclaro stockholders received the full benefit that Oclaro 11 12 bargained for in the Merger, and the consideration was the best price reasonably available for 13 Oclaro's stockholders and a premium to Oclaro's trading price. ECF No. 184 at 6. In particular, the Merger provided that each share of Oclaro stock would be exchanged for \$5.60 in cash and 0.0636 14 15 shares of Lumentum stock. ECF No. 20 at 20, ¶77. That Lumentum's stock declined between the 16 time of signing and the date the Merger closed, Defendants argued, was both well-known and fully disclosed by Lumentum and constituted an intervening cause that destroyed any alleged causation. 17 18 ECF No. 184 at 7. At trial, the case would have turned largely on expert testimony concerning these 19 highly technical economic issues, as well as Plaintiff's ability to establish liability by convincing a 20 jury that Defendants' primary rationale for reducing Oclaro's projections was a pretextual attempt 21 to justify the reduction rather than a legitimate business decision. See In re Extreme Networks, Inc. 22 Sec. Litig., No. 15-cv-04883-BLF, 2019 U.S. Dist. LEXIS 121886, at *24-*25 (N.D. Cal. July 22, 23 2019) (noting that "[s]ecurities actions, in particular are often long, hard-fought, complicated, and 24 extremely difficult to win" facing "obstacles include[ing] challenges to the material falsity of each 25 alleged misstatement, class certification challenges, loss causation and damages challenges, and the 26 risks inherent in a 'battle of the experts' of complex economic theories in a jury trial."). Lead 27 Counsel, however, met these challenges head on at every procedural step of the litigation. See

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generally Monteverde Decl. Twice Defendants sought dismissal of the Action and Oclaro filed a
 motion for judgment on the pleadings under Fed. R. Civ. P. 12(c), yet Lead Counsel persevered,
 propounding extensive discovery, retaining experts, filing Plaintiff's motion for class certification,
 and preparing the claims for trial. The Settlement is a testament to Lead Counsel's efforts in the face
 of significant obstacles to recovery.

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3. Lead Counsel's Fee Request is Consistent with Awards in Similar Cases

7 Lead Counsel's fee request is also supported by awards in similar cases. Under the Ninth 8 Circuit's "market rate for the particular field of law" factor, it is "appropriate to examine lawyers" reasonable expectations, which are based on the circumstances of the case and the range of fee awards 9 10 out of common funds of comparable size." In re Facebook Biometric Info. Priv. Litig., 522 F. Supp. 3d 11 617, 632 (N.D. Cal. 2021) (quoting Vizcaino, 290 F.3d at 1050). Here, the one-third fee request is 12 consistent with the fees awarded in other recent Section 14(a) settlements (including two approved by 13 courts within the Ninth Circuit, and three obtained by Lead Counsel). See In re Envision Healthcare 14 Corp., Case No. 1:18-cv-01068-RGA-SRF, ECF No. 105 (D. Del. Feb. 16, 2021) (awarding 1/3 of \$17.4 million settlement fund); Campbell v. Transgenomic, Inc., No. 4:17-CV-3021, 2020 U.S. Dist. 15 16 LEXIS 97063, at *10 (D. Neb. June 3, 2020) (awarding 1/3 of \$1.95 million common fund); Plant v. Jaguar Animal Health, Inc. et al., Case No. 3:17-cv-04102-RS, ECF No. 97 (N.D. Cal. May 27, 17 18 2021) (awarding 1/3 fee of \$2.6 million fund); NECA-IBEW Pension Trust Fund v. Precision 19 Castparts Corp. et al., 16-cv-01756-YY, ECF No. 169 (D. Or. 2021) (awarding 1/3 of \$21 million).

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4. The Skill Required and Quality of the Work

The demonstrated skill of Lead Counsel and the quality of its work further supports the reasonableness of the requested fee. As this Court is aware, Lead Counsel successfully overcame several potentially dispositive motions and has vigorously advocated for the Settlement Class throughout the litigation. The Monteverde Firm has a commendable history of achieving valuable outcomes for investors, and its skill and zealous advocacy in shareholder litigation is wellrecognized. *See generally* Monteverde Decl. The Monteverde Firm is listed in the Top 50 in the 2018-2022 ISS Securities Class Action Services Report in recognition of the substantial settlements

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achieved by the firm on behalf of shareholders.⁴ In this case, Lead Counsel's skill, experience, and
 perseverance has brought about an outstanding result.

3 The standing of opposing counsel also merits consideration because such standing reflects the challenges faced by Lead Counsel and the skill necessary to meet those challenges. See, e.g., 4 5 Wing v. Asarco Inc., 114 F.3d 986, 989 (9th Cir. 1997) (noting the district court's evaluation of the job done by class counsel "in light of the quality of opposition counsel and [defendant's] record of 6 7 success in such litigation"). Defendants were represented by teams of experienced attorneys from 8 Wilson Sonsini Goodrich & Rosati and Jones Day, both well-regarded law firms. These firms 9 expended considerable effort and expense in their zealous defense against Plaintiff's claims. The 10 favorable result obtained by Lead Counsel against these formidable firms and their well-financed 11 clients are further evidence of Lead Counsel's skill and supports the requested fee.

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5. The Contingent Nature of the Fee, the Financial Burden Carried by Lead Counsel, and the Opportunity Cost of Bringing Suit

"It is an established practice in the private legal market to reward attorneys for taking the risk of non-payment by paying them a premium over their normal hourly rates for winning contingency cases." *In re Wash. Pub. Power Supply Sys. Secs. Litig.*, 19 F.3d 1291, 1299 (9th Cir. 1994). This practice encourages the legal profession to assume such a risk and "assur[es] competent representation for plaintiffs who could not afford to pay on an hourly basis regardless of whether they win or lose." *Id.* "This incentive is especially important in securities cases." *Stanger v. China Elec. Motor, Inc.*, 812 F.3d 734, 741 (9th Cir. 2016). Attorneys undertake "immense risks" in "prosecuting complex cases in which there is a great risk of no recovery." *In re NCAA Ath. Grant-In-Aid Cap. Antitrust Litig.*, 2017 U.S. Dist. LEXIS 201108, at *15 n. 42 (N.D. Cal. Dec. 6, 2017). There have been many securities class actions in which counsel for the plaintiffs took on the risk of pursuing claims on a contingency basis, expended thousands of hours and dollars, yet received no remuneration despite their diligence and expertise. One recent example involves the notable

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⁴ *See* Monteverde & Associates, PC, https://monteverdelaw.com/news (providing the ISS reports for each year).

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securities class action against Tesla in this District. After years of litigation and despite the fact that
 the Court previously granted summary judgment on certain elements in the *plaintiff's favor*, after a
 lengthy trial, the jury returned a verdict in *defendants' favor*. *In re Tesla, Inc. Sec. Litig.*, 2022 U.S.
 Dist. LEXIS 88609 (N.D. Cal. Apr. 1, 2022) and *Tesla*, No. 3:18-cv-04865-EMC (ECF No. 671)
 (N.D. Cal. Feb. 3, 2023); *In re Tesla Inc.*, 2023 U.S. Dist. LEXIS 103682 (N.D. Cal. Jun. 14, 2023)
 (denying plaintiffs' motion for judgment as a matter of law or for a new trial).

7 While the contingent risks to plaintiff's counsel in securities actions are well-recognized, the 8 risks faced by Lead Counsel here are even greater given the evidentiary record and need to 9 successfully challenge the credibility of Defendants' business decision to exit a product/market -10 something a jury may not accept. In addition, litigating this Action required the devotion of a 11 significant amount of the Monteverde Firm's resources and foregoing other opportunities, which 12 should also be taken into account. See Denton v. Pennymak Loan Servs., LLC, 252 F. Supp. 3d 504, 13 518 (E.D. Va. 2017) (stating counsel "is a small law firm and thus representing a client on a 14 contingent fee . . . basis necessarily involved loss of other opportunities.").

To date, Lead Counsel has received no compensation in the Action, yet has invested 7,782.9 hours for a total lodestar of \$5,460,580 and incurred expenses of \$397,680.55. Additional uncompensated work in connection with the Settlement and claims administration will be incurred going forward. The contingent risk, substantial opportunity costs, and Lead Counsel's significant commitment of time, money, and resources litigating this Action strongly favor a finding that the requested fee is reasonable.

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Reactions of the Class

6.

The reaction of the class is also a factor courts within this Circuit consider when evaluating a requested fee. *Omnivision Techs.*, 559 F. Supp. 2d at 1042. The "absence of a large number of objections to a proposed class action raises a strong presumption that the terms of a proposed class settlement action are favorable to the class members." *Nat'l Rural Telecomms. Coop. v. DIRECTTV, Inc.*, 221 F.R.D. 523, 529 (C.D. Cal. 2004); *Churchill Vill., L.L.C. v. GE*, 361 F.3d 566, 577 (9th Cir. 2004) (affirming settlement with 45 objections out of 90,000 notices sent).

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In this case, the Class was informed in the Notice that Lead Counsel would move the Court for
an award of attorneys' fees not to exceed one third of the Settlement Amount and payment of litigation
expenses not to exceed \$400,000. The Notice advised Class Members of their right to object to the
proposed fee and expense request and that such objections are to be filed with the Court no later than
December 21, 2023. While this deadline has not yet passed, to date no objections have been received.
Should any objections be received, Lead Counsel will address them in the reply memorandum.

7

7. A Lodestar Cross-Check Confirms the Requested Fee's Reasonableness

8 Courts in the Ninth Circuit may, but are not required to, assess the reasonableness of the fee 9 request through a cross-check of counsel's lodestar. *Farrell v. Bank of Am. Corp., N.A.*, 827 F. App'x 10 628, 630 (9th Cir. 2020) (declining to mandate "[cross-check] requirement"). "While the primary basis 11 of the fee award remains the percentage method, the lodestar may provide a useful perspective on the 12 reasonableness of a given percentage award." *Vizcaino*, 290 F.3d at 1050 (noting the "lodestar 13 calculation can be helpful in suggesting a higher percentage when litigation has been protracted.").

14 In this case, the lodestar cross-check emphasizes the reasonableness of Lead Counsel's fee request. The Monteverde Firm has provided time reports detailing the attorney time expended in 15 16 prosecuting the Action for the benefit of Plaintiff and the Class. As of October 31, 2023, Lead Counsel 17 expended 7,782.9 hours at market billing rates that reflect the attorneys' experience, skill, and reputation 18 (between \$475-\$575 for associates and between \$750-\$975 for senior attorneys) for a total lodestar of 19 \$5,460,580. See e.g., Fleming v. Impax Laby's Inc., 2022 U.S. Dist. LEXIS 125595, at *28 (N.D. Cal. 20 July 15, 2022) (approving hourly rates of \$760 to \$1,325 for partners, \$895 to \$1,150 for counsel, and 21 \$175 to \$520 for associates and noting that such "billing rates [are] in line with prevailing rates in this 22 district for personnel of comparable experience, skill, and reputation"); Hefler, 2018 U.S. Dist. LEXIS 23 213045, at *39 (finding rates ranging from \$650 to \$1,250 for partners or senior counsel and from \$400 24 to \$650 for associates as reasonable). Additional uncompensated attorney time will be incurred in 25 seeking final approval of the Settlement and overseeing settlement administration. Even without factoring in that additional expenditure of time, Lead Counsel's fee request reflects a negative multiplier 26 27 to its lodestar. Thus, the reasonableness of the fee request is even further evident, as courts in this Circuit

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frequently award multipliers of around 4 times lodestar. *See e.g.*, *Capacitors*, 2018 U.S. Dist. LEXIS
169764, at *52 (noting that "[i]n the Ninth Circuit, a lodestar multiplier of around 4 times has frequently
been awarded in common fund cases"); *Facebook*, 522 F. Supp. 3d at 633 (awarding fee in \$650 million
common fund settlement representing 4.71 multiplier); *In re Verifone Holdings, Inc. Sec. Litig.*, 2014
U.S. Dist. LEXIS 20044, at *11-12 (N.D. Cal. Feb. 18, 2014) (noting "over 80% of multipliers fall
between 1.0 and 4.0" and awarding fee reflecting 4.3 multiplier).

Given the risk undertaken by Lead Counsel, its zealous advocacy, and the results obtained for
the Class, the requested fee is reasonable. Each of the relevant factors supports the requested award.

IV. LEAD COUNSEL'S EXPENSES ARE REASONABLE AND SHOULD BE APPROVED

An attorney is entitled to "recover as part of the award of attorney's fees those out-of-pocket expenses that would normally be charged to a fee paying client." *Harris v. Marhoefer*, 24 F.3d 16, 19 (9th Cir. 1994) (internal quotation marks and citation omitted). Here, although the Notice informed Class Members that Lead Counsel would seek reimbursement of up to \$400,000 in expenses, Lead Counsel is now seeking only expenses of \$397,680.55. "Expense reimbursements comport with the notion that the district court may 'spread the costs of the litigation among the recipients of the common benefit." *Grace v. Apple, Inc.*, 2021 U.S. Dist. LEXIS 66294, *17-18 (N.D. Cal. Mar. 31, 2021).

17 Lead Counsel has provided an itemized list of costs and expenses by category, and the expenses 18 sought are of a type routinely charged to hourly paying clients and, therefore, properly paid out of the 19 common fund. Ochoa v. McDonald's Corp., 2016 U.S. Dist. LEXIS 157302, at *8-9 (N.D. Cal. Nov. 20 14, 2016) (Donato, J.) (noting that "expenses should be paid from the common fund because all class 21 members should contribute their fair share of the costs of the litigation from which they benefitted"). 22 Most of Lead Counsel's expenses relate to the retention of experts, which amounted to \$311,261.51. 23 Franco v. Ruiz Food Prods., Inc., 2012 U.S. Dist. LEXIS 169057, at *61 (E.D. Cal. Nov. 27, 2012) 24 (noting expert fees are among the "types of fees ... routinely reimbursed"). Notably, Lead Counsel has 25 excluded from its expense request the travel and lodging expenses incurred by the Monteverde Firm -aNew York City based law firm – in prosecuting the Action. The excluded travel and lodging fees, which 26 27 were substantial, will be borne entirely by Lead Counsel. Given that the expenses sought here are

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routinely charged to clients and reasonably incurred in prosecuting and settling the Action, Lead Counsel
 respectfully requests that the Court award Lead Counsel the entirety of its \$397,680.55 expense request.

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V.

PLAINTIFF'S REQUEST FOR A SERVICE AWARD IS REASONABLE

Pursuant to the PSLRA, a class representative may seek an award of reasonable costs and
expenses directly relating to the representation of the class. *See* 5 U.S.C. §15 U.S.C. § 78u-4(a)(4).
Factors considered in making such award include "the actions the plaintiff has taken to protect the
interests of the class, the degree to which the class has benefitted from those actions . . . the amount of
time and effort the plaintiff expended in pursuing the litigation" among others. *Staton v. Boeing Co.*,
327 F.3d 938, 977 (9th Cir. 2003) (ellipse in original).

Plaintiff performed his duties as class representative here by responding to discovery, including collecting documents, answering interrogatories and requests for admission, sitting for a four-hour deposition, and communicating with Lead Counsel. In accordance with the Northern District Guidelines, Plaintiff has submitted a declaration setting forth the time and effort he spent monitoring the Action and the settlement process, conferring with Lead Counsel, and collecting and reviewing materials for discovery. *See generally* Declaration of Lead Plaintiff Saisravan Bharadwaj Karri.

Plaintiff seeks a service award of \$5,000 as reimbursement for his time and expenses in representing the Settlement Class. Lead Counsel believes a service award of this amount is fully supported by Plaintiff's efforts. *Bellinghausen v. Tractor Supply Co.*, 306 F.R.D. 245, 266 (N.D. Cal. 2015) (collecting cases and noting "[i]n this district, a \$5,000 payment is presumptively reasonable" and service awards "typically range from \$2,000 to \$10,000"); *see also Todd v. STAAR Surgical Co.*, 2017 U.S. Dist. LEXIS 176183, at *15-16 (C.D. Cal. Oct. 24, 2017) (collecting "numerous cases in which service awards of \$10,000 or more" are reasonable).

VI. CONCLUSION

Lead Counsel respectfully requests that the Court award: (i) attorneys' fees of one-third of the Settlement Amount and payment of \$397,680.55 in litigation expenses, plus interest on both amounts at the same rate earned by the Settlement Amount; and (ii) Plaintiff a service award of \$5,000.

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15 MOTION FOR ATTORNEYS' FEES AND AWARD TO CLASS REPRESENTATIVE Case No. 3:18-cv-03435-JD

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1	Dated: November 2, 2023	Respectfully submitted,
2		/s/ Juan E. Monteverde
3		Juan E. Monteverde (NY Reg. No. 4467882)
4		MONTEVERDE & ASSOCIATES PC Juan E. Monteverde (admitted <i>pro hac vice</i>)
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12		Email: dbower@monteverdelaw.com
12		Counsel for Lead Plaintiff and
		Lead Counsel for the Settlement Class
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Appendix A

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Case Name	In re Envision Healthcare Corp., 18-cv-01068- RGA-SRF (D. Del. 2021)	CHAMBEI Hurwitz v. LRR Energy L.P., 15-711 (D. Del. 2018)	RSCOPY - DO Plant v. Jaguar Animal Health, Inc., 17-cv-4102- RS (N.D. Cal. 2021)	NOT FILE Baum v. Harman Int'l, 17-cv-00246- RNC (D. Ct. 2022)	NECA-IBEW Pension Trust Fund v. Precision Castparts Corp, 16-cv-01756-YY (D. Or. 2021)	<i>Karri v. Oclaro, Inc.</i> , 18- cv-3435-JD (N.D. Cal. 2023)
Common Fund Amount	\$17.4m	\$8m	\$2.6m	\$28m	\$21m	\$15.25m
Number of Shares Held by Class	120m	10.4m	11.6m	69m	133m	167.5m
Market Cap / Price Per Share	\$9.9b / \$46 p/s	\$539m / \$8.93 p/s	\$18m / \$1 p/s	\$5.5b / \$111.50 p/s	\$37b / \$235 p/s	\$1.8b / \$8.62 p/s
Average Recovery Per Share	\$0.14	\$0.50	\$0.07	\$0.40	\$0.16	\$0.09
Number of Notices Sent/Notice Methods	32,504 mail/newswire	15,962 mail/newswire/newspaper	4,067 mail/newswire	37,910 mail/newswire/WSJ	117,513 mail/newswire/WSJ	At least 33,578 mail/newswire/email
Claims Rate/No. of Shares that Filed Claim	88% / 105m	29% / 3m	48%/5.6m	Distribution ongoing	Not available	75% estimated
Average Net Recovery based on Notices Sent	\$340	\$313	\$405	\$500	\$119	Approx. \$300
Cy Pres	Federal Judicial Center Foundation	United Way Disaster Recovery Fund	Federal Judicial Center Foundation	Undisclosed Not for Profit	Undisclosed Not for Profit	ACLU
Admin. Costs	\$258k	\$188k	\$57k	Not available	Not available	\$191k - \$247k
Attorneys' Fees & Expenses	1/3 of fund and \$25,904.80 exp.	30% of fund and \$457,541.63 exp.	1/3 of fund and \$16,960.20 exp.	31% of fund and \$123,809.79 exp.	1/3 of fund and \$867,891.13 exp.	Proposed 1/3 of fund and up to \$400k exp.
Alleged Damages	\$120m-\$180m	Undisclosed	\$5.2m-\$9.9m	Undisclosed	\$1.596b-\$2.128b	\$196m-599m
Claims Released	All claims relating to alleged facts, merger or proxy	All claims relating to merger and disclosures asserted or could have been asserted in action	All claims relating to action, merger, or proxy	All claims relating to alleged facts, merger or proxy	All claims relating to alleged facts, merger or proxy	All claims relating to action, merger, merger disclosures

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1 2 3 4 5 6 7 8 9 10 11	Juan E. Monteverde (admitted <i>pro hac vice</i> , NY H MONTEVERDE & ASSOCIATES PC The Empire State Building 350 Fifth Avenue, Suite 4740 New York, NY 10118 Tel: (212) 971-1341 jmonteverde@monteverdelaw.com David E. Bower (SBN 119546) MONTEVERDE & ASSOCIATES PC 600 Corporate Pointe, Suite 1170 Culver City, CA 90230 Tel: (213) 446-6652 dbower@monteverdelaw.com <i>Counsel for Lead Plaintiff and</i> <i>Lead Counsel for the Settlement Class</i>	
12 13	UNITED STATES D FOR THE NORTHERN DIS SAN FRANCIS	TRICT OF CALIFORNIA
 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 	SAISRAVAN BHARADWAJ KARRI, Individually and on Behalf of All Others Similarly Situated, Plaintiff, v. OCLARO, INC., MARISSA PETERSON, EDWARD COLLINS, GREG DOUGHERTY, KENDALL COWAN, DENISE HAYLOR, IAN SMALL, BILL SMITH, and JOEL A. SMITH III, Defendants.	
	CLASS REPRE Case No. 3:18-	SENTATIVE

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I, Juan E. Monteverde, declare as follows:

I am the Founding Partner at the law firm of Monteverde & Associates PC 1. ("Monteverde Firm" or "Firm"), counsel for Lead Plaintiff Saisravan Bharadwaj Karri ("Plaintiff") and Lead Counsel for the Settlement Class in this Action. I am admitted pro hac vice in this Action. 4 2. 5 I submit this declaration in support of Plaintiff's Motion for an Award of Attorneys'

Fees and Expenses and Award to Class Representative Pursuant to 15 U.S.C. § 78u-4(a)(4). 6

7 3. The Monteverde Firm's compensation for services rendered in this Action was 8 wholly contingent on the success of the Action. My firm is seeking attorneys' fees of one-third of 9 the Settlement Amount, or \$5,083,333.33. These attorneys' fees have not been paid from any other source to the Firm and have not been the subject of any prior request or award in any litigation or 10 other proceeding. 11

12 4. The information provided herein regarding my Firm's time and expenses is taken 13 from reports prepared and maintained by my Firm in the ordinary course of business. I oversaw and 14 led the day-to-day activities in the Action, and I have reviewed these reports as well as supporting documentation where necessary or appropriate in connection with the preparation of this declaration. 15 16 The purpose of this review was to confirm the accuracy of the entries, as well as the reasonableness 17 of the time and expenses committed to the Action. As a result of this review, reductions were made 18 to the time and expense reports in the exercise of billing judgment. Accordingly, I believe the time 19 reflected in the Monteverde Firm's lodestar calculation and the expenses for which payment is 20 sought herein are reasonable and were necessary for the effective and efficient prosecution and 21 resolution of the Action.

5. 22 After such reductions, the total number of hours spent on the Action by the 23 Monteverde Firm is 7,782.90, representing a total lodestar of \$5,460,580.00. A task summary report is attached hereto as Exhibit 1, and a breakdown of my Firm's lodestar is provided in a report 24 25 attached hereto as Exhibit 2.

6. The following chart summarizes the work that the Monteverde Firm performed in 26 27 prosecuting the Action from January 1, 2018, to October 31, 2023:

> MONTEVERDE DECL. ISO MOTION FOR ATTORNEYS' FEES AND AWARD TO CLASS REPRESENTATIVE Case No. 3:18-cv-03435-JD

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PROFESSIONAL	HOURS	RATE	LODESTAR
Juan Monteverde (Managing Partner)	2,031.80	\$975	\$1,981,005.00
Miles Schreiner (Senior Associate)	1,274.80	\$850	\$1,083,580.00
David Bower (Of Counsel)	53.80	\$750	\$40,350.00
Beth Keller (Of Counsel)	136.70	\$750	\$102,525.00
John Baylet (Associate)*	1,780.70	\$575	\$1,023,902.50
Jonathan Lerner (Associate)	794.30	\$525	\$417,007.50
Rossella Scarpa (Associate)	384.60	\$475	\$182,685.00
Jordan Steele (Associate)*	288.10	\$475	\$136,847.50
Eric Benzenberg (Associate)*	112.90	\$475	\$53,207.50
Ahmed Khattab (Associate)*	925.20	\$475	\$439,470.00
TOTALS	7,782.90		\$5,460,580.00

* No longer with the Monteverde Firm.

7. The hourly rates for my Firm are the usual and customary hourly rates charged for our services in similar litigation and are also in line with the hourly rates accepted in this District. Fleming v. Impax Laby's Inc., 2022 U.S. Dist. LEXIS 125595, at *28 (N.D. Cal. July 15, 2022) (approving hourly rates of \$760 to \$1,325 for partners, \$895 to \$1,150 for counsel, and \$175 to \$520 for associates, and noting that such "billing rates [are] in line with prevailing rates in this district for personnel of comparable experience, skill, and reputation"); In re Yahoo! Inc. Customer Data Sec. Breach Litig., 2020 U.S. Dist. LEXIS 129939, at *102 (N.D. Cal. July 22, 2020) (approving hourly rates of \$490 to \$975 for partners and from \$310 to \$800 for non-partners); Hefler v. Wells Fargo & Co., 2018 U.S. Dist. LEXIS 213045, at *39 (N.D. Cal. Dec. 18, 2018) (finding rates ranging from \$650 to \$1,250 for partners or senior counsel and from \$400 to \$650 for associates as reasonable). My Firm determined the hourly rates after careful consideration, including a survey of the prevailing market rates charged for mergers and acquisitions and securities litigation in New York.

8. For individuals who are no longer employed by the Firm, their "current rate" used for the lodestar calculation is based upon the rate for that person in his or her final year of employment with the Monteverde Firm.

9. Additionally, the Monteverde Firm is seeking reimbursement of \$397,680.55 in expenses incurred in connection with the Action. Notably, my Firm has excluded from its expense request the travel and lodging expenses that we incurred, as a New York City based law firm, in

MONTEVERDE DECL. ISO MOTION FOR ATTORNEYS' FEES AND AWARD TO

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prosecuting the Action. Attached hereto as <u>Exhibit 3</u> is a summary of these expenses. These
expenses are supported by the books and records of my Firm, which are prepared from expense
vouchers, check records, or other documents, and represent an accurate record of the expenses
incurred in connection with this Action.

10. Attached hereto as **Exhibit 4** is the Monteverde Firm Resume.

6 11. Accordingly, my Firm incurred a total lodestar of \$5,460,580.00 and a total of
7 \$397,680.55 in unreimbursed expenses in connection with this Action.

8 12. Plaintiff also seeks reimbursement for the time and expenses he incurred relating to
9 his representation of the Settlement Class in this Action in the amount of \$5,000. Attached hereto as
10 <u>Exhibit 5</u> is the Declaration of Lead Plaintiff Saisravan Bharadwaj Karri in support of his request
11 for a service award.

I declare under penalty of perjury that the foregoing is true and correct.

13 Executed on November 2, 2023

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/s/ Juan E. Monteverde

Juan E. Monteverde

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Exhibit 1

Task Summary

Monteverde & Associates PC

Include Matter Details = False Include Matter and Professional Details = False Professional = All (Inactive Included) Group By Professional Group Task = All View = Original From 01-01-2018 To 10-31-2023

Professional Summary

Task	Time (Hours)	Amount	Average Rate	% Total Time	
Baylet, John					
02 - Pleading	125.000	71,875.00	575.00	7.02%	
03 - Discovery	919.700	528,827.50	575.00	51.65%	
04 - Deposition	121.200	69,690.00	575.00	6.81%	
05 - Motion	354.100	203,607.50	575.00	19.89%	
06 - Preparation Hearing/Trial	11.000	6,325.00	575.00	0.62%	
09 - Settlement/Mediation	121.100	69,632.50	575.00	6.80%	
11 - Correspondence/Communications	25.100	14,432.50	575.00	1.41%	
12 - Analyze and review	59.500	34,212.50	575.00	3.34%	
13 - Experts	29.500	16,962.50	575.00	1.66%	
14 - Meeting/Strategy	4.000	2,300.00	575.00	0.22%	
15 - Research	10.500	6,037.50	575.00	0.59%	
Professional Total	1,780.700	1,023,902.50			
Benzenberg, Eric					
01 - Case Development, Investigation and review corporate filings	21.000	9,975.00	475.00	18.60%	
02 - Pleading	45.400	21,565.00	475.00	40.21%	
05 - Motion	33.600	15,960.00	475.00	29.76%	
11 - Correspondence/Communications	4.400	2,090.00	475.00	3.90%	
12 - Analyze and review	2.400	720.00	300.00	2.13%	
15 - Research	6.100	2,897.50	475.00	5.40%	
Professional Total	112.900	53,207.50			
Bower, David					
02 - Pleading	7.000	5,250.00	750.00	13.01%	
03 - Discovery	1.700	1,275.00	750.00	3.16%	
05 - Motion	2.800	2,100.00	750.00	5.20%	
11 - Correspondence/Communications	5.600	4,200.00	750.00	10.41%	
12 - Analyze and review	33.200	24,900.00	750.00	61.71%	
17 - Case Schedule/CMC/Reports	3.500	2,625.00	750.00	6.51%	
Professional Total	53.800	40,350.00			
Keller, Beth					
02 - Pleading	31.900	23,925.00	750.00	23.34%	
05 - Motion	24.900	18,675.00	750.00	18.22%	
11 - Correspondence/Communications	20.800	15,600.00	750.00	15.22%	

Task Summary

Monteverde & Associates PC

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Task	Time (Hours)	Amount	Average Rate	% Total Time	
Keller, Beth					
12 - Analyze and review	50.700	38,025.00	750.00	37.09%	
15 - Research	8.400	6,300.00	750.00	6.14%	
Professional	Total 136.700	102,525.00			
Khattab, Ahmed					
01 - Case Development, Investigation and review corporate filin	ngs 163.800	77,805.00	475.00	17.70%	
03 - Discovery	621.700	295,307.50	475.00	67.20%	
04 - Deposition	24.500	11,637.50	475.00	2.65%	
09 - Settlement/Mediation	9.000	4,275.00	475.00	0.97%	
11 - Correspondence/Communications	12.200	5,795.00	475.00	1.32%	
12 - Analyze and review	36.600	17,385.00	475.00	3.96%	
14 - Meeting/Strategy	42.400	20,140.00	475.00	4.58%	
15 - Research	15.000	7,125.00	475.00	1.62%	
Professional	Total 925.200	439,470.00			
Lerner, Jonathan					
02 - Pleading	6.000	3,150.00	525.00	0.76%	
03 - Discovery	640.800	336,420.00	525.00	80.67%	
05 - Motion	55.000	28,875.00	525.00	6.92%	
09 - Settlement/Mediation	17.000	8,925.00	525.00	2.14%	
11 - Correspondence/Communications	28.000	14,700.00	525.00	3.53%	
12 - Analyze and review	28.500	14,962.50	525.00	3.59%	
15 - Research	19.000	9,975.00	525.00	2.39%	
Professional	Total 794.300	417,007.50			
Monteverde, Juan					
02 - Pleading	58.000	56,550.00	975.00	2.85%	
03 - Discovery	699.800	682,305.00	975.00	34.44%	
04 - Deposition	285.300	278,167.50	975.00	14.04%	
05 - Motion	195.800	190,905.00	975.00	9.64%	
06 - Preparation Hearing/Trial	108.400	105,690.00	975.00	5.34%	
07 - Court Hearing	3.000	2,925.00	975.00	0.15%	
09 - Settlement/Mediation	208.000	202,800.00	975.00	10.24%	
10 - Fee Petition	5.700	5,557.50	975.00	0.28%	
11 - Correspondence/Communications	98.000	95,550.00	975.00	4.82%	
12 - Analyze and review	4.000	3,900.00	975.00	0.20%	
13 - Experts	291.300	284,017.50	975.00	14.34%	

Task Summary

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Task	Time (Hours)	Amount	Average Rate	% Total Time	
Monteverde, Juan					
14 - Meeting/Strategy	41.700	40,657.50	975.00	2.05%	
15 - Research	23.700	23,107.50	975.00	1.17%	
17 - Case Schedule/CMC/Reports	9.100	8,872.50	975.00	0.45%	
Professional Total	2,031.800	1,981,005.00			
Scarpa, Rossella	·				
01 - Case Development, Investigation and review corporate filings	22.200	10,545.00	475.00	5.77%	
03 - Discovery	299.200	142,120.00	475.00	77.80%	
05 - Motion	4.200	1,995.00	475.00	1.09%	
09 - Settlement/Mediation	21.800	10,355.00	475.00	5.67%	
11 - Correspondence/Communications	35.200	16,720.00	475.00	9.15%	
14 - Meeting/Strategy	2.000	950.00	475.00	0.52%	
Professional Total	384.600	182,685.00			
Schreiner, Miles		·			
01 - Case Development, Investigation and review corporate filings	4.500	3,825.00	850.00	0.35%	
02 - Pleading	24.300	20,655.00	850.00	1.91%	
03 - Discovery	408.300	347,055.00	850.00	32.03%	
04 - Deposition	42.800	36,380.00	850.00	3.36%	
05 - Motion	449.400	381,990.00	850.00	35.25%	
06 - Preparation Hearing/Trial	2.800	2,380.00	850.00	0.22%	
09 - Settlement/Mediation	117.200	99,620.00	850.00	9.19%	
11 - Correspondence/Communications	4.200	3,570.00	850.00	0.33%	
12 - Analyze and review	34.500	29,325.00	850.00	2.71%	
13 - Experts	82.000	69,700.00	850.00	6.43%	
14 - Meeting/Strategy	68.800	58,480.00	850.00	5.40%	
15 - Research	36.000	30,600.00	850.00	2.82%	
Professional Total	1,274.800	1,083,580.00			
Steele, Jordan	·				
01 - Case Development, Investigation and review corporate filings	6.200	2,945.00	475.00	2.15%	
03 - Discovery	165.100	78,422.50	475.00	57.31%	
05 - Motion	1.200	570.00	475.00	0.42%	
11 - Correspondence/Communications	30.300	14,392.50	475.00	10.52%	
12 - Analyze and review	78.800	37,430.00	475.00	27.35%	
15 - Research	6.500	3,087.50	475.00	2.26%	
Professional Total	288.100	136,847.50			
		· -			

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Task Summary

Monteverde & Associates PC

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Summary Totals

Task	Time (Hours)	Amount
01 - Case Development, Investigation and review corporate filings	217.700	105,095.00
02 - Pleading	297.600	202,970.00
03 - Discovery	3,756.300	2,411,732.50
04 - Deposition	473.800	395,875.00
05 - Motion	1,121.000	844,677.50
06 - Preparation Hearing/Trial	122.200	114,395.00
07 - Court Hearing	3.000	2,925.00
09 - Settlement/Mediation	494.100	395,607.50
10 - Fee Petition	5.700	5,557.50
11 - Correspondence/Communications	263.800	187,050.00
12 - Analyze and review	328.200	200,860.00
13 - Experts	402.800	370,680.00
14 - Meeting/Strategy	158.900	122,527.50
15 - Research	125.200	89,130.00
17 - Case Schedule/CMC/Reports	12.600	11,497.50
Grand Total	7,782.900	5,460,580.00

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Exhibit 2

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount	
Oclaro, li	nc.									
Merger										
06-04-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Schreiner, Miles		3.300	850.00	2,805.00	
	01 - Case [Development, Inve	stigation and review c	orporate filings in connection with	complaint					
06-05-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		0.800	475.00	380.00	
	01 - Case [Development, Inve	stigation and review c	orporate filings; reviewing 8-K and	d DEFM14A					
06-06-2018	Approved		Billable	02 - Pleading	Benzenberg, Eric		3.800	475.00	1,805.00	
	02 - Pleadi	ng; drafting Compl	laint							
06-06-2018	Approved		Billable	11 - Correspondence/ Communications	Benzenberg, Eric		2.500	475.00	1,187.50	
	11 - Corres	11 - Correspondence/Communications; drafting Demand Letter								
06-06-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		1.800	475.00	855.00	
	01 - Case [Development, Inve	estigation and review c	orporate filings; reviewing DEFM1	14A					
06-07-2018	Approved		Billable	02 - Pleading	Bower, David		2.000	750.00	1,500.00	
	02 - Pleadi	ng - Filing of action	n							
06-08-2018	Approved		Billable	12 - Analyze and review	Bower, David		1.400	750.00	1,050.00	
	12 - Analyz	e and review - Re	view of complaint and	discuss with NY counsel						
06-09-2018	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00	
	12 - Analyz	e and review - Re	view and assist with se	ervice issues.						
06-09-2018	Approved		Billable	02 - Pleading	Schreiner, Miles		2.400	850.00	2,040.00	
	02 - Pleadi	02 - Pleading - review/analyze complaint								
06-11-2018	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		1.000	750.00	750.00	
	17 - Case S	Schedule - Calend	ar opening events							
06-12-2018	Approved		Billable	11 - Correspondence/ Communications	Benzenberg, Eric		0.800	475.00	380.00	
	11 - Corres	pondence/Comm	unications; revising De	mand Letter						
06-12-2018	Approved		Billable	12 - Analyze and review	Benzenberg, Eric		0.800	300.00	240.00	
	12 - Analyz	e and review; pre	paring summons							
06-19-2018	Approved		Billable	15 - Research	Benzenberg, Eric		1.500	475.00	712.50	
	15 - Resea	rch; research cond	cerning management's	projections downgrade						
06-19-2018	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00	
	12 - Analyz	e and review - Re	view correspondence	regarding service						

12 - Analyze and review - Review correspondence regarding service

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	1С.								
Merger									
06-19-2018	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.000	975.00	975.00
		g/Strategy - poten	tial post close case	6 6,	,				
06-19-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Schreiner, Miles		1.200	850.00	1,020.00
	01 - Case E)evelopment, Inve	stigation and review c	orporate filings re projections iss	ues for post-close action				
06-20-2018	Approved		Billable	02 - Pleading	Bower, David		0.300	750.00	225.00
	02 - Pleadir	ng - Filing of Waiv	ers						
06-22-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		0.300	475.00	142.50
	01 - Case E	Development, Inve	stigation and review c	orporate filings; reviewing and ev	aluating other cases on file				
06-27-2018	Approved		Billable	12 - Analyze and review	Monteverde, Juan		0.500	975.00	487.50
	12 - Analyz	e and review - pro	posed supplemental o	lisclsoures					
06-27-2018	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	unications - emails and	d calls w Hibbard recase and pos	t close posture				
07-06-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		3.300	475.00	1,567.50
	05 - Motion	; drafting Lead Pla	aintiff Motion						
07-06-2018	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meetin	g/Strategy - w Erio	c re lead brief						
07-11-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		1.000	475.00	475.00
	05 - Motion	; drafting LP Motic	on and Brief						
07-13-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		1.800	475.00	855.00
	05 - Motion	; drafting Lead Pla	aintiff Motion						
07-16-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		3.300	475.00	1,567.50
	05 - Motion	; drafting LP Brief	and Ancillary Docs						
07-17-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		2.300	475.00	1,092.50
	05 - Motion	; finalizing ancillar	y docs for Lead Plaint	iff Motion					
07-18-2018	Approved		Billable	15 - Research	Benzenberg, Eric		2.300	475.00	1,092.50
	15 - Resea	rch; researching c	ases for Lead Plaintiff						
07-24-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		0.500	475.00	237.50
	05 - Motion	; LP Brief							
08-03-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		4.300	475.00	2,042.50
		; updating LP Mot	•	for Consolidation and Dismissed					
08-17-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		2.800	475.00	1,330.00

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Time Entries

Monteverde & Associates PC

							Fro	om 01-01-2018	3 10 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
	05 - Motion	: updating Lead Pl	aintiff Motion and rela	ted docs					
08-17-2018	Approved	,	Billable	05 - Motion	Monteverde, Juan		2.000	975.00	1,950.00
		- review/edit PSLF							.,
08-20-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		0.500	475.00	237.50
		; filing LP Motion			0,				
08-20-2018	Approved	-	Billable	05 - Motion	Bower, David		0.500	750.00	375.00
	05 - Motion	- Review and filing	g motion for lead.						
08-22-2018	Approved		Billable	11 - Correspondence/ Communications	Benzenberg, Eric		0.300	475.00	142.50
	11 - Corres	pondence/Commu	nications; forwarding	copies of LP Motion to Defense					
09-05-2018	Approved		Billable	05 - Motion	Bower, David		0.200	750.00	150.00
	05 - Motion	- Review and cont	firm filing of non-oppo	sition					
09-05-2018	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rev	view filing and conforn	n					
09-05-2018	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view, edit, and file stip	ulation					
09-06-2018	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.100	750.00	75.00
	17 - Case S	Schedule - Receipt	of court's order and a	idjust calendar.					
09-27-2018	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rec	ceipt and review of co	urt's order re Lead					
09-27-2018	Approved		Billable	05 - Motion	Monteverde, Juan		0.600	975.00	585.00
	05 - Motion	- review order re l	ead and redo pslra no	tice w record date					
09-28-2018	Approved		Billable	05 - Motion	Bower, David		0.200	750.00	150.00
	05 - Motion	- Review and re-fi	le motion re Lead						
09-28-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		1.300	475.00	617.50
	05 - Motion	; revising Lead Pla	intiff Motion						
10-03-2018	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		0.600	475.00	285.00
	01 - Case I	Development, Inves	stigation and review c	orporate filings; PSLRA Notice rev	visions				
11-27-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		5.500	475.00	2,612.50
	05 - Motion	; reviewing DEFM ²	14A and Docket and r	evising LP MOL	-				
11-28-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		0.800	475.00	380.00
	05 - Motion	; editing LP Motion	and Declaration and	preparing exhibits					
11-28-2018	Approved		Billable	15 - Research	Benzenberg, Eric		2.300	475.00	1,092.50
11-28-2018	Approved		Billable	15 - Research	Benzenberg, Eric		2.300	475.00	1

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	15 - Resea	rch; research conc	erning projections and	1 ZTE					
12-03-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		1.500	475.00	712.50
	05 - Motion	; finalizing LP Mot	ion for filing						
12-03-2018	Approved		Billable	05 - Motion	Bower, David		0.200	750.00	150.00
	05 - Motion	- Filing of addition	al motion re lead role						
12-03-2018	Approved		Billable	05 - Motion	Monteverde, Juan		1.600	975.00	1,560.00
	05 - Motion	- review 2nd brief	for lead and file						
12-13-2018	Approved		Billable	12 - Analyze and review	Benzenberg, Eric		1.600	300.00	480.00
	12 - Analyz	e and review; draf	ting CMC Stip						
12-14-2018	Approved		Billable	05 - Motion	Benzenberg, Eric		0.800	475.00	380.00
	05 - Motion	; drafting CMC Sti	р						
12-26-2018	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.700	975.00	682.50
	17 - Case S	Schedule - daraft c	mc adjournment and o	discuss w defs					
12-27-2018	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.500	975.00	487.50
	17 - Case S	Schedule - update	adjournment w defs e	dits					
01-08-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Re	ceipt and review order	from court.					
01-15-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	ceipt and review and c	liscuss with counsel order appoint	ting lead.				
02-19-2019	Approved		Billable	05 - Motion	Benzenberg, Eric		2.800	475.00	1,330.00
	05 - Motion	; contacting defen	se counsel and draftin	g proposed briefing stipulation					
02-20-2019	Approved		Billable	11 - Correspondence/ Communications	Benzenberg, Eric		0.500	475.00	237.50
	11 - Corres	pondence/Commu	inications; negotiating	amended pleading/briefing sched	lule with defense counsel				
02-22-2019	Approved		Billable	05 - Motion	Benzenberg, Eric		0.500	475.00	237.50
	05 - Motion	; editing proposed	briefing stip						
03-06-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		5.500	475.00	2,612.50
	01 - Case I transcripts	Development, Inve	stigation and review c	orporate filings; reviewing SEC fili	ngs, earnings announcements,	and earnings			
03-06-2019	Approved		Billable	02 - Pleading	Benzenberg, Eric		1.000	475.00	475.00
	02 - Pleadir	ng; drafting amend	led complaint						

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, lı	nc.								
Merger									
03-07-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, I	Eric	5.500	475.00	2,612.50
	01 - Case E	Development, Inve	stigation and review co	orporate filings; reviewing SEC fi	lings and analyst o	commentaries			
03-07-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	4.000	475.00	1,900.00
	02 - Pleadir	ng; drafting amend	led complaint						
03-08-2019	Approved		Billable	05 - Motion	Benzenberg, I	Eric	0.300	475.00	142.50
	05 - Motion	; revising briefing	stipulation						
03-08-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, I	Eric	2.300	475.00	1,092.50
	01 - Case E	Development, Inve	stigation and review co	orporate filings; reviewing earnin	gs announcement	s and analyst commentaries			
03-08-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	3.800	475.00	1,805.00
	02 - Pleadir	ng; drafting amend	led complaint						
03-08-2019	Approved		Billable	14 - Meeting/Strategy	Schreiner, Mil	es	0.500	850.00	425.00
	14 - Meetin	g/Strategy with Er	ic re. amended compla	aint					
03-11-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	3.800	475.00	1,805.00
	02 - Pleadir	ng; drafting amend	led complaint						
03-11-2019	Approved		Billable	11 - Correspondence/ Communications	Benzenberg, I	Eric	0.300	475.00	142.50
	11 - Corres	pondence/Commu	unications; following up	with defense counsel concernin	ng proposed briefin	ng stipulation			
03-12-2019	Approved		Billable	05 - Motion	Benzenberg, I	Eric	0.300	475.00	142.50
	05 - Motion	; finalizing briefing	stip for filing						
03-13-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, I	Eric	0.300	475.00	142.50
	01 - Case E	Development, Inve	stigation and review co	orporate filings; checking docket					
03-14-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, I	Eric	3.300	475.00	1,567.50
	01 - Case E filings	Development, Inve	stigation and review co	prporate filings; reviewing earnin	gs call transcript, a	analyst commentaries, SEC			
03-14-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	3.800	475.00	1,805.00
	02 - Pleadir	ng; drafting amend	led complaint						
03-18-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	1.300	475.00	617.50
	02 - Pleadir	ng; drafting amend	led complaint						
03-20-2019	Approved		Billable	02 - Pleading	Benzenberg, I	Eric	1.300	475.00	617.50

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	02 - Pleadii	ng; drafting amend	led complaint						
03-22-2019	Approved	0, 0	Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		0.300	475.00	142.50
	01 - Case [Development. Inve	stigation and review c	corporate filings; confirming and c	alendaring briefing schedule				
03-29-2019	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Benzenberg, Eric		0.300	475.00	142.50
	01 - Case [Development, Inve	stigation and review c	orporate filings; confirming filing	deadlines				
04-09-2019	Approved		Billable	02 - Pleading	Benzenberg, Eric		8.800	475.00	4,180.00
	02 - Pleadi	ng; drafting amend	led complaint		-				
04-09-2019	Approved		Billable	02 - Pleading	Schreiner, Miles		1.500	850.00	1,275.00
	02 - Pleadii	ng review draft am	ended complaint and	email to eric re revisions; meetin	g re. same				
04-11-2019	Approved		Billable	02 - Pleading	Benzenberg, Eric		3.300	475.00	1,567.50
	02 - Pleadii	ng; editing amende	ed complaint						
04-14-2019	Approved		Billable	02 - Pleading	Schreiner, Miles		2.500	850.00	2,125.00
	02 - Pleadii	ng - revise/edit am	ended complaint						
04-15-2019	Approved		Billable	02 - Pleading	Benzenberg, Eric		10.500	475.00	4,987.50
	02 - Pleadii	ng; drafting amend	led complaint						
04-15-2019	Approved		Billable	12 - Analyze and review	Bower, David		1.200	750.00	900.00
	12 - Analyz	e and review - Re	view amended pleadir	ng and prepare for filing					
04-15-2019	Approved		Billable	02 - Pleading	Bower, David		1.000	750.00	750.00
	02 - Pleadii	ng - Review and fil	e amended complaint	t					
04-15-2019	Approved		Billable	02 - Pleading	Schreiner, Miles		4.000	850.00	3,400.00
	02 - Pleadii	ng - revise/edit am	ended compliant and	emails/calls with john re same					
04-15-2019	Approved		Billable	02 - Pleading	Monteverde, Juan		3.500	975.00	3,412.50
	02 - Pleadii	ng - review/edit am	nended complaint						
04-15-2019	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - emails to o	client Sai re Amended complaint					
04-15-2019	Approved		Billable	02 - Pleading	Baylet, John		9.000	575.00	5,175.00
	02 - Pleadii Draft, edit,	ng and finalize amen	ded complaint						
05-24-2019	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
		pondence/Commu	inications - defs briefin	-					
05-28-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	12 - Analyz	e and review - Revi	ew and discuss stipul	lation for filing					
06-13-2019	Approved		Billable	05 - Motion	Monteverde, Juan		8.000	975.00	7,800.00
	05 - Motion	- reivew/annotate n	ntd						
06-17-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rece	eipt and review motio	n filed by defendant					
06-20-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyz	e and review - Revi	ew and discuss Motio	on to Dismiss					
06-20-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
			ew and analysis of M						
06-26-2019	Approved		Billable	05 - Motion	Baylet, John		8.500	575.00	4,887.50
	05 - Motion Review an		C filings: Review and	annotate Def MTD; legal researd	ch regarding PSI RA safe-harbo	or			
06-27-2019	Approved	5	Billable	05 - Motion	Baylet, John		2.500	575.00	1,437.50
	05 - Motion				,				.,
07-02-2019	Approved		Billable	05 - Motion	Baylet, John		9.000	575.00	5,175.00
	05 - Motion	l	ıl research re: 9th Cir	law and PSLRA safe harbor					
07-08-2019	Approved		Billable	05 - Motion	Baylet, John		6.500	575.00	3,737.50
	05 - Motion Legal rese	arch; draft MTD opp)						
07-09-2019	Approved		Billable	05 - Motion	Baylet, John		9.000	575.00	5,175.00
	05 - Motion Legal rese	arch; draft MTD opp)						
07-09-2019	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.700	850.00	595.00
	14 - Meetin	g/Strategy with john	n re mtd opposition br	ief arguments including re safe l	narbor; research re same				
07-10-2019	Approved		Billable	05 - Motion	Baylet, John		4.000	575.00	2,300.00
	05 - Motion Legal rese	arch; draft MTD opp)						
07-11-2019	Approved		Billable	05 - Motion	Baylet, John		9.000	575.00	5,175.00
	05 - Motion Legal rese	arch; draft MTD opp)						
07-12-2019	Approved		Billable	05 - Motion	Baylet, John		6.000	575.00	3,450.00
	05 - Motion Legal rese	arch; draft MTD opp)						
07-23-2019	Approved		Billable	05 - Motion	Baylet, John		3.500	575.00	2,012.50
	05 - Motion draft and e	edit MTD opp							

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Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
07-24-2019	Approved		Billable	05 - Motion	Baylet, John		4.500	575.00	2,587.50
	05 - Motion Draft and e	dit MTD opp							
07-25-2019	Approved		Billable	05 - Motion	Baylet, John		2.000	575.00	1,150.00
	05 - Motion Draft and e	dit MTD opp							
07-25-2019	Approved		Billable	05 - Motion	Schreiner, Miles		6.000	850.00	5,100.00
	05 - Motion	- draft/revise mtd	opp and legal researd	ch re same					
07-26-2019	Approved		Billable	05 - Motion	Schreiner, Miles		5.500	850.00	4,675.00
	05 - Motion	- draft/revise mtd	opp and legal researd	ch re same					
07-26-2019	Approved		Billable	05 - Motion	Monteverde, Juan		5.200	975.00	5,070.00
	05 - Motion	- opp mtd							
07-28-2019	Approved		Billable	05 - Motion	Schreiner, Miles		1.500	850.00	1,275.00
	05 - Motion	review chart john	prepared re statemer	ts and review mtd opp brief					
07-28-2019	Approved		Billable	05 - Motion	Baylet, John		3.000	575.00	1,725.00
	05 - Motion Draft suppl	emental chart							
07-29-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rev	view and approve filin	g of Opposition					
07-29-2019	Approved		Billable	05 - Motion	Baylet, John		15.000	575.00	8,625.00
	05 - Motion draft edit a	nd finalize MTD or	oposition						
07-29-2019	Approved		Billable	05 - Motion	Schreiner, Miles		15.500	850.00	13,175.00
	05 - Motion	- draft/revise mtd	opp and legal researd	ch re same					
07-29-2019	Approved		Billable	05 - Motion	Monteverde, Juan		4.000	975.00	3,900.00
	05 - Motion	- opp mtd and dis	cuss w team						
07-30-2019	Approved		Billable	05 - Motion	Monteverde, Juan		6.000	975.00	5,850.00
	05 - Motion	- edit/finalize opp	mtd						
08-01-2019	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Re	ceipt and review of no	tice of counsel change					
08-07-2019	Approved		Billable	05 - Motion	Baylet, John		1.000	575.00	575.00
	05 - Motion Review an		onato's standing orde	ers and ND Cal local rules; prepa	re and ship chambers copies of M	TD opp			
09-03-2019	Approved		Billable	05 - Motion	Monteverde, Juan		4.500	975.00	4,387.50
		- reply mtd and di	scuss envision order	w team					
09-04-2019	Approved		Billable	12 - Analyze and review	Schreiner, Miles		1.500	850.00	1,275.00
		e and review def r	ntd reply brief and cas	•					

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional S	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
09-09-2019	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.100	750.00	75.00
	17 - Case S	Schedule - Notice	of change of dates and	l adjust calendar					
10-03-2019	Approved		Billable	02 - Pleading	Bower, David		0.700	750.00	525.00
	02 - Pleadir	ng - Filing of Notic	e of recent decisions						
10-03-2019	Approved		Billable	05 - Motion	Schreiner, Miles		0.500	850.00	425.00
	05 - Motion	draft letter re sup	plemental authority an	d review opinions in connection w	/ith same				
11-06-2019	Approved		Billable	05 - Motion	Baylet, John		1.500	575.00	862.50
	05 - Motion Legal rese		distinguishing negative	e case law					
12-02-2019	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.500	850.00	1,275.00
			an re mtd hearing set for research in connectior		of pslra safe harbor and distinguis	hing			
12-02-2019	Approved		Billable	05 - Motion	Monteverde, Juan		0.500	975.00	487.50
	05 - Motion	phv jem							
12-03-2019	Approved		Billable	05 - Motion	Bower, David		0.300	750.00	225.00
	05 - Motion	- Pro Hac motion							
12-03-2019	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		9.000	975.00	8,775.00
	06 - Prepar	ation Hearing/Tria	I - MTD and power poi	nt for argument					
12-04-2019	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		8.000	975.00	7,800.00
	06 - Prepar	ation Hearing/Tria	l - prep and travel MTI	D					
12-05-2019	Approved		Billable	07 - Court Hearing	Monteverde, Juan		1.000	975.00	975.00
	07 - Court I	Hearing - MTD							
12-05-2019	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.000	850.00	850.00
	14 - Meetin	g/Strategy - calls \	with juan re MTD heari	ng and review MTD opp brief in c	onjunction with same				
12-06-2019	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		5.500	975.00	5,362.50
	06 - Prepar	ation Hearing/Tria	I - MTD						
10-08-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review ruling	on MTD					
10-08-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		2.000	475.00	950.00
	01 - Case [Development, Inve	stigation and review co	orporate filings					
10-08-2020	Approved		Billable	05 - Motion	Monteverde, Juan		1.500	975.00	1,462.50

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
	05 - Motior	n - review and conf	er re MTD order and r	next steps					
10-09-2020	Approved		Billable	05 - Motion	Baylet, John		1.200	575.00	690.00
	05 - Motior		enving MTD						
10-12-2020		•	Billable	12 - Analyze and review	Baylet, John		1.800	575.00	1,035.00
	12 - Analyz Review ar	ze and review	ed case schedule; rese	earch treatment of Daubert and ot		e 9th Cir; discuss			,
10-12-2020	Approved	1	Billable	15 - Research	Steele, Jordan		1.000	475.00	475.00
	15 - Resea	arch into Daubert m	notions						
10-12-2020	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Corres	spondence/Commu	unications - Discussior	ns and proposals regarding furthe	r filings				
10-12-2020	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		1.800	975.00	1,755.00
	17 - Case	Schedule - draft/re	view/revise schedule						
10-21-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	spondence/Commu	unications emails and	calls re defs answer time					
10-22-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	ze and review - Re	view stipulation and co	omment					
10-28-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	ze and review - Re	view and discuss 26F	Report					
10-28-2020	Approved		Billable	05 - Motion	Steele, Jordan		0.700	475.00	332.50
	05 - Motior	n - registration for c	alifornia ECF system	to submit pro hac motion					
10-28-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		2.500	475.00	1,187.50
	01 - Case	Development, Inve	stigation and review c	orporate filings					
10-28-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.200	475.00	95.00
	12 - Analyz	ze and review of so	cheduling order						
10-28-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	spondence/Commu	unications re local rule	s and pro hac					
10-28-2020	Approved		Billable	15 - Research	Baylet, John		1.300	575.00	747.50
	15 - Resea			anne a baile a Danata					

Research into case Exchange Act case schedules approved by Judge Donato

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
10-28-2020	Approved		Billable	03 - Discovery	Baylet, John		1.100	575.00	632.50
	03 - Discov	,		shadular Daviaw and analyza Da	f dueft a dite to OC(f) and a ach	a du la			
10-28-2020			Billable	chedule; Review and analyze De	()	edule	1 500	575.00	2,587.50
10-20-2020	Approved	ze and review	Diliable	12 - Analyze and review	Baylet, John		4.500	575.00	2,307.30
	Review an	id analyze Proxy a		Review and analyze case filings and analyze case filings and analyz		iew and analyze			
10-28-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corres	spondence/Commu	inications - defs and c	ase schedule					
10-29-2020	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		2.700	575.00	1,552.50
		pondence/Commu or and participate in	inications 1 26(f) conference with	n Def Counsel					
10-29-2020	Approved		Billable	12 - Analyze and review	Baylet, John		0.600	575.00	345.00
	Review an			nsel; review and and analyze ed scover; discuss with managing pa		call; review and			
10-29-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.700	475.00	807.50
	11 - Corres	pondence/Commu	inications - 26(f) confe	erence with defendants and relate	ed communications				
10-29-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		2.700	850.00	2,295.00
		very - review court with juan re same	and judge's local rules	s and draft 26f report; emails with	n team re same; call with defe	nse counsel re			
10-30-2020	Approved		Billable	05 - Motion	Steele, Jordan		0.500	475.00	237.50
	05 - Motior	n - pro hac motion							
10-30-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.800	475.00	380.00
	11 - Corres	spondence/Commu	inications and review	of scheduling order with opposin	g, later filed				
10-30-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Commu	inications w/in firm re	case strategy					
10-30-2020	Approved		Billable	12 - Analyze and review	Baylet, John		0.600	575.00	345.00
		te and review Id analyze commur	nications with defense	counsel and latest drafts of case	e schedule				
10-30-2020	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discov Discuss pl	,	anaging partner and fe	ellow associate					
10-30-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
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Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

							Fro	om 01-01-2018	3 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
	12 - Analyz	e and review - Ed	it and finalize joint 26F	Report.					
11-02-2020	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		0.500	850.00	425.00
	11 - Corres	pondence/Commu	unications - emails wit	h team re case strategy and disc	overy review plan; meeting	with juan re same			
11-03-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Commu	unications within firm						
11-05-2020		e and review of P	Billable	12 - Analyze and review	Steele, Jordan		3.000	475.00	1,425.00
11-06-2020			Billable	12 - Analyze and review	Steele, Jordan		3.000	475.00	1,425.00
			ase public information	,	,				,
11-08-2020			Billable	03 - Discovery	Schreiner, Miles		2.100	850.00	1,785.00
	03 - Discov	very - reivew/revise	e drafts of RFPs; emai	ls with juan re same					
11-09-2020	Approved		Billable	03 - Discovery	Steele, Jordan		1.700	475.00	807.50
	03 - Discov	very - Draft RFPs a	and Subpoena						
11-09-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	pondence/Commu	unications w/in firm re	discovery requests					
11-09-2020	Approved		Billable	03 - Discovery	Baylet, John		2.600	575.00	1,495.00
	03 - Discov Review, ai		e edits to requests for	production and potential search t	erms				
11-10-2020	Approved		Billable	02 - Pleading	Bower, David		0.100	750.00	75.00
	02 - Pleadi	ng - Notice of App	earance filing						
11-10-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		2.000	850.00	1,700.00
		very - review/analy	ze proposed ESI sear	ch terms and updated drafts of F	RFPs; emails with team re s	ame			
11-11-2020			Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
		e and review - Re	view and comment on						
11-11-2020			Billable	12 - Analyze and review	Steele, Jordan		0.300	475.00	142.50
	12 - Analyz	e and review of fil	ings in the case						
11-12-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		0.100	475.00	47.50
	01 - Case I	Development, Inve	stigation and review o	corporate filings					
11-12-2020	Approved		Billable	05 - Motion	Bower, David		0.300	750.00	225.00
	05 - Motion	- Prepare and file	motion re Pro Hac Bl	<					

05 - Motion - Prepare and file motion re Pro Hac BK

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
11-13-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		0.200	475.00	95.00
	01 - Case E	Development, Inve	estigation and review c	orporate filings / communications	re pro hac				
11-13-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.300	475.00	142.50
	12 - Analyz	e and review of R	FPs						
11-13-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re RFPs						
11-16-2020	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Corres	pondence/Comm	unications - Receipt of	Notice re transcript and discuss	with co-counsel and order				
11-17-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re pro hac						
11-19-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications						
11-19-2020	Approved		Billable	02 - Pleading	Bower, David		0.200	750.00	150.00
	02 - Pleadir	ng - Filing Notice	of Subpoena re Jefferie	es					
11-20-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications re subpoena	3					
12-01-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		1.200	475.00	570.00
	01 - Case E	Development, Inve	estigation and review c	orporate filings re Defendants' ar	nswers				
12-01-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications re answers						
12-01-2020	Approved		Billable	02 - Pleading	Baylet, John		0.800	575.00	460.00
	02 - Pleadir Review an		swer to complaint; disc	uss with associate					
12-01-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
		e and review - Re	ceipt and review answ	er from Lumentum					
12-01-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review answ	er from Board of Directors					

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
12-15-2020	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discov Review an	ery d analyze initial di	sclosures						
12-15-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.200	475.00	95.00
	12 - Analyz	e and review of 26	δ(a) disclosures						
12-15-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		0.500	850.00	425.00
	03 - Discov	ery - review plaint	iff's initial disclosures						
12-15-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Comm	unications - emails w J	efferies re subpoena					
12-16-2020	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.500	475.00	712.50
	03 - Discov	ery Worked on	Lumentum subpoena.						
12-17-2020	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	ery Finished wo	rking on the Deutsche	Bank subpoena documents.					
12-18-2020	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	ery Prepped for	Jefferies subpoena ca	all.					
12-18-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - cor	respondence review re	egarding discovery and subpoen	as				
12-19-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.700	475.00	332.50
	12 - Analyz	e and review of Je	efferies' responses and	objections					
12-20-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.400	475.00	190.00
	11 - Corres	pondence/Commu	unications re pro hac a	nd letter for Jefferies					
12-20-2020	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Corres	pondence/Comm	unications - Receipt a	nd discussion regarding Jefferies	counsel and subpoena				
12-20-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		1.000	850.00	850.00
	03 - Discov	ery - review/analz	ye jefferies response t	o subpoena and emails re same					
12-21-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re phv						
12-21-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.000	475.00	475.00
	11 - Corres	pondence/Commu	unications re letter to J	efferies					
12-21-2020	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		6.500	475.00	3,087.50
	11 - Corres	nondence/Comm	inications Worked o	n letter to compel					

11 - Correspondence/Communications -- Worked on letter to compel.

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
12-21-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		5.500	475.00	2,612.50
	11 - Corres	spondence/Commu	unications - draft letter	to jefferies					
12-21-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.500	475.00	237.50
	12 - Analyz	ze and review of D	efendants' responses	and objections					
12-21-2020	Approved		Billable	05 - Motion	Baylet, John		3.800	575.00	2,185.00
				g pro hac vice application; corre	spond with NY state bar and	l obtain COGS;			
12-21-2020	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Review ar	,	um RFP responses						
12-21-2020	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Review ar	,	al Def RFP responses						
12-21-2020	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Review ar	very nd analyze Oclaro I	RFP responses						
12-21-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		1.600	850.00	1,360.00
	03 - Discov	very - review respo	nses to RFPs and res	earch re discovery standards ur	ider rule 26				
12-21-2020	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.000	975.00	975.00
	14 - Meetir	ng/Strategy re disc	overy and jefferies w t						
12-22-2020	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov Review ar	very nd analyze Deutsch	ne Bank RFP						
12-22-2020	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review ar		tum Initial Disclosures						
12-22-2020	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review ar		tum Oclaro Disclosure	S					
12-22-2020	Approved		Billable	03 - Discovery	Baylet, John		0.200	575.00	115.00
	03 - Discov Review ar	very nd analyze Oclaro :	search terms						
12-22-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.000	475.00	475.00
	44 0	1 /0		<i>cc</i> .					

11 - Correspondence/Communications re letter to Jefferies

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
12-22-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		2.300	475.00	1,092.50
	11 - Corres	pondence/Comm	unications - Draft and i	esearch for letter to Jefferies					
12-22-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Steele, Jordan		0.200	475.00	95.00
	01 - Case I	Development, Inve	stigation and review c	orporate filings					
12-22-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.800	475.00	380.00
	12 - Analyz	e and review of in	itial disclosures						
12-22-2020	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		3.500	475.00	1,662.50
	11 - Corres	pondence/Comm	unications Continue	d researching and making edits t	o the Oclaro letter.				
12-22-2020	Approved		Billable	03 - Discovery	Bower, David		0.700	750.00	525.00
	03 - Discov	ery - Review and	filing of various corres	pondence regarding discovery a	nd review of disclosures				
12-22-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		0.500	850.00	425.00
	03 - Discov	ery - review juan l	etter re jefferies subpo	ena and emails re same; calls w	<i>v</i> ith juan re same;				
12-22-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		0.600	850.00	510.00
	03 - Discov	ery - review lumer	ntum and oclaro initial	disclosures					
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.200	750.00	150.00
	11 - Corres	pondence/Comm	unications - Receipt ar	nd review and discussion with co	-counsel re defendant's mot	ion for reconsideration			
12-23-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review of Mo	otion seeking leave to file Motion	for Reconsideration				
12-23-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyz	e and review - Re	view of correspondence	ce and motion seeking reconside	eration				
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Corres	pondence/Comm	unications - conference	e with Deutsche Bank counsel ar	nd correspondence regardin	g subpoena			
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re timeline o	of events and discovery					
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications re meeting	with defendants					
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications re subpoena	a					

11 - Correspondence/Communications re subpoena

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
12-23-2020	Approved		Billable	03 - Discovery	Steele, Jordan		2.200	475.00	1,045.00
	03 - Discov	very - review of pul	blicly available docume	ents					
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	spondence/Commu	unications re schedulin	g and reconsideration motion					
12-23-2020	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discov Prepare fo		n discovery call with De	ef counsel					
12-23-2020	Approved		Billable	03 - Discovery	Schreiner, Miles		0.400	850.00	340.00
	03 - Discov	very - review deuts	che bank subpoena						
12-23-2020	Approved		Billable	12 - Analyze and review	Schreiner, Miles		2.000	850.00	1,700.00
	12 - Analyz same	ze and review def r	motion for reconsiderat	ion and pleadings and mtd briefi	ng in connection with same;	calls with juan re			
12-23-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	spondence/Commu	unications - emails ree	xtension and reconsideration					
12-23-2020	Approved		Billable	05 - Motion	Monteverde, Juan		2.000	975.00	1,950.00
	05 - Motior	n - review/annotate	motion for considerati						
12-24-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	spondence/Commu	unications - re subpoer	na					
12-24-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		1.200	475.00	570.00
	12 - Analyz	e and review of m	otion for reconsideration	ิวท					
12-24-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyz	ze and review - rev	view and research rega	rding motion for reconsideration					
12-24-2020	Approved		Billable	03 - Discovery	Monteverde, Juan		1.200	975.00	1,170.00
	03 - Discov	very - calls and em	ails w DB re subpoena						
12-24-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	spondence/Commu	unications - calls/email	s w Jefferies counsel re subpoer	าล				
12-25-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	spondence/Commu	unications re Jefferies						
12-28-2020	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.800	475.00	380.00
	12 - Analyz	e and review of in	surance policies						

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Oclaro, I	nc.								
<u>Merger</u>									
12-28-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		3.000	475.00	1,425.00
	01 - Case [Development, Inve	estigation and review c	orporate filings started working	on timeline.				
12-28-2020	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review recor	ds re disclosures					
12-29-2020	Approved		Billable	02 - Pleading	Bower, David		0.200	750.00	150.00
	02 - Pleadir	ng - Filing Stip re I	Protective Order						
12-29-2020	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
	03 - Discov	ery Continued v	vorking on Oclaro time	line.					
12-29-2020	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.400	475.00	190.00
	11 - Corres	pondence/Comm	unications/analysis of o	confidentiality agreement and def	fendants' edits				
12-29-2020	Approved		Billable	03 - Discovery	Monteverde, Juan		0.600	975.00	585.00
	03 - Discov	ery - review edits	to confi stip						
12-29-2020	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	pondence/Comm	unications - re case an	d confi w defs and file same					
12-30-2020	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		2.000	475.00	950.00
	01 - Case [Development, Inve	estigation and review c	orporate filings Continued work	king on timeline.				
01-03-2021	Approved		Billable	05 - Motion	Monteverde, Juan		3.200	975.00	3,120.00
	05 - Motion	- review Baum de	ecision and review/edit	supplemental authority re mtd					
01-04-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		6.500	475.00	3,087.50
		Development, Inve and motion for rec		orporate filings Continued work	king on timelines and read thro	ugh MTD briefings			
01-04-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - rev	view correspondence re	egarding discovery issues, Jeffer	ies				
01-04-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	pondence/Comm	unications re discovery						
01-04-2021	Approved		Billable	03 - Discovery	Steele, Jordan		1.000	475.00	475.00
	03 - Discov	ery - Review docs	filed with the court						

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount			
Oclaro, I	nc.											
<u>Merger</u>												
01-04-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00			
	11 - Corres	pondence/Commu	unications w Yoshida									
01-04-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50			
	03 - Discov	ery - follow up em	ails and analysis w Je	fferies and impact of reconsidera	tion motion							
01-05-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		5.000	475.00	2,375.00			
	01 - Case [Development, Inve	stigation and review c	orporate filings finished review	ing briefings and finalized tir	neline.						
01-06-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00			
	11 - Corres	pondence/Commu	unications re case stat	us								
01-06-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50			
	03 - Discov	ery - review hit rep	oort									
01-06-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		6.500	975.00	6,337.50			
	02 - Pleadi	ng - pull source do	ocs cited in complaint a									
01-07-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.200	475.00	570.00			
	11 - Corres	1 - Correspondence/Communications - Calls with Defendants/3rd parties counsel re discovery / related internal communciations										
01-07-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		0.500	475.00	237.50			
	01 - Case [Development, Inve	stigation and review c	orporate filings Prepped for cal	I with Jefferies counsel and	defs counsel.						
01-07-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50			
	11 - Corres	pondence/Commu	unications Conferen	ce call with Jefferies counsel.								
01-07-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.500	475.00	712.50			
	11 - Corres	pondence/Commu	unications - Call with d	efs.								
01-07-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		8.000	975.00	7,800.00			
	06 - Prepar	ation Hearing/Tria	l re 2nd MTD									
01-07-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.800	975.00	1,755.00			
	11 - Corres	pondence/Commu	unications - emails/cal	s w defs and Jefferies re discove	yr/custodians							
01-07-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		1.500	850.00	1,275.00			
	03 - Discov	ery call with jefferi	es consel re RFP and	search terms; prepare for same	by reviewing same, proxy, a	and complaint						

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro,	Inc.								
Merger									
01-07-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		1.300	850.00	1,105.00
	03 - Discov	ery - call with cou	nsel for oclaro defenda	ants re discovery/RFP; prepare fo	r same and post call with Juan				
01-08-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		11.000	975.00	10,725.00
	06 - Prepai	ation Hearing/Tria	I - prep for 2nd MTD						
01-09-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	ceipt of review of hit re	eport					
01-09-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	pondence/Commu	unications re hit report						
01-09-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		6.000	975.00	5,850.00
	06 - Prepai	ation Hearing/Tria	I - prep outline for 2nd	mtd hearing					
01-10-2021	Approved		Billable	15 - Research	Steele, Jordan		2.500	475.00	1,187.50
	15 - Resea	rch re MTR							
01-10-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		4.500	975.00	4,387.50
	06 - Prepai	ation Hearing/Tria	I - prep and mock arg	ument re 2nd mtd					
01-11-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		0.300	475.00	142.50
	12 - Analyz	e and review re hi	t report						
01-11-2021	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discov Review an	ery d analyze search ⁻	terms/hit report						
01-11-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		3.000	975.00	2,925.00
	06 - Prepai	ation Hearing/Tria	I - prep for 2nd mtd ar	gument					
01-11-2021	Approved		Billable	05 - Motion	Monteverde, Juan		1.000	975.00	975.00
	05 - Motior	- review order stie	cking SAC and vacatin	g mtd, discuss w team					
01-11-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.800	975.00	780.00
	17 - Case \$	Schedule - confer i	internally and review d	raft for new schedule					
01-11-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - inform clie	nt of update re SAC and 2nd MT) and new schedule				
01-12-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re time perio	od for discovery					

11 - Correspondence/Communications re time period for discovery

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Time Entries

Monteverde & Associates PC

Oclaro, Inc. Merger Colspan="2">Or 1-32021 Approved Billable 12 - Analyze and review receipt and revie	Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
01-13-2021 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 225.00 01-14-2021 Approved Billable 11 - Correspondence/ Communications Scarpa, Rosselia 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Schreiner, Miles 0.300 475.00 255.00 01-19-2021 Approved Billable 12 - Analyze and review relations communications Scarpa, Rosselia 0.200 475.00 95.00 01-21-2021 Approved Billable 12 - Analyze and review relations Scarpa, Rosselia 0.200 475.00 1.87.50 01-21-2021 Approved Billable 12 - Analyze and review relations Scarpa, Rosselia 0.100 475.00 47.50 01-21-2021 Approved Billable 12 - Analy	Oclaro, I	nc.								
12 - Analyze and review - Receipt and review production from Jeffices 01-14-2021 Approved Billable 11 - Correspondence/ Communications Scarpa, Rossella 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.300 850.00 255.00 01-14-2021 Approved Billable 11 - Correspondence/ Communications Schreiner, Miles 0.300 850.00 255.00 01-19-2021 Approved Billable 12 - Carse Development, Investigation and review corporate filings Scarpa, Rossella 0.200 475.00 95.00 01-21-2021 Approved Billable 12 - Analyze and review Steele, Jordan 0.100 475.00 1.187.50 01-21-2021 Approved Billable 13 - Correspondence/ Communications Steele, Jordan 0.100 475.00 2.047.50 01-21-2021 Approved Billable 13 - Correspondence/ Communications Monteverde, Juan 0.400 975.00 2.047.50 </td <td><u>Merger</u></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	<u>Merger</u>									
01-14-2021 Approved Billable 11 - Correspondence/ Communications Scarpa, Rossella 0.300 475.00 142.50 01 - Case Development, Investigation and review corporate filings - Working through issues downloading the Jefferies production. 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.300 475.00 142.50 01-14-2021 Approved Billable 11 - Correspondence/ Communications Schreiner, Miles 0.300 850.00 255.00 01-14-2021 Approved Billable 01 - Case Development, Investigation and review corporate filings Scarpa, Rossella 0.200 475.00 95.00 01-19-2021 Approved Billable 12 - Analyze and review corporate filings Scarpa, Rossella 0.200 475.00 1,187.50 01-21-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.100 475.00 47.50 01-21-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.100 475.00 2,047.50 01-22-2021 Approved Billable	01-13-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
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01-19-2021 Approved Billable 01 - Case Development, investigation and review corporate filings Scarpa, Rossella 0.200 475.00 95.00 03 - Discovery updated o'claro timeline. 01-21-2021 Approved Billable 12 - Analyze and review filings Steele, Jordan 2.500 475.00 1,187.50 01-21-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.100 475.00 475.00 475.00 01-21-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.100 475.00 475.00 475.00 01-21-2021 Approved Billable 03 - Discovery Monteverde, Juan 2.100 975.00 2,047.50 01-21-2021 Approved Billable 11 - Correspondence/ Communications Monteverde, Juan 0.400 975.00 390.00 01-21-2021 Approved Billable 12 - Analyze and review Steele, Jordan 0.400 975.00 390.00 01-22-2021 Approved Billable 12 - Analyze and review Steele, Jordan 0.400 975.00 390.00 01-22-2021	01-14-2021	Approved		Billable		Schreiner, Miles		0.300	850.00	255.00
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12 - Analyze and review re timeline of events 01-21-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.100 475.00 47.50 01-21-2021 Approved Billable 03 - Discovery Monteverde, Juan 2.100 975.00 2,047.50 01-21-2021 Approved Billable 03 - Discovery - review/edit 3rd rfp and rogs Monteverde, Juan 0.400 975.00 390.00 01-21-2021 Approved Billable 11 - Correspondence/ Communications Monteverde, Juan 0.400 975.00 390.00 01-22-2021 Approved Billable 12 - Analyze and review view offs re discovery/timing Monteverde, Juan 0.400 975.00 390.00 12 - Analyze and review - draft timeline of events 12 - Analyze and review offs re discovery/timing 12 - Analyze and review - draft timeline of events 2.000 475.00 950.00 12 - 22021 Approved Billable 03 - Discovery Baylet, John 6.800 575.00 3.910.00 13 - Discovery Steele, Jordan 2.000 475.00 950.00 00 00 00.00 750.00 3.910.00 <td></td> <td>03 - Discov</td> <td>ery updated o'c</td> <td>laro timeline.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>		03 - Discov	ery updated o'c	laro timeline.						
O1-21-2021 Approved Billable 11 - Correspondence/Communications Steele, Jordan 0.100 475.00 47.50 01-21-2021 Approved Billable 03 - Discovery Monteverde, Juan 2.100 975.00 2,047.50 01-21-2021 Approved Billable 11 - Correspondence/Communications Monteverde, Juan 0.400 975.00 2,047.50 01-21-2021 Approved Billable 11 - Correspondence/Communications Monteverde, Juan 0.400 975.00 390.00 01-21-2021 Approved Billable 12 - Analyze and review Steele, Jordan 0.400 975.00 390.00 01-22-2021 Approved Billable 12 - Analyze and review Steele, Jordan 0.400 975.00 390.00 01-22-2021 Approved Billable 12 - Analyze and review Steele, Jordan 2.000 475.00 950.00 01-22-2021 Approved Billable 03 - Discovery Baylet, John 6.800 575.00 3.910.00 03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case	01-21-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		2.500	475.00	1,187.50
O1-21-2021ApprovedBillableCommunicationsSteele, Jordan0.10047.5.0047.5.0047.5.0011 - Correspondence/Communications w/def. counsel's production0.100975.002,047.502,047.502,047.5003 - Discovery - review/edit 3rd rfp and rogs0.100975.002,047.502,047.502,047.5001-21-2021ApprovedBillable11 - Correspondence/CommunicationsMonteverde, Juan0.400975.00390.0011 - Correspondence/Communications - emails w defs re discoveyr/timing11 - Correspondence/CommunicationsMonteverde, Juan0.400975.00950.0012 - Analyze and review - draft timeline of events12 - Analyze and reviewSteele, Jordan2.000475.00950.0003 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case timeline11 - Correspondence/ CommunicationsSteele, Jordan0.200475.0095.0001-29-2021ApprovedBillable03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case timeline11 - Correspondence/ Communications0.200475.0095.0001-29-2021ApprovedBillable12 - Analyze and reviewSteele, Jordan0.200475.0095.0001-29-2021ApprovedBillable12 - Analyze and reviewSteele, Jordan0.300750.00225.0012 - Correspondence/Communications re discovery		12 - Analyz	e and review re tir	meline of events						
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03 - Discovery - review/edit 3rd rfp and rogs 01-21-2021 Approved Billable 11 - Correspondence/ Communications Monteverde, Juan 0.400 975.00 390.00 11 - Correspondence/Communications - emails w defs re discoveyr/timing 12 - Analyze and review - draft timeline of events 2.000 475.00 950.00 12 - Analyze and review - draft timeline of events 12 - Analyze and review - draft timeline of events 6.800 575.00 3,910.00 03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case timeline 11 - Correspondence/ Communications 0.200 475.00 95.00 01-29-2021 Approved Billable 03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant 0.200 475.00 95.00 01-29-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.200 475.00 95.00 01-29-2021 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 225.00 01-29-2021 Approved Billable 12 - Analyze and review order denying reconsideration Bowe		11 - Corres	pondence/Commu	unications w/def. coun	sel's production					
01-21-2021ApprovedBillable11 - Correspondence/ CommunicationsMonteverde, Juan0.400975.00390.0011 - Correspondence/Communications - emails w defs re discoveyr/timing01-22-2021ApprovedBillable12 - Analyze and reviewSteele, Jordan2.000475.00950.0012 - Analyze and review - draft timeline of events03 - DiscoveryBaylet, John6.800575.003,910.0003 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant0.200475.0095.0001-29-2021ApprovedBillable11 - Correspondence/ Communications re discoverySteele, Jordan0.200475.0095.0001-29-2021ApprovedBillable11 - Correspondence/ Communications re discoverySteele, Jordan0.200475.0095.0001-29-2021ApprovedBillable12 - Analyze and reviewBower, David0.300750.00225.0001-29-2021ApprovedBillable12 - Analyze and reviewBower, David0.300750.00225.0012 - Analyze and review - Receipt and review order denying reconsideration12 - Analyze and reviewBower, David0.300750.00225.00	01-21-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.100	975.00	2,047.50
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12 - Analyze and review - draft timeline of events 01-22-2021 Approved Billable 03 - Discovery 03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case timeline 6.800 575.00 3,910.00 01-29-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.200 475.00 95.00 11 - Correspondence/Communications re discovery III - Correspondence/ Communications Steele, Jordan 0.300 750.00 225.00 12 - Analyze and review - Receipt and review order denying reconsideration III - Correspondence/ II		11 - Corres	pondence/Commu	unications - emails w d	, ,					
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03 - Discovery Review and analyze Proxy, SEC filings, and other public information; Review and analyze Amended complaint; Collate relevant documents; Draft case timeline 01-29-2021 Approved Billable 11 - Correspondence/ Communications Steele, Jordan 0.200 475.00 95.00 11 - Correspondence/Communications re discovery 01-29-2021 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 225.00 12 - Analyze and review - Receipt and review order denying reconsideration Bower, David 0.300 750.00 225.00		,	e and review - dra							
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O1-29-2021 Approved Billable Communications Steele, Jordan 0.200 475.00 95.00 11 - Correspondence/Communications re discovery 01-29-2021 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 225.00 12 - Analyze and review - Receipt and review order denying reconsideration 10 10 10 10 10		Review an	d analyze Proxy, S		public information; Review and a	nalyze Amended complaint;	Collate relevant			
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12 - Analyze and review - Receipt and review order denying reconsideration		11 - Corres	pondence/Commu	unications re discovery	/					
	01-29-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
01-29-2021 Approved Billable 05 - Motion Monteverde, Juan 0.400 975.00 390.00			e and review - Re	ceipt and review order	, ,					
	01-29-2021	Approved		Billable	05 - Motion	Monteverde, Juan		0.400	975.00	390.00

Case 3:18-cv-03435-JD Document 213-3 Filed 11/02/23 Page 23 of 155 CHAMBERS COPY - DO NOT FILE

Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	05 - Motior	n - review order deny	ing reconsideration	and confer w team re same					
01-29-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Communi	ications - emails w D	B counsel and R&O timing, and	WSGR re formatfor DB				
01-30-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Communi	ications re timelines						
02-03-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	very Trying to down	nload Jefferies produ	iction.					
02-05-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corres	pondence/Communi	ications regarding	Jefferies production.					
02-05-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.000	475.00	3,800.00
	03 - Discov	very - Review Jefferie	es' production						
02-05-2021	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
				; draft and edit timeline; coordina	ate meeting with associates t	o discuss consistent			
02-05-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Communi	ications - f/u re load						
02-08-2021	Approved		Billable	03 - Discovery	Steele, Jordan		2.500	475.00	1,187.50
	03 - Discov	/ery re Defendants' p	product						
02-08-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.200	475.00	3,895.00
	03 - Discov	very doc. review.							
02-08-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		8.500	975.00	8,287.50
	03 - Discov	very - review docs an	nd timeline re jefferie	S					
02-09-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.000	475.00	3,800.00
	03 - Discov	very Jefferies produ	uction review.						
02-09-2021	Approved		Billable	03 - Discovery	Steele, Jordan		4.000	475.00	1,900.00
	03 - Discov	very - Review Oclaro	docs						
02-09-2021	Approved		Billable	03 - Discovery	Baylet, John		2.500	575.00	1,437.50
	03 - Discov Review ar	very id analyze discovery							
02-10-2021	Approved		Billable	03 - Discovery	Steele, Jordan		6.000	475.00	2,850.00
	03 - Discov	very - Review Jefferie	es' docs						

Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
02-10-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	spondence/Commu	unications re discovery	1					
02-10-2021	Approved		Billable	03 - Discovery	Baylet, John		11.500	575.00	6,612.50
	03 - Discov Review an	,	ry; draft and update tir	neline and projections database	e				
02-10-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.200	475.00	3,895.00
	03 - Discov	very Continued r	eviewing Jefferies proc	duction.					
02-10-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.50
	03 - Discov	very - review core o	docs and notes from te	am					
02-11-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.500	475.00	1,187.50
	03 - Discov	very continued re	eviewing the Jefferies	production.					
02-11-2021	Approved		Billable	03 - Discovery	Steele, Jordan		3.500	475.00	1,662.50
	03 - Discov	very - review jefferi	es prod.						
02-11-2021	Approved		Billable	03 - Discovery	Baylet, John		3.200	575.00	1,840.00
	03 - Discov Review an	very id analyze discove	ry						
02-12-2021	Approved		Billable	03 - Discovery	Baylet, John		10.000	575.00	5,750.00
		id ánalyze discove	ry; hold call with mana documents to financial	iging partner and fellow associa expert	ates regarding discovery findir	ngs to date; collate,			
02-12-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	spondence/Commu	unications w/in firm re	status of discovery					
02-12-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.500	475.00	4,037.50
	03 - Discov	very - Review Jeffe	eries docs						
02-12-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corres	spondence/Commu	unications - conference	e call regarding discovery review	<i>N</i> .				
02-12-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.200	975.00	1,170.00
	14 - Meetir	ng/Strategy - discu	ss discovery w John a	nd need for valaution from Trav	is Keath				
02-12-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		5.800	850.00	4,930.00
	03 - Discov	very - document re	view						
02-13-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		4.400	850.00	3,740.00
	03 - Discov	very - document re	view						
02-16-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00
	03 - Discov	verv Continued d	loc. review of Jefferies	production.					

03 - Discovery -- Continued doc. review of Jefferies production.

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

							Fro	01101-01-2010	10 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
02-16-2021	Approved		Billable	03 - Discovery	Steele, Jordan		7.500	475.00	3,562.50
		ery - Analyze/revie	ew of Jefferies' produc	,					-,
02-16-2021	Approved		Billable	03 - Discovery	Baylet, John		7.700	575.00	4,427.50
		d ánalyze discover		itus, and issues with discovery te rom Jefferies production	eam; update managing part	ner on discovery;			
02-17-2021	Approved		Billable	03 - Discovery	Baylet, John		3.800	575.00	2,185.00
	03 - Discov Review an	ery d analyze discover	ry						
02-17-2021	Approved		Billable	03 - Discovery	Steele, Jordan		2.000	475.00	950.00
	03 - Discov	ery - Review Jeffe	ries' production						
02-17-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		8.500	975.00	8,287.50
	03 - Discov	ery - review hot do	ocs and update timelir	e re jefferies					
02-18-2021	Approved		Billable	03 - Discovery	Steele, Jordan		5.000	475.00	2,375.00
	03 - Discov	ery - Review Jeffe	ries' production						
02-18-2021	Approved		Billable	03 - Discovery	Baylet, John		0.500	575.00	287.50
	03 - Discov Review pro		Def counsel for Jeffer	ies regarding cell reference issue	es with native excel files fro	om production			
02-18-2021	Approved		Billable	03 - Discovery	Baylet, John		5.800	575.00	3,335.00
	03 - Discov Review an discuss dis	d analyze discover	ry; Contact and meet d strategy with discov	with IT to attempt to resolve issuery team	e with native excel files fror	n banker production;			
02-18-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		3.500	475.00	1,662.50
	03 - Discov	ery continued re	viewing the Jefferies	production.					
02-18-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Rev	view analysis of files p	produced, Jefferies					
02-19-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corres	pondence/Commu	inications weekly ca	Il regarding discovery.					
02-19-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.200	475.00	95.00
	11 - Corres	pondence/Commu	inications sent ema	il to defs requesting proxy desigr	ations and code name lege	end.			
02-19-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
	03 - Discov	ery reviewed ne	w oclaro discovery.						
02-19-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	nondence/Commu	inications - Team Mee	ting re discovery					

11 - Correspondence/Communications - Team Meeting re discovery

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
02-19-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	pondence/Comm	unications among asso	ociates re discovery					
02-19-2021	Approved		Billable	03 - Discovery	Steele, Jordan		7.500	475.00	3,562.50
	03 - Discov	ery - Review Jeffe	eries' production						
02-19-2021	Approved		Billable	03 - Discovery	Baylet, John		1.700	575.00	977.50
	03 - Discov Prepare fo discovery s	r and host discove	ery conference call with	n discovery team to update man	aging partner on progress an	d affirm continuing			
02-19-2021	Approved		Billable	03 - Discovery	Baylet, John		5.200	575.00	2,990.00
	03 - Discov Review an		ry; correspond with dis	scovery team					
02-19-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.000	975.00	975.00
	14 - Meetin	g/Strategy - prep	and meet w team re di	scovery					
02-19-2021	Approved		Billable	12 - Analyze and review	Monteverde, Juan		3.500	975.00	3,412.50
	12 - Analyz	e and review - tim	elines from associates	3					
02-19-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.200	975.00	1,170.00
	03 - Discov	ery - review loads	and productions						
02-22-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re core disc	overy					
02-22-2021	Approved		Billable	03 - Discovery	Steele, Jordan		3.500	475.00	1,662.50
	03 - Discov	ery - Consolidate	timelines into master t	imeline					
02-22-2021	Approved		Billable	03 - Discovery	Steele, Jordan		2.000	475.00	950.00
	03 - Discov	ery - Analyze/revi	ew of Jefferies' produc	tion					
02-22-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
	03 - Discov	ery Read throu	gh earnings transcripts						
02-22-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		3.000	475.00	1,425.00
	03 - Discov	ery reviewed O	claro production and d	iscussed merge of timeline.					
02-22-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.800	975.00	6,630.00
	03 - Discov	ery - review Oclar	o core production						
02-22-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Comm	unications w defs re le	gend					
02-23-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		9.500	475.00	4,512.50
		ery continued re	eviewing the Oclaro/Je	•					
02-23-2021	Approved		Billable	03 - Discovery	Steele, Jordan		7.500	475.00	3,562.50
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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	03 - Discov earnings tra		fferies' production, dis	cussion among discovery team	n re findings, updates to time	line, review of			
02-23-2021	Approved		Billable	03 - Discovery	Baylet, John		8.000	575.00	4,600.00
	03 - Discov Review an		ry; coordinate with rev	iew team					
02-24-2021	Approved		Billable	03 - Discovery	Baylet, John		9.000	575.00	5,175.00
	03 - Discov Review an		ry; coordinate with rev	iew team; produce and circulat	te a company legend				
02-24-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.000	475.00	3,800.00
			ries' production; discu argeted searches in cl	ss amongst discovery team; up oud 9	odate timelines; correspond v	with Defendants re			
02-24-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		9.500	475.00	4,512.50
	03 - Discov	very continued re	eview of jefferies produ	uction.					
02-25-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	very drafted sear	ch terms for Jefferies.						
02-25-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.800	475.00	380.00
	11 - Corres	pondence/Commu	inications discusse	d search terms with John/Jorda	an on a conference call.				
02-25-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		6.300	475.00	2,992.50
	03 - Discov	ery continued re	eviewing the Jefferies	production.					
02-25-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	ceipt and review discu	ssions re discovery issues					
02-25-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.500	475.00	4,037.50
		very - Review Jeffe		ss amongst discovery team; up	odate timelines; begin develo	ping search terms for			
02-25-2021	Approved		Billable	03 - Discovery	Baylet, John		9.200	575.00	5,290.00
	03 - Discov Review an		ry; discuss findings wi	th discovery team; discuss pote	ential search terms; draft initi	al list of search terms			
02-26-2021	Approved		Billable	03 - Discovery	Baylet, John		9.500	575.00	5,462.50
		,		th discovery team; discuss pote	ential search terms; draft initi	al list of search terms			
02-26-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.000	475.00	3,800.00
	03 - Discov	very - Review Jeffe	ries' production; discu	ss amongst discovery team; up	odate timelines				
02-26-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	very continued m	aking edits to the sea	rch terms.					
02-26-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		9.000	475.00	4,275.00
	03 - Discov	very finished revi	ewing the Jefferies pro	oduction.					

Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
02-27-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	pondence/Commu	inications re search te	erms					
02-27-2021	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Discuss dr		<i>i</i> ith managing partner						
02-28-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Commu	unications re search te	erms					
03-01-2021	Approved		Billable	03 - Discovery	Steele, Jordan		4.000	475.00	1,900.00
	03 - Discov	ery - Discuss disc	overy with litigation te	am and analyze/review of core B	oard minutes and compari	son to timelines			
03-01-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.800	475.00	380.00
	03 - Discov	ery had a meeti	ng regarding the sear	ch terms created and updates on	review of the Jefferies pro	oduction.			
03-01-2021	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discov Review an		ry; discuss findings wi	th team; review, edit and discuss	s proposed search terms fo	or Jefferies			
03-01-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		6.800	850.00	5,780.00
	03 - Discov	ery - document re	view						
03-02-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	pondence/Commu	inications w/ discover	y team w/findings					
03-02-2021	Approved		Billable	03 - Discovery	Baylet, John		2.000	575.00	1,150.00
	03 - Discov Review an	ery d analyze discove	ry						
03-02-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		6.500	850.00	5,525.00
	03 - Discov	ery - document re	view						
03-03-2021	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discov Review an	ery d analyze discove	ry						
03-03-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		4.700	850.00	3,995.00
	03 - Discov	ery - document re	view						
03-04-2021	Approved		Billable	03 - Discovery	Baylet, John		10.800	575.00	6,210.00
	03 - Discov Review an	,	ry; draft and edit timel	ine; discuss with discovery team					
03-04-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.600	475.00	285.00
	11 - Corres	pondence/Comm	unications - correspon	dence re search terms					

11 - Correspondence/Communications - correspondence re search terms

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Time Entries

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
03-04-2021	Approved		Billable	03 - Discovery	Steele, Jordan		7.200	475.00	3,420.00
					ze/Review of 10-k/10-Q during i findings and theories of the case				
03-04-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.000	475.00	3,800.00
	03 - Discov	very reviewed the	e earnings transcripts a	and 10Q/K.					
03-04-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		3.600	850.00	3,060.00
	03 - Discov	very - document rev	view						
03-04-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		0.700	850.00	595.00
	03 - Discov	ery review draft of	search terms and ema	ails re same					
03-04-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		5.400	975.00	5,265.00
	03 - Discov	very - review timelin	ne and hot docs, provid	de feedback to team					
03-05-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		2.000	475.00	950.00
	11 - Corres the day.	pondence/Commu	inications - discussed	earnings transcripts with John/J	lordan and other aspects of the	case throughout			
03-05-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	very assisted Joh	n on questions regard	ing the timeline merger.					
03-05-2021	Approved		Billable	03 - Discovery	Steele, Jordan		7.500	475.00	3,562.50
	03 - Discov period; Cor	very - Analyze/Revi mparison/incorpora	iew of Earnings Annou ation into timeline; disc	ncements and Releases; Analy ussions with discovery team re	ze/Review of 10-k/10-Q during i findings and theories of the case	relevant time e			
03-05-2021	Approved		Billable	03 - Discovery	Baylet, John		8.500	575.00	4,887.50
	03 - Discov Review an	,	ry; draft and edit timeli	ne; discuss findings with discov	ery team				
03-05-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.800	975.00	6,630.00
	03 - Discov	very - review jefferi	es hot docs and searc	h terms					
03-05-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		5.400	850.00	4,590.00
	03 - Discov	very - document rev	view						
03-06-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.200	975.00	7,020.00
	03 - Discov	very - review hot do	ocs						
03-08-2021	Approved		Billable	03 - Discovery	Baylet, John		5.000	575.00	2,875.00
	03 - Discov Review an	very d analyze discove	ry						
03-09-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	pondence/Commu	inications re hit report	and proposed search terms					
03-10-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	11 - Corres	pondence/Commu	unications w/Jefferies	regarding proper relevant time pe	eriod for search terms				
03-10-2021	Approved		Billable	03 - Discovery	Steele, Jordan		3.500	475.00	1,662.50
			discovery and add to	,	,				.,
03-10-2021	Approved	-	Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
			view correspondence	regarding discovery and limitation	,				
03-10-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		4.500	975.00	4.387.50
	• •		h terms and time perio	od for jefferies, review core docs	, -				,
03-10-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.200	975.00	1,170.00
	11 - Corres	spondence/Commu	unications w Jeffereie	s re search terms and reviewse t	ime period				
03-11-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.500	475.00	4,037.50
	03 - Discov	very - Review Ocla	ro discovery re project	tions and update timeline; comm	unication re discovery				
03-11-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
	03 - Discov	very reviewed the	e third Oclaro producti	on and discussed with John/Jord	an.				
03-11-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review ar		ry; update timeline; di	scuss contents of latest productio	on with discovery team				
03-11-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.100	975.00	6,922.50
	03 - Discov	very - review and lo	oad oclaro 3rd product	ion					
03-12-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		5.200	975.00	5,070.00
	03 - Discov	very - review hot do	ocs						
03-15-2021	Approved		Billable	03 - Discovery	Baylet, John		0.500	575.00	287.50
	03 - Discov Review ar	,	licies; discuss with ma	anaging partner					
03-15-2021	Approved		Billable	03 - Discovery	Steele, Jordan		0.500	475.00	237.50
	03 - Discov	very - review and d	iscussions related to [D&O insurance policies for Oclaro)				
03-15-2021	Approved		Billable	13 - Experts	Monteverde, Juan		8.500	975.00	8,287.50
	13 - Expert	s - chat w expert a	and discuss modeling,	review same and discuss adjustr	ments and ideas i shared				
03-15-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.500	850.00	425.00
	14 - Meetir	ngs/Strategy with ju	uan re expert discussio	ons re damages and case strateg	IY				
03-15-2021	Approved		Billable	12 - Analyze and review	Schreiner, Miles		0.500	850.00	425.00
	12 - Analyz	e and review case	e docket and review Ju	idge's rules re case management	t conference				
03-16-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.300	850.00	255.00
	14 - Meetir	ngs/Strategy with ju	uan re case strategy						
03-17-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

							Fro	om 01-01-2018	3 10 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	11 - Corres	pondence/Comm	unications re discovery	/					
03-17-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		5.000	975.00	4,875.00
	03 - Discov	ery - review updat	ted timelines and relev	vant docs					
03-18-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re discovery	/ from db					
03-19-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		5.700	975.00	5,557.50
	03 - Discov	ery - review hots o	docs and update to tim						
03-23-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.100	475.00	47.50
	11 - Corres	pondence/Comm	unications re search te	erms					
03-24-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	ery - discussed ad	dditions to Oclaro's sea	arch terms and made a redline	of the additions.				
03-24-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.200	475.00	570.00
	11 - Corres	pondence/Comm	unications re search te	erms					
03-24-2021	Approved		Billable	03 - Discovery	Baylet, John		2.600	575.00	1,495.00
		d analyze Defs ad	ljustments to search te very performed to date	erms; discuss with managing pa	artner and associate team; dr	aft and edit revised			
03-24-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		8.500	975.00	8,287.50
	03 - Discov	ery - review hot de	ocs and updated sear	ch terms/legend					
03-25-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Comm	unications re search te	erms					
03-25-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Comm	unications - calls w Be	rger to discuss discoveyr and c	ase in general				
03-25-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.200	975.00	6,045.00
	03 - Discov	ery - review core	docs, review search te	erms and revisions w added terr	ms from core docs				
03-26-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	pondence/Comm	unications - discuss m	atter w defs and potential media	ation, confer and emails w m	ediator			
03-26-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		4.700	975.00	4,582.50
	03 - Discov	ery - review docs,	and discuss issues w	Miles					
03-26-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		3.300	850.00	2,805.00
	14 - Meetin	a/Strateav with iu	an re case strategy an	d discoverv review					

14 - Meeting/Strategy with juan re case strategy and discovery review

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
03-29-2021	Approved		Billable	13 - Experts	Monteverde, Juan		3.200	975.00	3,120.00
	13 - Expert	s - reach out and o	consult w Jeffers as po	otential expert, provide deal file					
03-30-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	pondence/Commu	unications re search te	rms w/banker					
03-30-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	view correspondence	regarding discovery limitations					
04-02-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		1.500	475.00	712.50
	11 - Corres	pondence/Commu	unications re search te	rms with team and communication	ons with Jefferies' counsel				
04-02-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50
	11 - Corres	pondence/Commu	unications - talked to J	ohn/Jordan about Jefferies hit re	port.				
04-02-2021	Approved		Billable	03 - Discovery	Baylet, John		3.400	575.00	1,955.00
		d analyze proposa		ter search terms; review and ana ate with Def counsel regarding p					
04-02-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		8.700	975.00	8,482.50
	03 - Discov	ery - review modif	ied search terms, cont	fer w Jared re same and internal	ly				
04-02-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		1.500	850.00	1,275.00
	03 - Discov	ery - review email	s re search terms/hit r	eports for Jefferies					
04-03-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		4.000	975.00	3,900.00
	03 - Discov	ery - review hot do	ocs						
04-05-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.500	975.00	7,312.50
	03 - Discov	ery - hot doc revie	w and evidence for m	ediation					
04-06-2021	Approved		Billable	13 - Experts	Monteverde, Juan		2.500	975.00	2,437.50
	13 - Experts	s - review potentia	0 0	establish loss causation					
04-07-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.00
	03 - Discov	ery - reviewhot do	cs and timeline - outlin	ne additional docs needed					
04-09-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - Follow up	w Yoshida re mediation dages ir	I June/July				
04-12-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		7.500	475.00	3,562.50
				(a) cases; Review discovery Tim (a) cases read pleadings and		neories on loss			
04-12-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.600	975.00	585.00
	14 - Meetin	g/Strategy w team	re loss causation and	l damages					

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Time Entries

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Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
04-13-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.800	475.00	380.00
	11 - Corres	pondence/Commu	inications/review of De	efs.' changes to the proposed sea	arch terms and discussion am	nong associates			
04-13-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov John about		proposed revisions fr	om defs regarding the proposed	search terms for Oclaro and s	spoke to Jordan/			
04-13-2021	Approved		Billable	12 - Analyze and review	Baylet, John		5.500	575.00	3,162.50
	,	e and review 14 summary judgn	nent cases and preser	ntation of evidence					
04-13-2021	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
		d analyze Def prop		terms; review and analyze disco d edits and position; respond to I		earch terms;			
04-13-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discov	ery - reveiw updat	ed search terms from	Oclaro					
04-14-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	ery - discussed wi	th Jordan.						
04-14-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		8.500	475.00	4,037.50
				(a) cases; Review discovery Tim (a) cases read pleadings and i		ories on loss			
04-14-2021	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		0.200	850.00	170.00
	11 - Corres	pondence/Commu	inications - calls with j	ordan re case strategy					
04-14-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		9.300	850.00	7,905.00
	03 - Discov	ery - review/analyz	ze documents and chr	onologies; research re summary	judgment motions in other 14	l(a) cases			
04-15-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		7.500	475.00	3,562.50
				(a) cases; Review discovery Tim (a) cases read pleadings and i		ories on loss			
04-15-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50
	01 - Case [Development, Inve	stigation and review c	orporate filings - discussed Brow	n case with Jordan.				
04-15-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Scarpa, Rossella		5.000	475.00	2,375.00
	01 - Case [Development, Inve	stigation and review c	orporate filings - read cases to he	elp brainstorm angles for case	e development.			
04-15-2021	Approved		Billable	03 - Discovery	Schreiner, Miles	·	3.700	850.00	3,145.00
	03 - Discov	ery - review/analyz	ze documents and chr	onologies					
04-15-2021	Approved		Billable	15 - Research	Monteverde, Juan		4.800	975.00	4,680.00
10 21 2022								D	of 154

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) From 01-01-2018 To 10-31-2023

Task Code = All View = Original

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
	15 - Resea	rch review researc	h re loss causation						
04-16-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	inications - review em	ails and dates from Yoshida, cor	firm date for mediation				
04-16-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		4.400	850.00	3,740.00
	03 - Discov	ery - review/analyz	ze documents and chi	ronologies					
04-17-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	pondence/Commu	inications re mediatio	n 7/14					
04-18-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	inications - update to	client re case and mediation					
04-19-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50
	11 - Corres	pondence/Commu	inications - discussed	Jordan's theory with him regard	ng lost opportunity and CEO moti	ve.			
04-19-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres assoc.	pondence/Commu	inications re search te	erms; draft redline of proposed ch	nanges; communications w/partne	r and senior			
04-19-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		6.000	475.00	2,850.00
				s re discovery; research re 14(a) discovery and presentations	litigation; analysis of timeline; res	earch into public			
04-19-2021	Approved		Billable	13 - Experts	Monteverde, Juan		3.500	975.00	3,412.50
	13 - Expert	s - review Jeffers v	aluation						
04-19-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.800	850.00	680.00
	14 - Meetin	gs/Strategy re disc	covery review hot topi	cs and loss causation issue					
04-19-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		9.000	850.00	7,650.00
	14 - Meetin	g/Strategy re case	strategy with all attor	neys on team					
04-20-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		9.000	475.00	4,275.00
				s re discovery; research re 14(a) discovery and presentations	litigation; analysis of timeline; res	earch into public			
04-20-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.200	475.00	95.00
	11 - Corres	pondence/Commu	inications - discussed	search term revisions with Jorda	an.				
04-20-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		10.500	850.00	8,925.00
	14 - Meetin	g/Strategy re case	strategy with all attor	neys on team					
04-21-2021	Approved		Billable	12 - Analyze and review	Steele, Jordan		10.000	475.00	4,750.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Professional Status Approval BillableType Task Start Stop Duration Rate Amount Oclaro, Inc. Merger 12 - Analyze and review - Create list of action items re discovery; research re 14(a) litigation; analysis of timeline; research into public information; communications within firm; review of discovery and presentations 04-21-2021 Approved Billable 12 - Analyze and review Baylet, John 3.200 575.00 1,840.00 12 - Analyze and review Review and analyze court opinions and briefings in similar Section 14(a) litigation 04-21-2021 Billable 03 - Discovery Schreiner, Miles 11.100 850.00 9,435.00 Approved 03 - Discovery - review/analyze documents 04-21-2021 Approved Billable 14 - Meeting/Strategy Schreiner, Miles 0.300 850.00 255.00 14 - Meeting/Strategy re case strategy with all attorneys on team 2,925.00 04-21-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 3.000 975.00 09 - Settlement/Mediation - prep and discuss mediaiton issues w Yoshida, andprovide core pleadings to Yoshida Billable 4.500 575.00 2.587.50 04-22-2021 Approved 12 - Analyze and review Baylet, John 12 - Analyze and review

	12 - Analyze and review Review and analyze co		in similar Section 14(a) litigation				
04-22-2021	Approved	Billable	11 - Correspondence/ Communications	Steele, Jordan	0.500	475.00	237.50
	11 - Correspondence/C	ommunications w/in firm r	e discovery issues				
04-22-2021	Approved	Billable	03 - Discovery	Schreiner, Miles	10.100	850.00	8,585.00
	03 - Discovery - review/	analyze documents					
04-23-2021	Approved	Billable	12 - Analyze and review	Steele, Jordan	5.500	475.00	2,612.50
			ns re discovery; research re 14(a) f discovery and presentations	litigation; analysis of timeline; research into public			
04-23-2021	Approved	Billable	12 - Analyze and review	Baylet, John	8.400	575.00	4,830.00
	12 - Analyze and review Review and analyze co		in similar Section 14(a) litigation				
04-23-2021	Approved	Billable	03 - Discovery	Baylet, John	0.200	575.00	115.00
	03 - Discovery Review and analyze Do	ef response regarding sea	rch terms; discuss same with asso	ociate			
04-23-2021	Approved	Billable	12 - Analyze and review	Bower, David	0.200	750.00	150.00
	12 - Analyze and reviev	 Review discussions reg 	parding discovery issues				
04-23-2021	Approved	Billable	03 - Discovery	Schreiner, Miles	8.800	850.00	7,480.00
	03 - Discovery - review/	analyze documents					
04-23-2021	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan	1.200	975.00	1,170.00
	11 - Correspondence/C	ommunications emails an	d docs exchanged w Yoshida, rev	iew same given by defs			
04-26-2021	Approved	Billable	12 - Analyze and review	Baylet, John	4.300	575.00	2,472.50

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro, Inc. Merger 12 - Analyze and review Review and analyze case file and legal research; review and analyze discovery; build outline and list of issues required to prove claim; discuss with associate 04-27-2021 Approved Billable 12 - Analyze and review Baylet, John 4.800 575.00 2,760.00 12 - Analyze and review Review and analyze case file and legal research; review and analyze discovery; build outline and list of issues required to prove claim: discuss with associate Billable 04-28-2021 Approved 03 - Discovery Schreiner, Miles 6.000 850.00 5,100.00 03 - Discovery - review/analyze documents 04-29-2021 Approved Billable 12 - Analyze and review Bavlet, John 6.500 575.00 3.737.50 12 - Analyze and review Review and analyze case file and legal research; review and analyze discovery; build outline and list of issues required to prove claim: discuss with associate 11 - Correspondence/ 04-29-2021 Billable 0.200 475.00 95.00 Approved Steele, Jordan Communications 11 - Correspondence/Communications re discovery analysis 04-29-2021 3.300 850.00 2.805.00 Approved Billable 03 - Discoverv Schreiner, Miles 03 - Discovery - review/analyze documents 04-30-2021 Billable Steele, Jordan 9.000 475.00 4,275.00 Approved 12 - Analyze and review 12 - Analyze and review of discovery; chart of discovery issues; correspondence w/in firm Billable 04-30-2021 Approved 12 - Analyze and review Baylet, John 14.500 575.00 8,337.50 12 - Analyze and review

Review and analyze case file and legal research; review and analyze discovery; build outline and list of issues required to prove claim; discuss with associate

Billable 225.00 04-30-2021 Approved 12 - Analyze and review Bower, David 0.300 750.00 12 - Analyze and review - Receipt and review of correspondence and research regarding discovery from Jeffries 04-30-2021 Billable 15 - Research 1.700 850.00 1.445.00 Approved Schreiner, Miles 15 - Research re subjective/objective falsity case law in connection with case strategy/summary judgment arguments; call with john re same 04-30-2021 Approved Billable 03 - Discovery Schreiner, Miles 3.200 850.00 2,720.00 03 - Discovery - review/analyze documents 11 - Correspondence/ 05-01-2021 Steele, Jordan 475.00 95.00 Approved Billable 0.200 Communications 11 - Correspondence/Communications 05-03-2021 Billable 03 - Discovery 5.000 475.00 2,375.00 Approved Steele, Jordan 03 - Discovery - Review Defs.' production 05-03-2021 Approved Billable 03 - Discovery Baylet, John 5.500 575.00 3,162.50

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Time Entries

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Monteverde & Associates PC

Oclaro, I	nc.								
<u> Merger</u>									
	03 - Discov Review an	,	scovery production; b	uild and edit case timeline; disc	uss with associate				
05-03-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		9.300	850.00	7,905.00
	03 - Discov	ery - review/analyz	ze documents						
)5-04-2021	Approved		Billable	03 - Discovery	Baylet, John		4.300	575.00	2,472.50
	03 - Discov Review an		scovery production; b	uild and edit case timeline; disc	uss with associate				
)5-04-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.500	475.00	237.50
	11 - Corres	pondence/Commu	nications w/in firm re	discovery findings					
)5-05-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.200	475.00	95.00
	11 - Corres	pondence/Commu	nications re search te	rms					
05-05-2021	Approved		Billable	03 - Discovery	Steele, Jordan		9.500	475.00	4,512.50
	03 - Discov	ery - Review Defs.	' production						
)5-05-2021	Approved		Billable	03 - Discovery	Baylet, John		10.500	575.00	6,037.50
	03 - Discov Review an		scovery production; b	uild and edit case timeline; disc	uss with associate				
05-06-2021	Approved		Billable	03 - Discovery	Baylet, John		9.500	575.00	5,462.50
	03 - Discov Review an		scovery production; b	uild and edit case timeline; disc	uss with associate				
05-06-2021	Approved		Billable	03 - Discovery	Steele, Jordan		9.500	475.00	4,512.50
	03 - Discov	ery - Review Defs.	' production						
05-07-2021	Approved		Billable	03 - Discovery	Steele, Jordan		4.000	475.00	1,900.00
	03 - Discov	ery - Review Def.'s	s production						
)5-07-2021	Approved		Billable	03 - Discovery	Baylet, John		10.300	575.00	5,922.50
		d analyze flagged	documents from earlie /; discuss with associa	er review in the week; compare ate	results to case file, discovery	timeline, and proxy			
)5-08-2021	Approved		Billable	03 - Discovery	Steele, Jordan		1.000	475.00	475.00
	03 - Discov	ery - Review Def.'s	s production						
05-10-2021	Approved		Billable	03 - Discovery	Baylet, John		3.600	575.00	2,070.00
	03 - Discov Review an	ery d analyze discover	ТУ						
)5-11-2021	Approved		Billable	11 - Correspondence/ Communications	Steele, Jordan		0.300	475.00	142.50
	11 - Corres	pondence/Commu	nications re counsel f	or defs.					
)5-12-2021	Approved		Billable	15 - Research	Steele, Jordan		1.000	475.00	475.00
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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	15 - Resea	rch re Inphi issue							
05-13-2021	Approved		Billable	15 - Research	Steele, Jordan		2.000	475.00	950.00
	15 - Resea	rch re subpoenas							
05-13-2021	Approved		Billable	12 - Analyze and review	Baylet, John		0.300	575.00	172.50
	,	te and review Id analyze schedul	ing order						
05-13-2021	Approved		Billable	03 - Discovery	Baylet, John		2.800	575.00	1,610.00
	03 - Discov Review an	,	ry; draft and edit third	party subpoenas and requests fo	or production				
05-13-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	[/] Bower, David		0.300	750.00	225.00
	17 - Case \$	Schedule - Receipt	and review of schedu	lling order and enter calendar dat	tes				
05-13-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view letters regarding	production schedule					
05-13-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.800	975.00	7,605.00
	03 - Discov	very - reivew hot do	DCS						
05-14-2021	Approved		Billable	03 - Discovery	Baylet, John		6.400	575.00	3,680.00
	03 - Discov Review an before he l	id analyze discovei	ry; draft and edit third	party subpoenas and requests fo	or production; discuss the sar	ne with associate			
05-14-2021	Approved		Billable	03 - Discovery	Steele, Jordan		8.000	475.00	3,800.00
	03 - Discov	very - corresponder	nce within firm; draft s	ubpoenas; withdraw from case					
05-18-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.500	975.00	7,312.50
	03 - Discov	very - review docs							
05-22-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discov	very - review DB pr	oduction						
05-24-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Red	ceipt and review of Oo	laro's RTRTP					
05-24-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discov	very - review RFP f	or pltf and confer w hi	m re same and needed docs					
05-27-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		7.500	850.00	6,375.00
	03 - Discov	very document revi	ew						
06-01-2021	Approved		Billable	12 - Analyze and review	Lerner, Jonathan		12.000	525.00	6,300.00
	12 - Analyz	te and review - Rev	view and analysis of a	mended complaint, related filings	s, and timeline of case facts				
06-01-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		6.000	475.00	2,850.00

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Time Entries

Monteverde & Associates PC

							Fro	om 01-01-2018	To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
	01 - Case D)evelopment, Inve	stigation and review c	orporate filings					
06-01-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	Analysis / S	strategy after revie	w of timeline and moti	on practice.					
06-01-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.000	475.00	475.00
	03 - Discov	ery re response to	discovery demands						
06-01-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		3.000	475.00	1,425.00
	03 - Discov	ery - reviewed the	timeline and John's 1	00Qs to catch myself up on the o	case.				
06-01-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
	03 - Discov	ery - did a high lev	el review of the Deuts	sche Bank production.					
06-01-2021	Approved		Billable	03 - Discovery	Baylet, John		3.500	575.00	2,012.50
		alyze, organize, a	nd update discovery t liscuss case with asso	imelines; review and analyze sta ociates	tus of discovery to date and	d and status of			
06-01-2021	Approved		Billable	15 - Research	Schreiner, Miles		0.900	850.00	765.00
	15 - Resea	rch re Rule 45 sub	poena requirements						
06-01-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		4.000	850.00	3,400.00
	03 - Discov	ery - document rev	view						
06-01-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - follow up v	v client re docs for rfp					
06-01-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	inications - confer w d	efs re mediation schedule and in	form Yoshida of it				
06-01-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	pondence/Commu	inications - emails w E	Brin re mediation and DB e-disco	very				
06-01-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.100	975.00	2,047.50
	03 - Discov	ery - review timelin	ne						
06-02-2021	Approved		Billable	13 - Experts	Baylet, John		1.600	575.00	920.00
	13 - Experts Meeting wi		; review and analyze e	expert findings to date; discuss s	ame with managing partner				
06-02-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case D	evelopment, Inve	stigation and review c	orporate filings					
06-02-2021	Approved		Billable	15 - Research	Khattab, Ahmed		3.000	475.00	1,425.00
	15 - Resear	rch case law re sa	fe harbor and section	14(a).					

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional Star	t Stop Duration	Rate	Amount
Oclaro, I	nc.							
<u>Merger</u>								
06-02-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	2.000	475.00	950.00
		nd review of dema	nds.					
06-02-2021	Approved		Billable	12 - Analyze and review	Lerner, Jonathan	6.000	525.00	3,150.00
	12 - Analyz responses,	te and review - Fui and briefing in mo	rther review of compla otion to dismiss	int allegations, related proxy stat	ement sections, discovery demands &			
06-02-2021	Approved		Billable	13 - Experts	Monteverde, Juan	5.000	975.00	4,875.00
	13 - Expert	s - prep and confe	er w Bill Jeffers re dam	ages, review anlaayses and send	d to team			
06-02-2021	Approved		Billable	03 - Discovery	Schreiner, Miles	4.900	850.00	4,165.00
	03 - Discov	very - document re	view					
06-02-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles	1.000	850.00	850.00
	14 - Meetir	ng/Strategy with jua	an re case strategy an	d emails with defense counsel re	call for next week			
06-03-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	12.000	475.00	5,700.00
	03 - Discov	very - analysis and	review of document p	production from Oclaro.				
06-03-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed	2.000	475.00	950.00
	01 - Case I	Development, Inve	stigation and review o	orporate filings				
06-03-2021	Approved		Billable	03 - Discovery	Baylet, John	3.300	575.00	1,897.50
	03 - Discov Reveiw an	very id analyze Oclaro :	5th production					
06-03-2021	Approved		Billable	03 - Discovery	Schreiner, Miles	2.800	850.00	2,380.00
	03 - Discov	/ery - document re	view					
06-03-2021	Approved		Billable	03 - Discovery	Monteverde, Juan	5.800	975.00	5,655.00
	03 - Discov	very - review docs	and timeline					
06-04-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	5.000	475.00	2,375.00
		very - supplementa responsive to der		of demands from oclaro, draft re	sponses and objections to demands an	d review		
06-04-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed	3.000	475.00	1,425.00
	01 - Case I	Development, Inve	stigation and review o	orporate filings				
06-04-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	4.000	475.00	1,900.00
	03 - Discov	very - analysis and	review of document p	production from Oclaro.				
06-04-2021	Approved		Billable	13 - Experts	Baylet, John	0.300	575.00	172.50
	13 - Expert		and coordinate with fin	anaial avnart				

Follow up on Wed meeting and coordinate with financial expert

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
06-04-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	unications - email clien	t re mediation and class cert dec					
06-04-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		3.300	850.00	2,805.00
	03 - Discov	ery - document re	view						
06-05-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		5.800	975.00	5,655.00
	09 - Settlen	nent/Mediation - p	rep and review timeline	e and docs					
06-06-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	oondence/Commu	unications - internal em	ails re organziation and counterp	points for defs mediation stateme	ent			
06-06-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		7.000	975.00	6,825.00
	09 - Settlen	nent/Mediation - p	rep and reply points fo	r defs mediaiton statement					
06-07-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	e and review disc	ussing findings and leg	al strategy from review of oclaro	document production.				
06-07-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		4.000	475.00	1,900.00
	01 - Case D	evelopment, Inve	stigation and review co	orporate filings					
06-07-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00
	03 - Discov	ery - continued pr	eparation of responses	and document production to Oc	laro requests for production.				
06-07-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		5.000	475.00	2,375.00
	03 - Discov	ery - analysis and	review of Oclaro prod	uction.					
06-07-2021	Approved		Billable	03 - Discovery	Baylet, John		6.300	575.00	3,622.50
	03 - Discov Review and		ry; draft and edit case	timeline					
06-07-2021	Approved		Billable	03 - Discovery	Baylet, John		2.800	575.00	1,610.00
	03 - Discov Review and	,	^D ; review and analyze	FRCP and ND Cal LR; draft and	edit responses and objections to	Def RFP			
06-07-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00
	03 - Discov	ery - started revie	wing the Deutsche Bar	nk production.					
06-07-2021	Approved		Billable	12 - Analyze and review	Lerner, Jonathan		7.500	525.00	3,937.50
				with associate regarding discover commencement of review project	ry review project; review of case	notes and			
06-07-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		5.000	975.00	4,875.00
	09 - Settlem	nent/Mediation - re	eply outline						
06-07-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50

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Time Entries

Monteverde & Associates PC

06-08-2021 A	1 - Correspor								
1 06-08-2021 A									
1 06-08-2021 A									
06-08-2021 A		dence/Communi	cations - chat wBirn a	bout dismissing Lumentum and	discuss w Miles				
	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4.750.00
		- analysis and re	view of Oclaro docum	,	,				,
06-08-2021 A	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
0	1 - Case Dev	elopment, Investig	gation and review cor	porate filings					
06-08-2021 A	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
			alysis of produced dis s with junior and seni	covery materials (Oclaro Inc dat or associate	a), review and analysis of eve	ent timeline, and			
06-08-2021 A	Approved		Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00
0	3 - Discovery	- continued revie	wing the Deutsche Ba	ank production.					
06-08-2021 A	Approved		Billable	03 - Discovery	Baylet, John		3.600	575.00	2,070.00
)3 - Discovery Review and a		draft and edit case ti	meline					
06-08-2021 A	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		6.500	975.00	6,337.50
0!	9 - Settlemen	t/Mediation - revie	ew docs to counter de	efs					
06-08-2021 A	Approved		Billable	03 - Discovery	Monteverde, Juan		1.200	975.00	1,170.00
0	3 - Discovery	- collect clint brok	kerage statements, re	eview and provide to Berger					
06-09-2021 A	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
0:	3 - Discovery	- analysis and re	view of Oclaro docum	nent production.					
06-09-2021 A	Approved		Billable	15 - Research	Khattab, Ahmed		2.000	475.00	950.00
1	5 - Research	information revie	wed in document pro	duction for development of case	e strategy.				
06-09-2021 A	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		1.000	475.00	475.00
0	1 - Case Dev	elopment, Investig	gation and review cor	porate filings					
06-09-2021 A	Approved		Billable	13 - Experts	Baylet, John		2.600	575.00	1,495.00
F	3 - Experts Review and p p emails after		n financial expert; cal	l with financial expert; collate rel	evant discovery and share wi	th expert in follow			
06-09-2021 A	Approved		Billable	03 - Discovery	Baylet, John		8.500	575.00	4,887.50
0;	3 - Discovery	nalyze discovery;	draft and edit case ti						
06-09-2021 A	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
03	3 - Discovery	- continued revie	wing the DB production	on.					
06-09-2021 A	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro. Inc. Merger 03 - Discovery - Continued review and analysis of produced discovery materials (Oclaro Inc data); continued review and analysis of event timeline 06-09-2021 Billable 09 - Settlement/Mediation Monteverde, Juan 8.700 975.00 8,482.50 Approved 09 - Settlement/Mediation - reply and finalize, provide to Yoshida 11 - Correspondence/ 06-09-2021 Approved Billable Monteverde, Juan 0.400 975.00 390.00 Communications 11 - Correspondence/Communications - call w Yoshida re moving mediation to august 06-10-2021 Approved Billable 03 - Discovery Khattab, Ahmed 12.000 475.00 5,700.00 03 - Discovery - analysis and review of Oclaro document production. 06-10-2021 3.000 525.00 1.575.00 Approved Billable 12 - Analyze and review Lerner, Jonathan 12 - Analyze and review - Analysis and review of discovery findings to date with further associate working on review project (Oclaro Inc production data) 06-10-2021 Approved Billable 03 - Discovery Scarpa, Rossella 3.500 475.00 1,662.50 03 - Discovery - finished reviewing the DB production. 03 - Discoverv 06-10-2021 Approved Billable Baylet, John 8.600 575.00 4,945.00 03 - Discovery Review and analyze discovery; draft and edit case timeline 06-10-2021 Approved Billable 13 - Experts Baylet, John 1.300 575.00 747.50 13 - Experts Review and prepare for call with financial expert; call with financial expert; collate relevant discovery and share with expert in follow up emails after call 975.00 8.287.50 06-10-2021 Approved Billable 03 - Discovery Monteverde, Juan 8.500 03 - Discovery - review docs 06-11-2021 Billable 03 - Discovery 475.00 5,700.00 Approved Khattab, Ahmed 12.000 03 - Discovery - analysis and review of Oclaro document production. 06-11-2021 Approved Billable 03 - Discovery 8.200 575.00 4,715.00 Baylet, John 03 - Discoverv Review and analyze discovery; draft and edit case timeline 475.00 1.900.00 06-11-2021 Approved Billable 03 - Discoverv Scarpa, Rossella 4.000 03 - Discovery - started drafting a DB timeline based on the hot docs. 11 - Correspondence/ 06-11-2021 Billable 0.200 975.00 195.00 Approved Monteverde, Juan Communications 11 - Correspondence/Communications - update client re mediation date

09 - Settlement/Mediation

14 - Meeting/Strategy

14 - Strategize re subjective falsity and loss causation based on findings from document production review.

Monteverde, Juan

Khattab, Ahmed

Approved

Approved

Billable

Billable

09 - Settlement/Mediation - review docs re counter points

06-11-2021

06-12-2021

4.875.00

950.00

5.000

975.00

2.000 475.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional Start	Stop Duration	Rate	Amount
Oclaro, I	nc.							
Merger								
06-12-2021	Approved		Billable	03 - Discovery	Monteverde, Juan	7.200	975.00	7,020.00
	03 - Discov	ery - review docs						
06-14-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed	3.000	475.00	1,425.00
	12 - Analyz	e and review prox	y statement in connec	tion with review of Oclaro docum	ent production.			
06-14-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	12.000	475.00	5,700.00
	03 - Discov	ery - analysis and	review of Oclaro docu	ument production.				
06-14-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella	2.500	475.00	1,187.50
	03 - Discov	ery - finished draft	ing the timeline for the	e DB hot docs.				
06-14-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella	3.500	475.00	1,662.50
	03 - Discov	ery - drafted propo	osed search terms for	Deutsche Bank.				
06-14-2021	Approved		Billable	03 - Discovery	Baylet, John	3.800	575.00	2,185.00
	03 - Discov Review an		draft and edit third par	ty subpoenas				
06-14-2021	Approved		Billable	12 - Analyze and review	Bower, David	0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review of se	cond production from Oclaro				
06-14-2021	Approved		Billable	02 - Pleading	Bower, David	1.000	750.00	750.00
	02 - Pleadii	ng - Filing Notice o	of Subpoenas					
06-14-2021	Approved		Billable	05 - Motion	Monteverde, Juan	1.200	975.00	1,170.00
	05 - Motion	- review/edit stip t	to dismiss lumentum,	confer internally re same and pro	vide edits to Birn			
06-15-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed	3.000	475.00	1,425.00
	01 - Case [Development, Inve	stigation and review c	orporate filings				
06-15-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed	2.000	475.00	950.00
	Continued	analysis and review	w of proxy in connecti	on with review of Oclaro doc proc	luction.			
06-15-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed	7.000	475.00	3,325.00
	03 - Discov	ery - analysis and	review of Oclaro docu	iment production.				
06-15-2021	Approved		Billable	03 - Discovery	Baylet, John	5.800	575.00	3,335.00
	03 - Discov Review an	,	luction; draft and edit	DB search terms; discuss same v	with associate and managing partner			
06-15-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella	1.500	475.00	712.50
	03 - Discov with John.	ery - made Juan's	edits to the proposed	search terms for DB, re-circulate	d to John for his review, and talked throu	ugh edits		
06-16-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed	2.000	475.00	950.00
	01 Case [Dovolonment Inve	stigation and review o	orporato filings				

01 - Case Development, Investigation and review corporate filings

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
06-16-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discove	ery - analysis and	review of Oclaro docu	ment production.					
06-16-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.300	475.00	142.50
	03 - Discove	ery - discussed O	claro mediation statem	ent with John.					
06-16-2021	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discove Review and		luction; draft and edit I	DB search terms; discuss same w	vith associate and managing p	partner			
06-16-2021	Approved		Billable	03 - Discovery	Baylet, John		5.800	575.00	3,335.00
	03 - Discove Review and		ry; build and edit case	timeline					
06-16-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		2.400	575.00	1,380.00
		ent/Mediation dit mediation state	ement						
06-16-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.200	750.00	150.00
	17 - Case S	chedule - Filing s	tipulation re schedulin	g					
06-16-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corresp	ondence/Commu	unications - mails w DE	counsel re search terms for esi					
06-16-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discove	ery - review new p	production from jefferie	S					
06-17-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case D	evelopment, Inve	stigation and review co						
06-17-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00
	Draft memo	re findings on OF	C conference from rev	view of Oclaro production.					
06-17-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discove	ery - analysis and	review of Oclaro docu	ment production.					
06-17-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		4.800	575.00	2,760.00
		ent/Mediation dit mediation state	ement						
06-17-2021	Approved		Billable	03 - Discovery	Baylet, John		8.500	575.00	4,887.50
	03 - Discove Review and		ry; build and edit case	timeline					
06-17-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00

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Time Entries

Monteverde & Associates PC

					Ductocolourol		Fro	01-01-2018	8 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	13 - Experts Discussion	s s with financial ex	pert						
06-17-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.300	475.00	142.50
		ery - reviewed fina	lized Deutsche Bank	search terms with John before he	sent them to counsel.				
06-17-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		4.900	975.00	4,777.50
	03 - Discov	ery - review hot do	ocs re jefferies						
06-18-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00
	01 - Case E	Development, Inve	stigation and review c	orporate filings					
06-18-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	e and review - cor	tinued analysis of pro	xy statement in connection with n	ew Oclaro document prod	luction.			
06-18-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		7.000	475.00	3,325.00
	03 - Discov	ery - analysis and	review of Oclaro docu	ment production.					
06-18-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	ery - discussed me	ediation statement wit	h John.					
06-18-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00
	13 - Experts Discussion		pert; review and analy	ze latest damages model					
06-18-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.600	575.00	2,070.00
		nent/Mediation edit mediation state	ement						
06-18-2021	Approved		Billable	03 - Discovery	Baylet, John		5.500	575.00	3,162.50
	03 - Discov Review an		ry; build and edit case	timeline					
06-18-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		3.700	850.00	3,145.00
	03 - Discov	ery - document rev	viw						
06-19-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery - analysis and	review of Oclaro docu	ment production.					
06-19-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an		ry; build and edit case	timeline					
06-19-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00
	13 - Experts Discussion	s s with financial ex	pert						
06-19-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		8.200	575.00	4,715.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
		ment/Mediation							
		edit mediation stat							
06-19-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discov	very - review docs							
06-20-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case I	Development, Inve	estigation and review c	orporate filings					
06-21-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case I	Development, Inve	estigation and review c						
06-21-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00
	03 - Discov	very - finalize disco	overy responses and s	end to client for review and uploa	d of documents responsive	to Oclaro demands.			
06-21-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		11.700	475.00	5,557.50
	03 - Discov	very - analysis and	review of Oclaro docu	ument production.					
06-21-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.500	575.00	2,012.50
		ment/Mediation edit mediation stat	ement						
06-21-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00
	13 - Expert Discussior	s s with financial ex	cpert						
06-21-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an	,	ery; build and edit case	timeline					
06-21-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corres	spondence/Commu	unications - discussion	about doc review.					
06-21-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
	03 - Discov	very - started doc r	eview of new Oclaro p	production.					
06-21-2021			Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	very - Review and	analysis of produced o	liscovery materials (Oclaro Inc da	ata), review and analysis of	event timeline			
06-22-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	e and review re Q	SFP28 product and its	s drastic change in revenue from	December to January proje	ctions.			
06-22-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		1.000	475.00	475.00
				emente filiane					

01 - Case Development, Investigation and review corporate filings

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
06-22-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00
	03 - Discov	very - analysis and	review of Oclaro docu	iment production.					
06-22-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
				liscovery materials (Oclaro Inc da d excel workbooks relevant to Oc		event timeline,			
06-22-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.200	750.00	150.00
	17 - Case \$	Schedule - Receip	t and review and cale	ndar modified scheduling order					
06-22-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.500	475.00	2,612.50
	03 - Discov	very - continued rev	viewing the oclaro pro	duction.					
06-22-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00
	13 - Expert Discussior	s ns with financial ex	pert						
06-22-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an	,	ry; build and edit case	timeline					
06-22-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.500	575.00	2,012.50
		ment/Mediation edit mediation state	ement						
06-22-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		4.200	850.00	3,570.00
	09 - Settler	ment/Mediation - re	view mediation stater	nent and legal research re same;	meetings re case strategy				
06-23-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case I	Development, Inve	stigation and review c	orporate filings					
06-23-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00
	03 - Discov	very - analysis and	review of new Oclaro	document production.					
06-23-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.500	575.00	2,012.50
		ment/Mediation edit mediation state	ement						
06-23-2021	Approved		Billable	13 - Experts	Baylet, John		1.800	575.00	1,035.00
	13 - Expert Discussior		pert; review and analy	ze latest damages model					
06-23-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an		ry; build and edit case	timeline					
06-23-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.200	475.00	3,895.00
	03 - Discov	very - continued rev	viewing the new Oclar	o production.					

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
06-23-2021	Approved		Billable	03 - Discovery	Bower, David		0.400	750.00	300.00
	03 - Discov	ery - Review of ou	r discovery responses						
06-23-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		15.000	525.00	7,875.00
	03 - Discov discussion	ery - Review and a with senior associ	analysis of produced di ate re findings, researc	scovery materials (Oclaro Inc da h re Oclaro product tech	ta), review and analysis of	f event timeline,			
06-23-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		0.800	850.00	680.00
	09 - Settler re same	nent/Mediation - m	neetings with John re m	ediation statement and projectio	ns issues; review certain d	locuments and proxy			
06-23-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		13.000	975.00	12,675.00
	09 - Settler	nent/Mediation - re	eview docs and edit me	diation statement					
06-24-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case [Development, Inve	stigation and review co	rporate filings					
06-24-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery- analysis and	review of new Oclaro d	ocument production.					
06-24-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		15.000	525.00	7,875.00
	03 - Discov updates to	ery - Review and a event timeline re c	analysis of produced di liscovery findings, rese	scovery materials (Oclaro Inc da arch re Oclaro product tech	ta), review and analysis of	f event timeline,			
06-24-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.000	475.00	3,800.00
	03 - Discov	ery - continued rev	viewing the new Oclaro	production					
06-24-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.500	475.00	237.50
	11 - Corres	pondence/Commu	inications - discussed r	eview updates with John and dis	scussed the mediation stat	ement.			
06-24-2021	Approved		Billable	03 - Discovery	Baylet, John		3.300	575.00	1,897.50
	03 - Discov Review an		ry; build and edit case t	imeline					
06-24-2021	Approved		Billable	13 - Experts	Baylet, John		2.300	575.00	1,322.50
	13 - Expert Discussior		pert; review documents	s and perform model pursuant to	discussions				
06-24-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		4.800	575.00	2,760.00
		nent/Mediation edit mediation state	ement						
06-24-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		9.000	975.00	8,775.00
	09 - Settler	nent/Mediation - re	eview/edit mediation sta	atement					
06-24-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		0.500	850.00	425.00
	03 - Discov	ery - review client	document production a	ind emails re same					

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
06-24-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		2.400	850.00	2,040.00
	14 - Meetin review docs		th john and juan re pr	ojections issues in connection wit	h preparing mediation state	ement/for mediation;			
06-25-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00
	03 - Discov	ery - analysis and	review of new Oclaro	document production.					
06-25-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.500	575.00	2,012.50
		nent/Mediation edit mediation state	ement						
06-25-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an		ry; build and edit case	timeline					
06-25-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
	03 - Discov	ery - continued rev	viewing the Oclaro pro	duction.					
06-25-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		12.000	525.00	6,300.00
	03 - Discov updates to	ery - Review and a event timeline re d	analysis of produced o liscovery findings	iscovery materials (Oclaro Inc da	ta), review and analysis of	event timeline,			
06-25-2021	Approved		Billable	03 - Discovery	Bower, David		0.200	750.00	150.00
	03 - Discov	ery - Review and f	inalize discovery resp	oonses					
06-25-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.300	850.00	1,105.00
	14 - Meetin	g/Strategy calls wi	th john re mediation s	tatement and review SEC filings r	re same				
06-25-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		11.000	975.00	10,725.00
	09 - Settlen	nent/Mediation - re	eview/edit mediaiton st	atement and exs to use					
06-26-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.300	575.00	1,897.50
		nent/Mediation edit mediation state	ement						
06-28-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.000	475.00	475.00
	12 - Analyz	e and review - re e	earnings call transcript	for Q1 and Q2 2018.					
06-28-2021	Approved		Billable	15 - Research	Khattab, Ahmed		2.000	475.00	950.00
	15 - Resea	rch on Oclaro QSF	P 28 product to deter	mine purpose for its complete rev	enue cut for FY19-21.				
06-28-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery - analysis and	review of new Oclaro	document production.					
06-28-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		3.600	575.00	2,070.00
		nent/Mediation edit mediation state	ement; discuss same v	with senior associate					
06-28-2021	Approved		Billable	03 - Discovery	Baylet, John		6.500	575.00	3,737.50
	03 - Discov Review an	ery d analyze discove	ry						

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
06-28-2021	Approved		Billable	14 - Meeting/Strategy	Scarpa, Rossella		0.500	475.00	237.50
	14 - Meetin	g/Strategy - discu	ssed updates on doc i	review in preparation for the medi	ation.				
06-28-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.000	475.00	3,800.00
	03 - Discov	ery - continued re	viewing the Oclaro pro	oduction.					
06-28-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - Review and	analysis of produced o	discovery materials (Oclaro Inc da	ata); additions to event timelin	ne			
06-28-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		7.100	850.00	6,035.00
		nent/Mediation - re uan re same	evise/edit mediation st	atement and legal research and r	eview documents re same; c	alls/meeting with			
06-29-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case I	Development, Inve	stigation and review o	orporate filings					
06-29-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery- analysis and	review of new Oclaro	production.					
06-29-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - Review and	analysis of produced o	discovery materials (Oclaro Inc da	ata); additions to event timelir	ne			
06-29-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		3.500	475.00	1,662.50
	03 - Discov	ery - finished revie	ew of Oclaro production	n.					
06-29-2021	Approved		Billable	09 - Settlement/Mediation	Scarpa, Rossella		0.500	475.00	237.50
	09 - Settler	nent/Mediation - re	ead through the media	tion statement.					
06-29-2021	Approved		Billable	03 - Discovery	Baylet, John		10.800	575.00	6,210.00
	03 - Discov Review an	ery d analyze discove	ry						
06-29-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		4.200	850.00	3,570.00
		nent/Mediation - re uan re same	evise/edit mediation st	atement and legal research and r	eview documents re same; c	alls/meeting with			
06-30-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	e and review Defe	endant's mediation sta	tement and accompanying exhibi	ts.				
06-30-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		1.000	475.00	475.00
	01 - Case [Development, Inve	stigation and review c	orporate filings					
06-30-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		9.000	475.00	4,275.00
	03 - Discov	ery - analysis and	review of new Oclaro	document production.					
06-30-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		7.800	575.00	4,485.00

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
		nent/Mediation nalize mediation st	atement and exhibits;	send to mediator and defendants	3				
06-30-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		1.700	575.00	977.50
		ment/Mediation d analyze Def med	diation statement						
06-30-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		10.000	525.00	5,250.00
	03 - Discov	very - Review and a	analysis of produced o	discovery materials (Oclaro Inc da	ta); additions to event timeline				
06-30-2021	Approved		Billable	09 - Settlement/Mediation	Lerner, Jonathan		4.000	525.00	2,100.00
	09 - Settler statement	ment/Mediation - re	eview and analysis of	plaintiff's mediation statement; rev	view and analysis of defendants'	mediation			
06-30-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		8.000	975.00	7,800.00
	09 - Settler	ment/Mediation rev	/iew/edit/finalize media	ation statement					
07-01-2021	Approved		Billable	15 - Research	Khattab, Ahmed		3.000	475.00	1,425.00
	15 - Resea	rch case law used	in Defendant's media	tion statement and analyze and d	istinguish.				
07-01-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	Case Deve	lopment, Investiga	tion and review corpo						
07-01-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		9.000	475.00	4,275.00
	03 - Discov	very- analysis and i	review of new Oclaro	document production.					
07-01-2021	Approved		Billable	09 - Settlement/Mediation	Lerner, Jonathan		8.000	525.00	4,200.00
			eview and analysis of e additions to case time	exhibits to defendants' mediation eline	statement; continued review and	analysis of			
07-01-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		2.500	575.00	1,437.50
		ment/Mediation d analyze Defenda	ants mediation statem	ent and exhibits					
07-01-2021	Approved		Billable	03 - Discovery	Baylet, John		5.500	575.00	3,162.50
	03 - Discov Review an	very d analyze discove	ry						
07-01-2021	Approved		Billable	09 - Settlement/Mediation	Scarpa, Rossella		3.000	475.00	1,425.00
		nent/Mediation - re sed with John.	ead through Defendan	ts' mediation statement and took	notes, reviewed our mediation st	atement again,			
07-01-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		7.600	975.00	7,410.00
	09 - Settler	ment/Mediation - re	eviewdefs mediaiton st	tatement and annotate same					
07-02-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meetir	ng/Strategy re revie	ew of Defendant's med	diation statement and preparation	for mediation.				

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
07-02-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00
	01 - Case [Development, Inve	estigation and review c	orporate filings					
07-02-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	e and review and	distinguish exhibits us	ed in Defendants' mediation state	ement.				
07-02-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00
	03 - Discov	ery - analysis and	review of new Oclaro	document production.					
07-02-2021	Approved		Billable	05 - Motion	Baylet, John		1.500	575.00	862.50
	05 - Motion Review an		es; draft and edit clien	t declaration for class certification	ı				
07-06-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		2.000	475.00	950.00
	14 - Meetin	g/Strategy in prep	aration for mediation.						
07-06-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00
	01 - Case [Development, Inve	stigation and review c	orporate filings					
07-06-2021	Approved		Billable	09 - Settlement/Mediation	Khattab, Ahmed		6.000	475.00	2,850.00
	09 - Settler	nent/Mediation - a	nalysis and review of [Defendants' mediation statement	and exhibits in preparation	for mediation.			
07-06-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.000	475.00	1,900.00
	03 - Discov	ery - analysis and	review of new Oclaro	document production in preparati	ion for mediation.				
07-06-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		6.400	575.00	3,680.00
		nent/Mediation d analyze discove	ry; draft mediation rep	ly statement					
07-07-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00
	01 - Case [Development, Inve	stigation and review c	orporate filings					
07-07-2021	Approved		Billable	09 - Settlement/Mediation	Khattab, Ahmed		3.000	475.00	1,425.00
	09 - Settler	nent/Mediation - c	ontinued analysis and	review of Defendants' mediation	statement and exhibits.				
07-07-2021	Approved		Billable	15 - Research	Khattab, Ahmed		1.000	475.00	475.00
	15 - Resea	rch - case law dist	inguishing case law ci	ted in defendant's mediation state	ement.				
07-07-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		6.000	475.00	2,850.00
	03 - Discov	ery - continued an	alysis and review of O	claro document production.					
07-07-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		8.400	575.00	4,830.00
		nent/Mediation	ry: draft mediation ren	ly statement					

Review and analyze discovery; draft mediation reply statement

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
07-07-2021	Approved		Billable	09 - Settlement/Mediation	Scarpa, Rossella		0.300	475.00	142.50
	09 - Settler	nent/Mediation - di	iscussed team meetin	g overview of the defendants' me	diation statement with Johr	۱.			
07-08-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.000	475.00	475.00
	03 - Discov	ery - review Plaint	iff production respons	ive to Defendants' discovery dem	ands.				
07-08-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case [Development, Inve	stigation and review c	orporate filings					
07-08-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		2.000	475.00	950.00
	14 - Strateo projections		production from Japa	n to Thailand and revenue cut for	QSFP28 product in conne	ction with adjusted			
07-08-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		1.000	475.00	475.00
	11 - Reviev	v Correspondence	/Communications from	n Plaintiff re production to Defend	ants.				
07-08-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		8.000	475.00	3,800.00
	03 - Discov	ery - analysis and	review of Oclaro docu	ument production.					
07-08-2021	Approved		Billable	09 - Settlement/Mediation	1 '		2.500	475.00	1,187.50
	09 - Settler	nent/Mediation - w		eet, confidentiality agreement, and	· ·	on for the mediation.			
07-08-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		9.800	575.00	5,635.00
		nent/Mediation d analyze discove	ry; draft mediation rep	ly statement					
07-08-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		3.000	975.00	2,925.00
	03 - Discov	ery - chat/email w	client, collect stateme	enets, and review					
07-09-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		6.000	475.00	2,850.00
	03 - Discov	ery - analysis and	review of Oclaro docu	iment production.					
07-09-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case [Development, Inve	stigation and review c	orporate filings					
07-09-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meetin	g/Strategy re med	iation rescheduling.						
07-09-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		9.800	575.00	5,635.00
	Review an	nent/Mediation d analyze discove tor Michelle Yoshio		ze mediation reply statement; pre	pare for call with Mediator I	Michelle Yoshida; call			
07-09-2021	Approved		Billable	14 - Meeting/Strategy	Scarpa, Rossella		1.000	475.00	475.00
	14 - Meetin	g/Strategy - pre-m	ediation meeting with	mediator.					

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
07-09-2021	Approved		Billable	09 - Settlement/Mediation	Scarpa, Rossella		1.000	475.00	475.00
			defendants' mediatio	n statement again and John's rep	•	-mediation meeting			
	with the me								
07-09-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		10.000	525.00	5,250.00
07 00 0004			, , , , , , , , , , , , , , , , , , ,	roduced discovery materials	Mandan and a local		0.500	075 00	0 440 50
07-09-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		3.500	975.00	3,412.50
07-09-2021	Approved	nent/wediation - p	rep and attend pre-me Billable		Monteverde, Juan		1 200	975.00	1,170.00
07-09-2021		a/Strategy w team	re defs reply and me	14 - Meeting/Strategy	wonteverue, Juan		1.200	975.00	1,170.00
07-09-2021	Approved	0 0,	Billable	09 - Settlement/Mediation	Schreiner Miles		1.200	850.00	1,020.00
51-03-2021				ly mediation statement			1.200	000.00	1,020.00
07-09-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner Miles		4 100	850.00	3,485.00
01 00 2021		ment/Mediation - ca		prepare for same by reviewing me	,		1.100	000.00	0,100.00
07-10-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		2.000	475.00	950.00
	14 - Strateg softness in		dants' mediation state	ment exhibits in opposition to arg	ument downward projection	s were a result of			
07-12-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		2.000	475.00	950.00
	14 - Meetir	ng/Strategy re resc	heduling of mediation	and review of new Jeffries docur	nent production.				
07-12-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.000	475.00	1,900.00
	03 - Discov	very - analysis and	review of Oclaro docu	iment production.					
07-12-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		8.000	475.00	3,800.00
	03 - Discov	very - analysis and	review of new Jefferie	es document production.					
07-12-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
		,		covery production in preparation	· •	meline notes			
07-12-2021	Approved		Billable	03 - Discovery	Baylet, John		9.500	575.00	5,462.50
	03 - Discov Review an	very d analyze discove	ry						
07-12-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.50
	03 - Discov	very - review new p	production from jefferie	es, load to box and to cloud9					
07-12-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - emails w r	nediator re continuance					
07-13-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	very - analysis and	review of new Jefferie	es document production.					
07-13-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

							Fro	m 01-01-2018	To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
	01 - Case I	Development, Inve	stigation and review c	orporate filings					
07-13-2021	Approved		Billable	03 - Discovery	Baylet, John		9.500	575.00	5,462.50
	03 - Discov		ry	,	, .				
07-13-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.500	525.00	7,612.50
	03 - Discov	very - review and a	nalysis of Jefferies dis	scovery production in preparation	for mediation; additions to	timeline notes			
07-14-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00
	03 - Discov	very - analysis and	review of new Jefferie	es document production.					
07-14-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meetir	ng/Strategy re findir	ngs in review of Jeffer	ies document production.					
07-14-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		1.000	475.00	475.00
	01 - Case	Development, Inve	stigation and review c	orporate filings					
07-14-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
		very - review and a lence with associat		scovery production in preparation	for mediation; additions to	timeline notes;			
07-15-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case	Development, Inve	stigation and review c	orporate filings					
07-15-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	very - analysis and	review of new Jefferie	es document production.					
07-15-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		2.000	475.00	950.00
	14 - Meetir	ng/Strategy re findir	ngs from Jefferies doo	cument production.					
07-16-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case	Development, Inve	stigation and review c	orporate filings					
07-16-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		7.000	475.00	3,325.00
	03 - Discov	very - analysis and	review of new Jefferie	es document production.					
07-16-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		4.200	975.00	4,095.00
			ocs from new Jefferies	-					
07-18-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 Mootir	a/Strategy w team	re next steps and or	anization for donos					

14 - Meeting/Strategy w team re next steps and organization for depos

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
07-19-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case E	Development, Inve	estigation and review co	orporate filings					
07-19-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery - analysis and	review of new Jefferie	s document production.					
07-19-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		8.000	525.00	4,200.00
	03 - Discov	ery - review and a	analysis of Jefferies dis	covery production in preparation	for mediation; additions to t	timeline notes			
07-20-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.200	975.00	1,170.00
	11 - Corres	pondence/Comm	unications emails/calss	w DB counsel re production and	l timing				
07-21-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		6.000	475.00	2,850.00
	03- Discove	ery - analysis and	review of new Jefferies	s document production.					
07-22-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case E	Development, Inve	estigation and review co	orporate filings					
07-22-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		7.000	475.00	3,325.00
	03 - Discov	ery - analysis and	review of new Jefferie	s document production.					
07-22-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Comm	unications re client doc	s and update internally					
07-23-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		8.000	475.00	3,800.00
	03 - Discov	ery - analysis and	review of new Jefferie	s document production.					
07-23-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		1.000	475.00	475.00
	01 - Case E	Development, Inve	estigation and review co	orporate filings					
07-23-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		6.000	525.00	3,150.00
	03 - Discov	ery - continued re	view and analysis of Je	efferies production materials; add	litions to case timeline				
07-23-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.400	975.00	7,215.00
	03 - Discov	ery - review new p	production and hot doc	s from Jefferies					
07-24-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case E	Development, Inve	estigation and review co	orporate filings					
07-24-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.800	975.00	2,730.00

10-31-2023 11:18:20

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	03 - Discov	/ery - review updat	ed timeline						
07-26-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meetir	ng/Strategy re findi	ngs from review of Jef	feries document production.					
07-26-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00
	03 - Discov	/ery - analysis and	review of new Jefferie	es document production.					
07-26-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		15.000	525.00	7,875.00
	03 - Discov	very - continued re	view and analysis of J	efferies production materials; add	ditions to case timeline				
07-26-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		8.200	975.00	7,995.00
	03 - Discov	/ery - Jefferies pro	duction						
07-27-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meetir	ng/Strategy re findi	ngs from Jefferies doo	ument production in re January	projections and QSFP product.				
07-27-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case	Development Inve	stigation and review c						
07-27-2021	Approved	•	Billable	03 - Discovery	Khattab, Ahmed		10 000	475.00	4,750.00
				es document production.					.,
07-27-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		8.000	525.00	4,200.00
				ction; updates to timeline					.,
07-27-2021	Approved	-	Billable	03 - Discovery	Monteverde, Juan		5.700	975.00	5.557.50
	03 - Discov	very - review jefferi	es production	5					,
07-28-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case	Development, Inve	stigation and review c	orporate filings					
07-28-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.000	475.00	1,900.00
			review of Jefferies do	cument production.					
07-29-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		11.000	475.00	5,225.00
	03 - Discov	/ery - analysis and	review of Jefferies do	cument production.					
07-29-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.500	975.00	6,337.50
	03 - Discov	/ery - review hot do	ocs and projections pp	ts					
07-30-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		2.000	475.00	950.00
	12 - Analyz	ze and review Defe	endants' reply mediation	on statement.					
07-30-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		1.000	475.00	475.00

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Time Entries

Date

Merger

07-30-2021

07-30-2021

07-30-2021

07-31-2021

07-31-2021

07-31-2021

07-31-2021

07-31-2021

08-01-2021

Approved

Approved

Approved

Approved

Approved

Approved

Approved

Professional = All (Inactive Included) Client - Matter = Merger (Active Only) Monteverde & Associates PC From 01-01-2018 To 10-31-2023 Status Approval BillableType Task Professional Start Stop Duration Oclaro, Inc. 11 - Correspondence/Communications re review of defendants' reply mediation statement and compiling exhibits responsive to issues raised in statement. 01 - Case Development, Billable 2.000 475.00 Approved Investigation and review Khattab. Ahmed corporate filings 01 - Case Development, Investigation and review corporate filings Billable Approved 03 - Discovery Khattab, Ahmed 03 - Discovery - analysis and review of Jefferies document production.

5.000 Billable 09 - Settlement/Mediation Monteverde, Juan 5.500 09 - Settlement/Mediation - review defs reply 11 - Correspondence/ 2.000 475.00 Billable Khattab, Ahmed Communications 11 - Correspondence/Communications re loss causation argument in Defendants' reply mediation statement. 2.000 475.00 Billable 15 - Research Khattab, Ahmed 15 - Research MSJ decisions by Judge Donato to determine likelihood of defeating summary judgment. Billable 09 - Settlement/Mediation Lerner, Jonathan 2.500 09 - Settlement/Mediation - review of defense mediation response, notes re same Billable 09 - Settlement/Mediation Baylet, John 1.200 09 - Settlement/Mediation Prepare and discuss strategy for mediation with managing partner and associates Billable 8.500 975.00 09 - Settlement/Mediation Monteverde, Juan 09 - Settlement/Mediation - review and annotate defs reply, consider counter points 11 - Correspondence/ Billable Khattab, Ahmed 1.000 475.00 Communications 11 - Correspondence/Communications re use of documents from Jefferies production in preparation for upcoming mediation. Billoblo 09 - Settlement/Mediation Baylet John 1 600 575 00

08-01-2021	Approved	Billable	09 - Settlement/Mediation	Baylet, John	4.600	575.00	2,645.00
	09 - Settlement/Mediation Prepare for mediation						
08-01-2021	Approved	Billable	09 - Settlement/Mediation	Lerner, Jonathan	2.500	525.00	1,312.50
	09 - Settlement/Mediation - furth	er review of defense	mediation response; notes re sa	ame			
08-01-2021	Approved	Billable	09 - Settlement/Mediation	Monteverde, Juan	9.000	975.00	8,775.00
	09 - Settlement/Mediation - prep	response to defs rep	ly and analyze Terravia MTD po	pinion and loss causation issues			
08-01-2021	Approved	Billable	15 - Research	Schreiner, Miles	1.100	850.00	935.00
	15 - Research re judge Donato s same	securities/summary ju	dgment opinions in connection	with preparing for mediation; email to team re			
08-02-2021	Approved	Billable	12 - Analyze and review	Khattab, Ahmed	3.000	475.00	1,425.00

12 - Analyze and review Defendants' exhibits used in reply mediation statement.

Group By Professional Group

Rate

475.00

975.00

525.00

575.00

Task Code = All View = Original

Amount

950.00

2.375.00

5.362.50

950.00

950.00

1.312.50

690.00

8.287.50

475.00

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Time Entries

Monteverde & Associates PC

No. Corporate fillings Corporate fillings <th>Date</th> <th>Status</th> <th>Approval</th> <th>BillableType</th> <th>Task</th> <th>Professional</th> <th>Start Stop</th> <th>Duration</th> <th>Rate</th> <th>Amount</th>	Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
OB-02-2021 Approved Billable On-Case Development, Investigation and review corporate filings in preparation for mediation. 3.000 475.00 1.425.00 08-02-2021 Approved Billable 3.000 are structured or the structure or the	Oclaro, I	nc.								
OB-02-2021 Approved Billable On-Case Development, Investigation and review corporate filings in preparation for mediation. 3.000 475.00 1.425.00 08-02-2021 Approved Billable 3.000 are structured or the structure or the	Meraer									
08-02-2021 Approved Billable 03 - Discovery with the prevariation of mediation. 5.000 475.00 2,375.00 08-02-2021 Approved Billable 03 - Discovery used with the prevariation of mediation. 4.000 52.00 2,100.00 08-02-2021 Approved Billable 12 - Analyze and review bower, David 1.000 750.00 750.00 12 - Analyze and review - Preparation of updated subpoensa 11 - Correspondence/ Communications Bower, David 0.600 750.0		Approved		Billable	Investigation and review	Khattab, Ahmed		3.000	475.00	1,425.00
03 - Discovery - continued review of Oclaro and Jefferies production in preparation for mediation. 940-02-021 Approved Billable 03 - Discovery Lemer, Jonathan 4.000 525.00 2,100.00 08-02-2021 Approved Billable 12 - Analyze and review Bower, David 1.000 750.00 </td <td></td> <td>01 - Case [</td> <td>Development, Inve</td> <td>stigation and review c</td> <td>orporate filings in preparation for</td> <td>mediation.</td> <td></td> <td></td> <td></td> <td></td>		01 - Case [Development, Inve	stigation and review c	orporate filings in preparation for	mediation.				
08-02-2021 Approved Billable 03 - Discovery Lerner, Jonathan 4.000 525.00 2,100.0 03 - Discovery - preparation of notes re olferties production findings and correspondence re same with senior associate 08-002-001 1000 750.00 750.00 750.00 08-02-2021 Approved Billable 11 - Correspondence/ Communications Bower, David 0.600 750.00 450.00 08-02-2021 Approved Billable 09 - Settlement/Mediation Bower, David 0.600 750.00 450.00 08-02-2021 Approved Billable 09 - Settlement/Mediation Bower, David 0.600 750.00 3,335.0 08-02-2021 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 3.500 850.00 2,975.00 08-02-2021 Approved Billable 09 - Settlement/Mediation Nonteverde, Juan 6.300 975.00 6.142.5 08-02-2021 Approved Billable 03 - Discovery Khattab, Ahmed 10.000 475.00 4.750.0 08-03-2021 Approved Billable 03 - Discovery Khattab, Ahmed 2.000	08-02-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		5.000	475.00	2,375.00
03 - Discovery - preparation of notes re Jefferies production findings and correspondence re same with senior associate 1000 750.00 750.00 08-02-2021 Approved Billable 12 - Analyze and review - Preparation of updated subpoenas Bower, David 1.000 750.00 750.00 450.00 08-02-2021 Approved Billable 11 - Correspondence/ Communications Bower, David 0.600 750.00 450.00 11 - Correspondence/Communications - Edits and coordinate service of subpoenas re 3rd Parties 0.600 750.00 3,335.00 09 - Settlement/Mediation - Prepara for mediation by reviewing briefs and research re same, calls with juan/john re same 0.600 975.00 6,142.5 08-02-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 6.300 975.00 6,142.5 08-02-2021 Approved Billable 03 - Discovery Khattab, Ahmed 10.000 475.00 4,750.0 08-02-2021 Approved Billable 03 - Discovery Khattab, Ahmed 2.000 475.00 4,750.0 08-03-2021 Approved Billable 03 - Discovery Khattab, Ahmed 2.000 475.00 8,337.5 <t< td=""><td></td><td>03 - Discov</td><td>ery - continued re</td><td>view of Oclaro and Jef</td><td>feries production in preparation f</td><td>or mediation.</td><td></td><td></td><td></td><td></td></t<>		03 - Discov	ery - continued re	view of Oclaro and Jef	feries production in preparation f	or mediation.				
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03-02-2021 Approved Billable Communications Bower, Pavid 0.800 79.00 490.0 11 - Correspondence/Communications - Edits and coordinate service of subpoenas re 3rd Parties 08-02-2021 Approved Billable 09 - Settlement/Mediation Prepare for mediation 5.800 575.00 3,335.0 08-02-2021 Approved Billable 09 - Settlement/Mediation Prepare for mediation School 2,975.00 3,500 8,50.00 2,975.00 08-02-2021 Approved Billable 09 - Settlement/Mediation Prepare for mediation prepare for mediation by reviewing briefs and research re same, calls with juan/john re same 6.300 975.00 6,142.5 09 - Settlement/Mediation - prepare for mediation by reviewing briefs and research re same, calls with juan/john re same 6.300 475.00 475.00 03-02-2021 Approved Billable 03 - Discovery Khattab, Ahmed 10.000 475.00 4,750.0 03 - Discovery - analysis and review of Oclaro and Jefferies production for documents to assist with mediation. 2.000 475.00 950.0 03 - Oiscovery - analysis and review corporate filings 0 - Case Development, Investigation and review corporate filings 0 6.14.500 575.00 8,337.5		12 - Analyz	e and review - Pre	eparation of updated s	ubpoenas					
08-02-2021 Approved Billable 09 - Settlement/Mediation Baylet, John 5.800 575.00 3,335.0 08-02-2021 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 3.500 850.00 2,975.00 08-02-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 6.300 975.00 6,142.5 08-02-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 6.300 975.00 6,142.5 08-03-2021 Approved Billable 03 - Discovery Khattab, Ahmed 10.000 475.00 4,750.0 08-03-2021 Approved Billable 01 - Case Development, Investigation and review corporate fillings Khattab, Ahmed 2.000 475.00 8,337.50 08-03-2021 Approved Billable 09 - Settlement/Mediation Baylet, John 14.500 575.00 8,337.50 08-03-2021 Approved Billable 09 - Settlement/Mediation Baylet, John 14.500 575.00 8,337.50 08-03-2021 Approved Billable 09 - Settlement/Mediation Scarpa, Rossella	08-02-2021	Approved		Billable		Bower, David		0.600	750.00	450.00
09 - Settlement/Mediation Prepare for mediation Billable 09 - Settlement/Mediation - spepare for mediation by reviewing briefs and research re same; calls with juan/john re same 3.500 8.50.00 2.975.00 08 - 02 - 2021 Approved Billable 09 - Settlement/Mediation - prepare for mediation by reviewing briefs and research re same; calls with juan/john re same 6.300 9.75.00 6.142.5 08 - 02 - 2021 Approved Billable 09 - Settlement/Mediation - prep and strategy 6.300 9.75.00 6.142.5 08 - 03 - 2021 Approved Billable 01 - Discovery Khattab, Ahmed 10.000 475.00 08 - 03 - 2021 Approved Billable 01 - Case Development, Investigation and review corporate fillings corporate fillings 2.000 475.00 83.37.5 08 - 03 - 2021 Approved Billable 09 - Settlement/Mediation Balyet, John 14.500 575.00 8,337.5 09 - Settlement/Mediation Settlement/Mediation Scarpa, Rossella 13.000 475.00 6,175.00 08-03-2021 Approved Billable 09 - Settlement/Mediation Scarpa, Rossella 13.000 475.00 6,175.00 09 - Settlement/Mediation - prepare f		11 - Corres	pondence/Commu	unications - Edits and	coordinate service of subpoenas	re 3rd Parties				
Prepare for mediation Schneiner/Mediation Schneiner/M	08-02-2021	Approved		Billable	09 - Settlement/Mediation	Baylet, John		5.800	575.00	3,335.00
09 - Settlement/Mediation - prepare for mediation by reviewing briefs and research re same; calls with juan/john re same 6.300 975.00 6,142.5 08-02-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 6.300 975.00 6,142.5 09 - Settlement/Mediation - prep and strategy U Voltable 10.000 475.00 4,750.0 03 - Discovery - analysis and review of Oclaro and refereise production for documents to assist with mediation. Voltable 10.000 475.00 4,750.0 08-03-2021 Approved Billable 01 - Case Development, Investigation and review corporate filings Khattab, Ahmed 2.000 475.00 833.75 08-03-2021 Approved Billable 09 - Settlement/Mediation prepuer for mediation and review corporate filings Khattab, Ahmed 14.500 575.00 8,337.5 08-03-2021 Approved Billable 09 - Settlement/Mediation prepuer for mediation and treview corporate filings 14.500 575.00 8,337.5 09 - Settlement/Mediation prepuer for mediation and preview corporate filings 09 - Settlement/Mediation and participate in mediation 13.000 475.00 6,175.00 09 - Settlement/Mediation prepuer for mediation and then was part of the full-day m										
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09 - Settlement/Mediation - prep and strategy 08-03-2021 Approved Billable 03 - Discovery Khattab, Ahmed 10.000 475.00 4,750.0 03 - Discovery - analysis and review of Oclaro and Jefferies production for documents to assist with mediation. 01 - Case Development, Investigation and review Khattab, Ahmed 2.000 475.00 950.0 08-03-2021 Approved Billable Investigation and review corporate filings Khattab, Ahmed 2.000 475.00 950.0 01 - Case Development, Investigation and review corporate filings 01 - Case Development, Investigation and review corporate filings 837.5 950.0 08-03-2021 Approved Billable 09 - Settlement/Mediation Prepare for and participate in mediation Baylable 09 - Settlement/Mediation 83,337.5 08-03-2021 Approved Billable 09 - Settlement/Mediation Scarpa, Rossella 13.000 475.00 6,175.0 08-03-2021 Approved Billable 09 - Settlement/Mediation. 12.000 975.00 11,700.0 09 - Settlement/Mediation - attend mediation 09 - Settlement/Mediation - attend mediation Monteverde, Juan 12.200 850.00 10,370.0 09 -		09 - Settler	nent/Mediation - p	repare for mediation b	y reviewing briefs and research r	e same; calls with juan/john r	re same			
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03 - Discovery - analysis and review of Oclaro and Jefferies production for documents to assist with mediation. 08-03-2021 Approved Billable 01 - Case Development, Investigation and review corporate filings Khattab, Ahmed 2.000 475.00 950.0 01 - Case Development, Investigation and review corporate filings 01 - Case Development, Investigation and review corporate filings 575.00 8,337.5 08-03-2021 Approved Billable 09 - Settlement/Mediation Prepare for and participate in mediation O9 - Settlement/Mediation - preped for mediation and then was part of the full-day mediation. 14.500 575.00 6,175.00 08-03-2021 Approved Billable 09 - Settlement/Mediation O9 - Settlement/Mediation - preped for mediation and then was part of the full-day mediation. 13.000 475.00 6,175.00 08-03-2021 Approved Billable 09 - Settlement/Mediation. 12.000 975.00 11,700.00 09 - Settlement/Mediation - attend mediation 09 - Settlement/Mediation Schreiner, Miles 12.200 850.00 10,370.00 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same 55.00 10,370.00		09 - Settler	nent/Mediation - p	rep and strategy						
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08-03-2021 08-03-2021ApprovedBillableInvestigation and review corporate filingsKhattab, Ahmed2.000475.00950.008-03-2021 09 - Settlement/Mediation Prepare for and participate in mediation09 - Settlement/Mediation 09 - Settlement/MediationBaylet, John14.500575.008,337.508-03-2021 09 - Settlement/Mediation Prepare for and participate in mediation09 - Settlement/Mediation 09 - Settlement/Mediation 09 - Settlement/Mediation - preped for mediation and then was part of the full-day mediation.Scarpa, Rossella13.000475.006,175.0008-03-2021 09 - Settlement/Mediation - preped for mediation and then was part of the full-day mediation.Monteverde, Juan12.000975.0011,700.0008-03-2021 09 - Settlement/Mediation - attend mediation09 - Settlement/Mediation 09 - Settlement/Mediation - attend mediationSchreiner, Miles12.200850.0010,370.0008-03-2021 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same12.200850.0010,370.00		03 - Discov	ery - analysis and	review of Oclaro and	Jefferies production for documen	ts to assist with mediation.				
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09 - Settlement/Mediation Prepare for and participate in mediation 08-03-2021 Approved Billable 09 - Settlement/Mediation Scarpa, Rossella 13.000 475.00 6,175.0 09 - Settlement/Mediation - prepped for mediation and then was part of the full-day mediation. 09 - Settlement/Mediation - prepped for mediation and then was part of the full-day mediation. 12.000 975.00 11,700.0 08-03-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 12.000 975.00 11,700.0 09 - Settlement/Mediation - attend mediation 09 - Settlement/Mediation Schreiner, Miles 12.200 850.00 10,370.0 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same 12.200 850.00 10,370.0		01 - Case I	Development, Inve	stigation and review c	orporate filings					
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09 - Settlement/Mediation - prepped for mediation and then was part of the full-day mediation. 08-03-2021 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 12.000 975.00 11,700.0 09 - Settlement/Mediation - attend mediation 09 - Settlement/Mediation Monteverde, Juan 12.200 850.00 10,370.0 08-03-2021 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 12.200 850.00 10,370.0 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same 12.200 850.00 10,370.0				n mediation						
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09 - Settlement/Mediation - attend mediation 08-03-2021 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 12.200 850.00 10,370.0 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same		09 - Settler	nent/Mediation - p	repped for mediation a	and then was part of the full-day r	nediation.				
08-03-2021 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 12.200 850.00 10,370.0 09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same 10,370.0	08-03-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		12.000	975.00	11,700.00
09 - Settlement/Mediation - prep for and attend mediation; meetings with team re same; document review re conflict issues re same		09 - Settler	nent/Mediation - a	ttend mediation						
	08-03-2021	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		12.200	850.00	10,370.00
08-04-2021 Approved Billable 12 - Analyze and review Khattab, Ahmed 1.000 475.00 475.0		09 - Settler	nent/Mediation - p	rep for and attend med	diation; meetings with team re sa	me; document review re conf	flict issues re same			
	08-04-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.000	475.00	475.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

							Fro	om 01-01-2018	To 10-31-2023	
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount	
Oclaro, I	nc.									
<u>Merger</u>										
	12 - Analyz motivations	e and review re re for merger with Lu	view of document pro umentum.	duction after mediation for docur	nents demonstrating Oclar	o boards' own				
08-04-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00	
	03 - Discov	ery - analysis and	review of Jefferies pro	oduction and Oclaro production.						
08-05-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00	
	01 - Case I	Development, Inve	stigation and review c	· •						
08-05-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		9.000	475.00	4,275.00	
	03 - Discov	ery - continued rev	view and analysis of C	Oclaro document production.						
08-06-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00	
	01 - Case [Development, Inve	stigation and review c	orporate filings re comping new	requests for production afte	er mediation.				
08-06-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		1.000	475.00	475.00	
	11 - Corres members.	pondence/Commu	unications re strategy	of request documents demonstra	ating growth rate discussion	ns between board				
08-06-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		5.000	475.00	2,375.00	
	03 - Discov	ery - analysis and	review of Jefferies do	cument production.						
08-06-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.500	975.00	1,462.50	
	14 - Meetin	g/Strategy review	projections ppt and ou	utline new discovery needed from	n oclaro re projections and	growth rates				
08-09-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.000	475.00	475.00	
	12 - Analyz	e and review - re a	amending complaint a	nd following up on subpoena to I	Deutsche Bank.					
08-09-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.000	475.00	475.00	
	12 - Analyz	e and review - re s	specific emails from O	claro production for personal mo	tivation factors from directo	ors/officers.				
08-09-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.000	475.00	475.00	
	03 - Discov	ery - review local r	rules and Judge Dona	to's rules for discovery disputes	to enforce subpoena on De	eutsche Bank.				
08-09-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00	
	03 - Discov	ery - draft letter in	response to Deutsche	e Bank's objections.						
08-09-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		1.000	475.00	475.00	
	11 - Reviev dispute.	v Correspondence	/Communications from	n Deutsche Bank for preparing g	ood faith letter seeking res	olution to discovery				
08-09-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00	
	01 - Case Development Investigation and review corporate filings									

01 - Case Development, Investigation and review corporate filings

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
08-09-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		6.000	475.00	2,850.00
	••		review of targeted em	ail search from Ocalro documen	t production.				
08-09-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		9.500	475.00	4,512.50
	03 - Discov	very - worked on se	econd set of RFPS and	d started reviewing all emails wh	ere Mangan or Dougherty ar	re a custodian.			
08-09-2021	Approved		Billable	02 - Pleading	Baylet, John		0.600	575.00	345.00
	02 - Pleadi Discuss st		d complaint with mana	aging partner and senior associa	te				
08-09-2021	Approved		Billable	03 - Discovery	Baylet, John		6.800	575.00	3,910.00
	03 - Discov Review an		ry; build and edit case	timeline					
08-09-2021	Approved		Billable	03 - Discovery	Baylet, John		1.600	575.00	920.00
	03 - Discov Prepare fo		am to discuss Oclaro	discovery strategy going forward					
08-09-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.500	975.00	1,462.50
	14 - Meetir	ng/Strategy - prep a	and discuss next steps	3					
08-09-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.500	850.00	425.00
	14 - Meetir	ng/Strategy re case	strategy and amende	ed complaint					
08-09-2021	Approved		Billable	15 - Research	Schreiner, Miles		2.200	850.00	1,870.00
	15 - Resea	rch re statute of lin	nitations for fiduciary o	duty claim; email to team re same	e				
08-10-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.000	475.00	475.00
	12 - Analyz	e and review re le	tter to Deutsche Bank	evincing our efforts to meet and	confer re discovery dispute.				
08-10-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.000	475.00	950.00
	03 - Discov	very - continued pro	eparation of letter to m	neet and confer with Deutsche Ba	ank.				
08-10-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		9.000	475.00	4,275.00
	03 - Discov	very - analysis and	review of targeted Oc	laro document production in orde	er to amend complaint.				
08-10-2021	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
	03 - Discov Review an	,	covery letter to couns	el for DB; Edit, circulate, and dis	cuss with managing partner	and associate			
08-10-2021	Approved		Billable	03 - Discovery	Baylet, John		7.400	575.00	4,255.00
	03 - Discov Review an		ry; build and edit case	timeline					
08-10-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		10.000	475.00	4,750.00
	03 - Discov	very - finished revie	ewing the email produc	ction for the Mangan and Dough	erty custodians.				
08-10-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		0.700	975.00	682.50
	03 - Discov	/erv - review/edit le	etter for DB re docs	-					

03 - Discovery - review/edit letter for DB re docs

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Time Entries

Monteverde & Associates PC

O a la ma la		Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, In	с.								
<u>Merger</u>									
08-11-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00
	01 - Case D	evelopment, Inve	stigation and review co	orporate filings					
08-11-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		3.000	475.00	1,425.00
	03 - Discove	ery analysis and re	eview of targeted Ocla	ro document production.					
08-11-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discove	ery - read over tim	eline that I created fro	m my review of the Mangan/Dou	ugherty emails and sent to th	he team.			
08-11-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00
	03 - Discove Defendants		ing the RFPs for the C	ompany and the Individual Defe	ndants, and the interrogato	ry directed to all			
08-11-2021	Approved		Billable	03 - Discovery	Baylet, John		1.300	575.00	747.50
	03 - Discove Discuss dis	,	ith associate; Review	and analyze draft RFPs and Inte	errogatories				
08-11-2021	Approved		Billable	03 - Discovery	Baylet, John		7.800	575.00	4,485.00
	03 - Discove Review and		y; build and edit case	timeline					
08-11-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		2.000	975.00	1,950.00
	03 - Discove	ery - review and e	dit meet and confer let	ter to DB					
08-12-2021	Approved		Billable	15 - Research	Khattab, Ahmed		2.000	475.00	950.00
	15 - Resear	ch fiduciary duty v	iolations based on fini	ngs from document review in or	der to amend complaint.				
08-12-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discove	ery- analysis and r	eview of Oclaro docur	nent production.					
08-12-2021	Approved		Billable	03 - Discovery	Baylet, John		11.800	575.00	6,785.00
	03 - Discove Review and	,	y; build and edit case	timeline					
08-12-2021	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discove Discuss stra		discovery from DB wi	ith managing partner					
08-12-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		7.000	525.00	3,675.00
	03 - Discove	ery - review and a	nalysis of OCLR email	s; additions to timeline re same					
08-12-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meeting	/Strategy re motiv	ve for Dougherty						
08-12-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corresp	ondence/Commu	nications - prep and c	onduct meet and confer w DB co	ounsel re search terms				
08-12-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.500	975.00	7,312.50

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount		
Oclaro, I	nc.										
Merger											
	03 - Discov	very - review/edit u	updated timeline								
08-13-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00		
	01 - Case I	Development Inve	estigation and review c								
08-13-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		7 000	525.00	3,675.00		
00-10-2021				ed in OCLR production	Lenner, bonathan		7.000	020.00	0,070.00		
08-13-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		7.000	475.00	3,325.00		
			review of Oclaro docu	•					0,020.00		
08-13-2021	Approved		Billable	03 - Discovery	Baylet, John		11.200	575.00	6,440.00		
	03 - Discov	very	ry; build and edit case	-	, , -						
08-13-2021	Approved	l	Billable	02 - Pleading	Monteverde, Juan		8.000	975.00	7,800.00		
	02 - Pleadi	ing - outline of issu	es from discovery for	amended complaint or msj							
08-14-2021	Approved	I	Billable	03 - Discovery	Khattab, Ahmed		1.000	475.00	475.00		
	03 - Discov	very - re seeking fu	Irther production from	Deutsche Bank and Lumentum.							
08-15-2021	Approved	l	Billable	03 - Discovery	Monteverde, Juan		1.200	975.00	1,170.00		
	03 - Discov	03 - Discovery - review/edit 2nd rfp and rogs to board and oclaro									
08-15-2021	Approved	I	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00		
	11 - Corres	spondence/Comm	unications - email to D	B counsel re search terms							
08-16-2021	Approved	I	Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00		
	01 - Case	Development, Inve	stigation and review c	orporate filings							
08-16-2021	Approved	l	Billable	03 - Discovery	Khattab, Ahmed		11.000	475.00	5,225.00		
	03 - Discov	very - analysis and	review of Oclaro docu	ument production.							
08-16-2021	Approved	I	Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00		
	03 - Discov Reveiw ar	,	discovery requests; di	scuss with junior associate							
08-16-2021	Approved	I	Billable	03 - Discovery	Baylet, John		7.200	575.00	4,140.00		
	03 - Discov Review ar		ry and draft and edit c	ase timeline							
08-16-2021	Approved	I	Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00		
	03 - Discov	very - made Juan's	edits to the Rogs and	RFPs, and drafted the subpoen	a materials for Lumentum.						
08-16-2021	Approved	I	Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00		
	03 - Discov	very - continued re	viewing the Oclaro pro	oduction for relevant emails.							

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Time Entries

Monteverde & Associates PC

							FIC	01101-01-2010	0 10 10-01-2020
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
08-16-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14 000	525.00	7,350.00
00 10 2021		erv - continued re		mails contained in OCLR produc	•		11.000	020.00	7,000.00
		,		11 - Correspondence/			0 500	750.00	075.00
08-16-2021	Approved		Billable	Communications	Bower, David		0.500	750.00	375.00
	11 - Corres	pondence/Comm	unications - Follow up	and confirmation of service of su	lbpoenas on 3rd Party Witnesse	s			
08-16-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.400	975.00	1,365.00
	03 - Discov	ery - review produ	ict presenations and Y	ves LeMaitre youtube video at C	DFC				
08-16-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.500	850.00	425.00
	14 - Meetir	g/Strategy with jua	an re case strategy						
08-17-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00
	03 - Discov	ery - analysis and	review of additional ta	argeted Oclaro production.					
				01 - Case Development,					
08-17-2021	Approved		Billable	Investigation and review	Khattab, Ahmed		2.000	475.00	950.00
	01 Casa I	Dovelonment Inve	stigation and review a	corporate filings					
	01 - Case I	Jevelopment, inve	stigation and review o						
08-17-2021	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Corres	pondence/Comm							
08-17-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - continued re	view and analysis of e	mails contained in OCLR produc	tion; additions to case timeline				
08-17-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		10.000	475.00	4,750.00
	03 - Discov	ery - continued re	viewing the Oclaro pro	oduction.					
08-17-2021	Approved		Billable	03 - Discovery	Baylet, John		5.800	575.00	3,335.00
	03 - Discov	ery							
	Review an	d analyze discove	ry and draft and edit o	ase timeline					
08-17-2021	Approved		Billable	03 - Discovery	Baylet, John		2.700	575.00	1,552.50
	03 - Discov	,							
		a analyze Ociaro	discovery requests; di	scuss concepts with senior asso	clate; draft edits to discovery rec	quests; discuss			
08-17-2021	Approved		Billable	03 - Discovery	Schreiner, Miles		1.200	850.00	1,020.00
		erv - call with Joh	n re RFPs: review/ana	lyze proxies and 10K/Qs re sam					.,
08-17-2021	Approved	•	Billable	03 - Discovery	Monteverde, Juan		0.800	975.00	780.00
			ubpoena for lumentun		,				
08-17-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		2.000	975.00	1,950.00
		g/Strategy re dep		······································					.,
08-18-2021	Approved	c	Billable	03 - Discovery	Baylet, John		7.200	575.00	4,140.00
	11			,	, ,				,

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Time Entries

Monteverde & Associates PC

							Fro	om 01-01-2018	3 To 10-31-2023		
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount		
Oclaro, I	nc.										
<u>Merger</u>											
	03 - Discov Review an	,	ry and draft and edit c	ase timeline							
08-18-2021	Approved	,	Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00		
		ery - finished my r	eview of the Oclaro p	roduction.	1.						
08-18-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00		
	03 - Discov	ery - continued rev	view and analysis of e	mails contained in OCLR produc	tion; additions to case timeline						
08-18-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		1.000	475.00	475.00		
	11 - Corres	pondence/Commu	inications re findings f	from targeted discovery search of	f Oclaro document production.						
08-18-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		10.000	475.00	4,750.00		
	03 - Discov	ery - analysis and	review of Oclaro docu	ument production.							
08-18-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		3.000	475.00	1,425.00		
	01 - Case [Development, Inve	stigation and review o	orporate filings							
08-18-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.00		
	03 - Discov	ery - review and a	udit all productions, fo	ollow up w WSGR re missing Lum	nentum production links						
08-19-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00		
	14 - Meetin	14 - Meeting/Strategy re findings from discovery review.									
08-19-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.00		
	01 - Case [Development, Inve	stigation and review c	orporate filings							
08-19-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.000	475.00	5,700.00		
	03 - Discov	ery - analysis and	review of Oclaro docu	ument production.							
08-19-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00		
	03 - Discov	ery - continued rev	view and analysis of e	mails contained in OCLR produc	tion; additions to case timeline						
08-19-2021	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00		
	03 - Discov Review an	,	ed edits to DB search	parameters; discuss strategy with	n managing partner						
08-19-2021	Approved		Billable	03 - Discovery	Baylet, John		2.400	575.00	1,380.00		
	03 - Discov Review an		ry and draft and edit c	ase timeline							
08-19-2021	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00		
	03 - Discov Review an	,	um production; discus	s findings with managing partner							
08-19-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00		

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amoun
Oclaro, I	nc.								
<u>Merger</u>									
	03 - Discove	ery - load and revi	ew Lumentum product	ion					
08-19-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.800	975.00	780.0
	14 - Meeting	g/Strategy re post	deal financials for Ocl	aro / Lumentum					
08-20-2021	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.0
	03 - Discove Review and		y and draft and edit ca	ase timeline					
08-20-2021	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.0
		and participate in		Deutsche Bank regarding the pro lit and send proposed search ter		for e-discovery			
08-20-2021	Approved		Billable	03 - Discovery	Baylet, John		2.100	575.00	1,207.5
		d ánalyze propose	d edits to DB search p same with managing	arameters; review and analyze opartner	case file and discovery to su	upport positions; draft			
08-20-2021	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		2.000	475.00	950.0
	01 - Case D	evelopment, Inve	stigation and review co	orporate filings					
08-20-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		8.000	475.00	3,800.0
	03 - Discove	ery - analysis and	review of Oclaro docu	ment production.					
08-20-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.0
	14 - Meeting	g/Strategy re findir	ngs from Oclaro docun	nent review for amending compl	aint.				
08-20-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.500	975.00	6,337.5
	03 - Discove	ery - review hot do	ocs, timeline and updat	ed search terms for DB and pro	vide counter				
08-21-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.0
	12 - Analyzo	e and review - Ana	alysis and discussions	regarding discovery of parties a	nd 3rd parties in general				
08-22-2021	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.0
	11 - Corres	oondence/Commu	nications - discussion	s and finalization of deposition p	lan and discovery issues				
08-22-2021	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.0
	11 - Corres	oondence/Commu	nications - discussion	s and drafting discovery for appe	earance in Northern CA offic	ce			
08-22-2021	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.0
	03 - Discove Review and	,	um subpoena requests	and comments to the same. Di	scuss with managing partne	er			
08-22-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		3.200	975.00	3,120.0
	03 - Discove	ery - review timelir	ne and review/edit sch	edule A to Lumentum subp and	confer w John re same				
08-23-2021	Approved		Billable	02 - Pleading	Baylet, John		4.200	575.00	2,415.0
10-31-2023	11.12.20							Page (6 of 15

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, II	nc.								
<u>Merger</u>									
		d analyze case file	and discovery; orgar Amended Complain	nize master timeline incorporatino t	g entries from various assoc	iate across latest			
08-23-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.400	750.00	300.00
	12 - Analyzo order issues		eipt and review of co	prrespondence from counsel for li	nphi Corp and possible stipu	lation and protective			
08-23-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meeting	g/Strategy re findin	gs from completed C	Oclaro document review for amen	ding complaint.				
08-23-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.000	475.00	475.00
	14 - Meeting	g/Strategy re prepa	aring deposition notic	es for individual directors, CEO,	board chairman and non-pa	rties.			
08-23-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	oondence/Commu	nications - emails w o	counsel for Inphi and review NDA	l l				
08-23-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		5.200	975.00	5,070.00
	02 - Pleadir	ng - review/revise o	utline for 2nd am cor	mplaint and msj					
08-24-2021	Approved		Billable	05 - Motion	Lerner, Jonathan		14.000	525.00	7,350.00
	05 - Motion	 legal research ar 	nd analysis re motion	to strike affirmative defenses; in	itial drafting of motion				
08-24-2021	Approved		Billable	02 - Pleading	Baylet, John		10.600	575.00	6,095.00
	02 - Pleadir Review and		and discovery; draft	and edit Second Amended Com	plaint				
08-25-2021	Approved		Billable	02 - Pleading	Baylet, John		7.800	575.00	4,485.00
	02 - Pleadir								

Review and analyze case file and discovery; draft and edit Second Amended Complaint

08-25-2021	Approved	Billable	05 - Motion	Lerner, Jonathan	14.000	525.00	7,350.00			
	05 - Motion - continued drafting	of motion to strike								
08-25-2021	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan	0.300	975.00	292.50			
	11 - Correspondence/Communi	cations email w DB c	ounsel re call tomorrow							
08-26-2021	Approved	Billable	05 - Motion	Lerner, Jonathan	14.000	525.00	7,350.00			
	05 - Motion - continued drafting	Motion - continued drafting of motion to strike; finalization of motion; follow up discussion with senior associate								
08-26-2021	Approved	Billable	14 - Meeting/Strategy	Khattab, Ahmed	2.000	475.00	950.00			
	14 - Meeting/Strategy - re which	n parties to depose an	id in which order.							
08-26-2021	Approved	Billable	04 - Deposition	Khattab, Ahmed	3.000	475.00	1,425.00			
	04 - Deposition - draft depositio 3rd parties (Jefferies, Inphi, BC			bpoenas for named executive officers and other						
08-26-2021	Approved	Billable	12 - Analyze and review	Bower, David	0.100	750.00	75.00			
	12 - Analyze and review - Rece	ipt and review corresp	condence regarding discovery							

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
08-26-2021	Approved		Billable	02 - Pleading	Baylet, John		2.600	575.00	1,495.00
	02 - Pleadi Review an		e and discovery; draft	and edit Second Amended Com	plaint				
08-26-2021	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
		d analyze discove		ame with managing partner and ges for selected custodians	associate; reach out to Def c	ounsel regarding			
08-26-2021	Approved		Billable	03 - Discovery	Baylet, John		1.100	575.00	632.50
		,	th counsel for Deutsch	e Bank negotiating proposed se	earch parameters of e-discove	ery request; discuss			
08-26-2021	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.200	475.00	95.00
	11 - Corres	pondence/Commu	unications - Ahmed ca	lled me about drafting deposition	n notices.				
08-26-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	pondence/Commu	unications - email w Dl	B re call and John to handle					
08-26-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.200	975.00	1,170.00
	14 - Meetin	g/Strategy re non	def officers and texts						
08-27-2021	Approved		Billable	02 - Pleading	Baylet, John		6.400	575.00	3,680.00
	02 - Pleadi Review an		e and discovery; draft	and edit Second Amended Com	plaint				
08-27-2021	Approved		Billable	04 - Deposition	Monteverde, Juan		0.500	975.00	487.50
	04 - Depos	ition - review notic	es for defs and 3rd pa	rty					
08-27-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications emails w D	3 counsel re search terms agree	eement				
08-28-2021	Approved		Billable	02 - Pleading	Bower, David		0.300	750.00	225.00
	02 - Pleadi	ng - Filing Notice o	of Service						
08-28-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications email to Jef	feries re depo					
08-30-2021	Approved		Billable	03 - Discovery	Baylet, John		0.200	575.00	115.00
	03 - Discov Communic		ounsel regarding disco	very					
08-30-2021	Approved		Billable	02 - Pleading	Baylet, John		9.500	575.00	5,462.50

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Time Entries

09-01-2021

09-01-2021

Approved

Approved

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro, Inc. Merger 02 - Pleading Review and analyze case file and discovery; draft and edit second amended complaint; discuss same with senior associate and managing partner 08-30-2021 Approved Billable 14 - Meeting/Strategy Monteverde, Juan 0.500 975.00 487.50 14 - Meeting/Strategy review Jefferies working list and discuss witness w John 11 - Correspondence/ 08-30-2021 Approved Billable Monteverde, Juan 0.500 975.00 487.50 Communications 11 - Correspondence/Communications re jefferies depo w its counsel and finalize time period w DB 08-31-2021 Approved Billable 02 - Pleading Baylet, John 14.200 575.00 8,165.00 02 - Pleading Review and analyze case file and discovery; draft and edit second amended complaint; discuss same with senior associate and managing partner 0.100 575.00 57.50 08-31-2021 Billable 03 - Discoverv Approved Bavlet, John 03 - Discoverv Communications with counsel for Jefferies re discovery 5.250.00 08-31-2021 Approved Billable 15 - Research Lerner, Jonathan 10.000 525.00 15 - Research - legal research and analysis regarding SoL and relation back law relevant to assertion of breach of fiduciary duty claims in amended complaint 08-31-2021 Billable 05 - Motion Lerner, Jonathan 6.000 525.00 3,150.00 Approved 05 - Motion - edits to motion to strike affirmative defenses Billable 08-31-2021 Approved 03 - Discovery Monteverde, Juan 3.500 975.00 3.412.50 03 - Discovery - review emails from Jefferies production re Berkowitz 11 - Correspondence/ 08-31-2021 975.00 195.00 Approved Billable Monteverde, Juan 0.200 Communications 11 - Correspondence/Communications set up call re Jeff depo 09-01-2021 Billable 03 - Discovery 12.000 525.00 6,300.00 Approved Lerner, Jonathan 03 - Discovery - review and analysis of discovery materials; review and analysis of Oclaro Inc production re revenue builds; followup discussion with associate 11 - Correspondence/ 09-01-2021 Approved Billable Khattab, Ahmed 1.000 475.00 475.00 Communications 11 - Correspondence/Communications - prepare for call with Jefferies counsel re deposition of Jefferies reps. 09-01-2021 02 - Pleading 575.00 5,635.00 Approved Billable Baylet, John 9.800 02 - Pleading Review and analyze case file and discovery; draft and edit second amended complaint; discuss same with senior associate

11 - Correspondence/

Communications

02 - Pleading

Scarpa, Rossella

Monteverde, Juan

Billable

Billable

11 - Correspondence/Communications - call with Jefferies regarding scheduling of depos.

142.50

3,510.00

0.300 475.00

975.00

3.600

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Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional Start	Stop Duration	Rate	Amount
Oclaro, I	nc.							
<u>Merger</u>								
	02 - Pleadi	ng review draft and	d progress re 2nd am	complaint				
09-01-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan	0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications call w Jeffer	ies counsel re depo				
09-02-2021	Approved		Billable	03 - Discovery	Baylet, John	0.600	575.00	345.00
	03 - Discov Prepare fo		ery call with Counsel f	or Jefferies				
09-02-2021	Approved		Billable	02 - Pleading	Baylet, John	9.500	575.00	5,462.50
	02 - Pleadi Review ar		e and discovery; draft a	and edit second amended compla	int; discuss same with senior associate			
09-02-2021	Approved		Billable	02 - Pleading	Monteverde, Juan	8.000	975.00	7,800.00
	02 - Pleadi	ng - review and dis	scuss 2nd am. compla	int				
09-02-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles	0.300	850.00	255.00
	14 - Meetir	ng/Strategy with joł	nn re amended compla	iint				
09-02-2021	Approved		Billable	02 - Pleading	Schreiner, Miles	2.200	850.00	1,870.00
	02 - Pleadi	ng - analyze/revise	e draft second amende	d complaint; calls with john re sa	ne			
09-03-2021	Approved		Billable	02 - Pleading	Baylet, John	1.800	575.00	1,035.00
	02 - Pleadi Draft and		led complaint; researc	h regarding fiduciary duty claims				
09-03-2021	Approved		Billable	12 - Analyze and review	Bower, David	0.300	750.00	225.00
	12 - Analyz	e and review - Re	view of protocols for d	epositions				
09-05-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan	1.000	975.00	975.00
	17 - Case S	Schedule - draft ar	nd revise w defs eids c	mc adjournment				
09-06-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan	14.000	525.00	7,350.00
	03 - Discov	very - pulling and s	orting discovery mater	ials in preparation for depositions				
09-06-2021	Approved		Billable	04 - Deposition	Monteverde, Juan	3.500	975.00	3,412.50
	04 - Depos	ition - prep genera	I overview for depo the	eme				
09-07-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan	14.000	525.00	7,350.00
	03 - Discov	very - pulling and s	orting discovery mater	ials in preparation for depositions				
09-07-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed	1.500	475.00	712.50
	14 - Meetir	ig/Strategy - re pre	eparing for upcoming d	epositions.				
09-07-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed	1.300	475.00	617.50

11 - Correspondence/Communications - multiple correspondences re compiling most relevant documents from document production in preparation for depositions.

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
09-07-2021	Approved		Billable	11 - Correspondence/ Communications	Khattab, Ahmed		0.800	475.00	380.00
	11 - Corres	pondence/Commu	unications re Lumentur	n's subpoena response.					
09-07-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		3.600	475.00	1,710.00
	03 - Discov	ery - review of doo	cument production and	pull most relevant in preparation	on for depositions.				
09-07-2021	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review an	,	um's Responses and (Objections to RFP					
09-07-2021	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discov Review an		ry; build case file; prep	pare for depositions					
09-07-2021	Approved		Billable	03 - Discovery	Baylet, John		3.800	575.00	2,185.00
		,		uss Oclaro discovery review, bu	uild of case file, and preparatio	n for depositions;			
09-07-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
	03 - Discov	ery - discussed or	ganization of discover	y in preparation for depositions.					
09-07-2021	Approved		Billable	04 - Deposition	Monteverde, Juan		6.000	975.00	5,850.00
	04 - Depos	ition - outline issue	es and docs for depos						
09-08-2021	Approved		Billable	03 - Discovery	Baylet, John		3.500	575.00	2,012.50
	03 - Discov Review an		ry; build case file; prep	pare for depositions					
09-08-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - pulling and s	orting discovery mater	ials in preparation for deposition	ns				
09-08-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications emails w de	fs counsel re Lumentum sbp					
09-09-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - pulling and s	orting discovery mater	ials in preparation for deposition	ns				
09-09-2021	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
		,		es; prepare for meeting with Def	f counsel; attend meeting with	Def counsel to meet			
09-09-2021	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review an	ery d analyze propose	ed search terms						
09-09-2021	Approved		Billable	03 - Discovery	Baylet, John		2.600	575.00	1,495.00

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Time Entries

Monteverde & Associates PC

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							Fro	m 01-01-2018	3 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
	03 - Discov Review an	ery d analyze discove	ry; build case file						
09-09-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.200	975.00	1,170.00
	03 - Discov	ery - review revise	d search terms for lur	nentum					
09-09-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discov	ery - prep and me	et and confer w defs r	e issues and Lumentum sbp)				
09-10-2021	Approved		Billable	03 - Discovery	Baylet, John		8.200	575.00	4,715.00
	03 - Discov Review an	,	ry; build case file; pre	pare for forthcoming deposit	ions				
09-10-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	ery - worked on pr	inting DB production f	or Juan's binder and discus	sed logisitics with the team.				
09-10-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - pulling and s	orting discovery mate	ials in preparation for depos	sitions				
09-10-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		11.500	475.00	5,462.50
	03 - Discov deposition		I review of Oclaro doc	ument production and pull a	all board minutes from relevant t	ime period for			
09-11-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.800	475.00	855.00
	03 - Discov	ery - analysis and	review of Defendants	interrogatories and request	ts for admissions.				
09-11-2021	Approved		Billable	04 - Deposition	Monteverde, Juan		9.000	975.00	8,775.00
	04 - Depos	tion - review hot d	oc binders fro depos						
09-12-2021	Approved		Billable	11 - Correspondence Communications	/ Baylet, John		0.200	575.00	115.00
		pondence/Commu ersation with man		ng discovery organization					
09-12-2021	Approved		Billable	11 - Correspondence Communications	/ Baylet, John		0.200	575.00	115.00
		pondence/Commu d analyze correspo		naging partner and Def cour	nsel				
09-12-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meetin	g/Strategy - reviev	v and discuss binders	w John					
09-12-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		3.600	975.00	3,510.00
	03 - Discov	ery - review hot do	oc binders and prep lis	t of docs to add					
09-13-2021	Approved		Billable	15 - Research	Baylet, John		1.200	575.00	690.00
	15 - Resea legal resea		isions in 14(a) actions	and ability to sue terminate	d and successor corporations				
09-13-2021	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov		arding point of contac	t/representation for third pa	rty BCC: correspondence with B				

Reach out to Def counsel regarding point of contact/representation for third party BCG; correspondence with BCG in-house counsel

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, II	nc.								
<u>Merger</u>									
09-13-2021	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov	,							
		,	• • •	review and analyze edits; edit an	·	naging partner		^^	
09-13-2021	Approved		Billable	02 - Pleading	Baylet, John		9.100	575.00	5,232.50
	02 - Pleadi Draft and e	5	led class action comp	laint					
09-13-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.200	475.00	570.00
		e and review depo		communicate with counsel re ana					0.000
09-13-2021	Approved		Billable	15 - Research	Lerner, Jonathan		5.000	525.00	2,625.00
	05 - legal re	esearch re naming	defunct corporations	& damages tracing to successor	entity				
09-13-2021	Approved		Billable	05 - Motion	Lerner, Jonathan		5.000	525.00	2,625.00
	05 - Motion	- finalization of m	otion to strike affirmat	ive defenses based on edits from	n partner; discussion re same	e with partner			
09-13-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	visions of protocols						
09-13-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz counsel	e and review - Re	view and dealing with	service of Subpoena on Boston	Consulting and corresponder	nce review with			
09-13-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.600	975.00	1,560.00
	11 - Corres	pondence/Commu	unications - emails w	roshida and set up a call an disc	uss status				
09-13-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		12.000	975.00	11,700.00
	02 - Pleadi	ng - review/edit 2n	d am complaint						
09-14-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Re	view and discussions	regarding protocols					
09-14-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		10.000	525.00	5,250.00
	03 - Discov	ery - drafting resp		missions; drafting response to in	terrogatories				
09-14-2021	Approved		Billable	15 - Research	Lerner, Jonathan		4.000	525.00	2,100.00
	-	-	•	& damages tracing to successor	•				
09-14-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.800	475.00	2,280.00
~~ ~ ~ ~		•	•	t production from plaintiff and bat		fendants.		^^	
09-14-2021	Approved		Billable	03 - Discovery	Baylet, John		0.900	575.00	517.50
	03 - Discov Exchange		to remote deposition	protocols with Def counsel					
09-14-2021	Approved		Billable	02 - Pleading	Baylet, John		14.200	575.00	8,165.00
	02 - Pleadi Review, ar and senior	nalyze and discuss	edits to SAC from ma	anaging partner; draft and edit S/	AC; discuss SAC strategy wi	th managing partner			

and senior associate

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Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
09-14-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		4.000	975.00	3,900.00
	02 - Pleadi	ng -review/discus	s w team 2nd am comp	laint and fiduciary duty angle					
09-14-2021	Approved		Billable	02 - Pleading	Schreiner, Miles		7.300	850.00	6,205.00
	02 - Pleadi discovery r		e/edit second amende	d complaint; meetings/calls with	john and juan re same; review	w pertinent			
09-15-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
	03 - Discov	very - organized di	scovery binders.						
09-15-2021	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.700	475.00	807.50
	03 - Discov	very - complete rev	view of document produ	uction and produce to defendant	S.				
09-15-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		7.000	525.00	3,675.00
	03 - Discov	very - continued dr	afting of interrogatories	6					
09-15-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	view of production by F	Plaintiff					
09-15-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		10.500	975.00	10,237.50
	03 - Discov	very - review hot d	ocs						
09-16-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
				from 2014-2016; review and an e re same for complaint; review					
09-16-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		0.900	475.00	427.50
	14 - Meetin	ng/Strategy re ame	ended complaint.						
09-16-2021	Approved		Billable	02 - Pleading	Baylet, John		6.200	575.00	3,565.00
		edit second amen	ded complaint; review a n beating guidance	and analyze all earnings reports	and announcements; build ar	nd edit table			
09-16-2021	Approved		Billable	05 - Motion	Baylet, John		5.300	575.00	3,047.50
		d analyze LR and		g orders on sealing confidential hlight and redact confidential inf		th senior associate;			
09-16-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		3.700	975.00	3,607.50
	02 - Pleadi	ng - corroborate a	II new issues from disc	overy included in 2nd am compl	aint				
09-16-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.100	975.00	1,072.50
	11 - Corres	pondence/Comm	unications - call and en	nail client re 2nd am complaint, a	and discovery emails w WSGF	R			
09-16-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.700	850.00	1,445.00
	14 - Meetin	g/Strategy with jo	hn re second amended	l complaint and motion to seal; r	eview local rules re same; rev	view draft of all docs			
09-17-2021	Approved		Billable	02 - Pleading	Baylet, John		6.100	575.00	3,507.50

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Time Entries

Time Er Montever	n tries de & Associates PC	& Associates PC Status Approval BillableType		Associates PC				Professional = All (Inactive Inc Group By Professional Client - Matter = Merger (Active Task Cod View = C From 01-01-2018 To 10-3					
Date	Status Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount					
Oclaro, I	nc.												
<u>Merger</u>													
	02 - Pleading Review, edit, and finalize SA all docs with the court; serve		ancillary docs; review and analy	/ze ecf, local rulse, and stand	ding orders and file								
09-17-2021	Approved	Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00					
	03 - Discovery Review and analyze Lument	um search terms; edit	search terms and discuss with r	nanaging partner; send edits	to Def counsel								
09-17-2021	Approved	Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.500	475.00	712.50					
	14 - Meeting/Strategy re final	izing amended compla	aint.										
09-17-2021	Approved	Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00					
	12 - Analyze and review - Re	view correspondence	regarding search terms for Lume	entum									
09-17-2021	Approved	Billable	02 - Pleading	Bower, David		0.200	750.00	150.00					
	02 - Pleading - Filing of Motic	on to Seal											
09-17-2021	Approved	Billable	02 - Pleading	Schreiner, Miles		4.400	850.00	3,740.00					
	02 - Pleading - finalize secon	d amended complaint	and ancillary docs with John										
09-17-2021	Approved	Billable	02 - Pleading	Monteverde, Juan		3.000	975.00	2,925.00					
	02 - Pleading - final read and	sign off on 2nd am co	mplaint										
09-18-2021	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50					
	11 - Correspondence/Commu	unications re 2nd am c	compl and Jeff. depo										
09-20-2021	Approved	Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.100	475.00	522.50					
	14 - Meeting/Strategy re disc	overy requests to new	added defendants Pete Mangar	n and Yves LeMaitre.									
09-20-2021	Approved	Billable	03 - Discovery	Lerner, Jonathan		7.000	525.00	3,675.00					
	03 - Discovery - finalization o with partner	f first draft of response	to interrogatories and response	to request for admissions; fo	ollowup discussion								
09-20-2021	Approved	Billable	15 - Research	Baylet, John		4.600	575.00	2,645.00					
	15 - Research Legal research regarding the dismiss	e effect of the PSLRA of	on fiduciary duty cases and appl	ication of discovery stay to th	ne second motion to								
09-20-2021	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.500	575.00	287.50					
	11 - Correspondence/Commu Review and analyze email fr		ss same with senior associate a	nd managing partner; draft a	nd send response								
09-20-2021	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.700	575.00	402.50					
	11 - Correspondence/Commu Review and analyze draft en managing partner		ss with managing partner; edit ar	nd send new draft of email to	mediator to								
		_		•• • • •									

09 - Settlement/Mediation Monteverde, Juan

Billable

487.50

0.500 975.00

Professional = All (Inactive Included)

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						Fro	om 01-01-2018	18 To 10-31-2023	
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
	09 - Settlen	nent/Mediation - er	mail to Yoshida w ana	lysis and 2nd am complaint					
09-20-2021	Approved		Billable	05 - Motion	Monteverde, Juan		2.500	975.00	2,437.50
	05 - Motion	- review email from	m defs re stay motion	and research same					
09-21-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.400	575.00	230.00
		pondence/Commu vith third parties re	inications egarding discovery						
09-21-2021	Approved		Billable	02 - Pleading	Baylet, John		2.600	575.00	1,495.00
		proper methods of	service including last defendants Mangan a	known address; review and an nd LeMaitre	alyze discovery and publicly	vavailable information			
09-21-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.400	575.00	230.00
	Review and	pondence/Commu d analyze reply fro vaivers of service		ing the effect of SAC; discuss s	same with managing partne	r; draft and send reply			
09-21-2021	Approved		Billable	15 - Research	Baylet, John		1.200	575.00	690.00
				ave of the court" and "written co	onsent" of Def counsel; also	research into			
09-21-2021	Approved		Billable	02 - Pleading	Lerner, Jonathan		6.000	525.00	3,150.00
	02 - Pleadir	ng - preparation of	summonses for adde	d parties; follow up correspond	ence with partner and assoc	ciate			
09-21-2021	Approved		Billable	05 - Motion	Monteverde, Juan		1.000	975.00	975.00
	05 - Motion	- review defs resp	oonse re stay and rese	earch same					
09-21-2021	Approved		Billable	02 - Pleading	Monteverde, Juan		0.500	975.00	487.50
	02 - Pleadir	ng - review new su	immonses						
09-21-2021	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.600	975.00	585.00
	14 - Meetin	g/Strategy re new	parties and summons	es					
09-21-2021	Approved		Billable	15 - Research	Schreiner, Miles		1.300	850.00	1,105.00
	15 - Resear	ch re Rule 15 righ	it to amend an how ps	Ira stay applies when 2nd mtd	filed				
09-22-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - continued dra	afting and finalization	of response to interrogatories a	nd requests for admission				
09-22-2021	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.200	750.00	150.00
	11 - Corres	pondence/Commu	inications - Various co	rrespondence regarding servic	e and narrowing of issues				
09-22-2021	Approved		Billable	05 - Motion	Baylet, John		1.200	575.00	690.00
	05 - Motion Review and	d analvze Def mot	ion to seal and attach	ments thereto including the cha	inges to redactions in the S	AC			

Review and analyze Def motion to seal and attachments thereto including the changes to redactions in the SAC

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Time Entries

Monteverde & Associates PC

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Date	Status	Approval	BillableType	Task	Professional Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.							
<u>Merger</u>								
09-22-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John	0.100	575.00	57.50
		pondence/Commu with Kassandra Jo	unications ordan re DB production					
09-22-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John	0.200	575.00	115.00
		pondence/Commu neet and confer fo						
09-22-2021	Approved		Billable	03 - Discovery	Scarpa, Rossella	0.300	475.00	142.50
	03 - Discov	ery - re-organized	discovery folders in B	OX.				
09-22-2021	Approved		Billable	05 - Motion	Monteverde, Juan	1.000	975.00	975.00
	05 - Motior	- receive and revi	ew motion to seal					
09-22-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan	0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications - emails w d	efs re stay and briefing, schedu	le call			
09-23-2021	Approved		Billable	14 - Meeting/Strategy	Scarpa, Rossella	0.500	475.00	237.50
	14 - Meetir	g/Strategy - video	call with Defendants re	egarding possible discovery sta	у.			
09-23-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John	0.800	575.00	460.00
		pondence/Commu r and meet and co		discuss meeting with managing	partner			
09-23-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John	1.600	575.00	920.00
	Review an				pest method of contacting the court; review case			
09-23-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan	12.500	525.00	6,562.50
	03 - Discov	ery - continued dra	afting and finalization o	of response to interrogatories ar	d requests for admission			
09-23-2021	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed	2.400	475.00	1,140.00
				unsel for Defendants re stay of on PSLRA stay and motion to dis	discovery and amended complaint; attend meetin miss the amended complaint.	g		
09-23-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan	1.000	975.00	975.00
	11 - Corres	pondence/Commu	unications - prep and c	onfer w defs re stay and briefing	g mtd			
09-23-2021	Approved		Billable	03 - Discovery	Monteverde, Juan	2.300	975.00	2,242.50
	03 - Discov	ery - review respo	nses for Karri rogs and	d confer w Jonathan				
09-23-2021	Approved		Billable	05 - Motion	Monteverde, Juan	2.500	975.00	2,437.50
	05 - Motion	- review PSLRA	stav and discuss strate	gy, including accelerate collection	on from 3rd parties			

05 - Motion - review PSLRA stay and discuss strategy, including accelerate collection from 3rd parties

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

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Date	Status	Approval	BillableType	Task	Professional	Start	Stop	Duration	Rate	Amount
Oclaro, I	nc.									
<u>Merger</u>										
09-23-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles			0.800	850.00	680.00
	14 - Meetin	g/Strategy with det	fense counsel re disco	overy stay and MTD; meeting wit	h juan re same					
09-24-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed			3.000	475.00	1,425.00
	12 - Analyz	e and review motio	on to seal and motion	for discovery stay.						
09-24-2021	Approved		Billable	03 - Discovery	Lerner, Jonathan			14.000	525.00	7,350.00
	03 - Discov	ery - finalization of	response to interroga	tories and requests for admissio	n					
09-24-2021	Approved		Billable	12 - Analyze and review	Bower, David			0.400	750.00	300.00
	12 - Analyz	e and review - Rec	eipt and review of mo	tion to stay						
09-24-2021	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles			0.200	850.00	170.00
	14 - Meetin	g/Strategy with jua	n re case strategy							
09-24-2021	Approved		Billable	03 - Discovery	Monteverde, Juan			0.300	975.00	292.50
	03 - Discov	ery - review emails	s, and follow up w DB	counsel re timing for docs						
09-26-2021	Approved		Billable	05 - Motion	Baylet, John			1.200	575.00	690.00
			ond motion to seal and	d attachments thereto including t	he changes to redactions in th	ne SAC and				
09-26-2021	Approved		Billable	03 - Discovery	Monteverde, Juan			0.500	975.00	487.50
	03 - Discov	ery - review WSJ a	article re BCG and ser	nd to group						
09-27-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John			1.100	575.00	632.50
		pondence/Commu edit Pltf individual le								
09-27-2021	Approved		Billable	03 - Discovery	Baylet, John			1.400	575.00	805.00
	03 - Discov Coordinate	,	review and analyze sa	ame						
09-27-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John			4.600	575.00	2,645.00
		pondence/Commu d analyze local rule		s; draft and edit joint letter to the	court					
09-27-2021	Approved		Billable	05 - Motion	Baylet, John			4.200	575.00	2,415.00
	05 - Motion Review an		ants motion to stay; le	gal research regarding the same	e; discuss motion strategy with	n managing p	partner			
09-27-2021	Approved		Billable	12 - Analyze and review	Bower, David			0.300	750.00	225.00
	12 - Analyz	e and review - revi	ew of letter to judge re	egarding scheduling of discovery						
09-27-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan			0.300	975.00	292.50
	11 Corros	nondence/Commu	nications - emails w lr	ophi counsel re load file and issu	20					

11 - Correspondence/Communications - emails w Inphi counsel re load file and issues

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Monteverde & Associates PC

							Fro	m 01-01-201	8 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
09-27-2021	Approved		Billable	05 - Motion	Monteverde, Juan		1.800	975.00	1,755.00
		- review defs ema	ail and stip schedule fo	or mtd, discuss internally and edit	,	position, discuss			,
	same w de	s		-					
09-28-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		3.400	575.00	1,955.00
	Review an	pondence/Commu d analyze def posi respond to def edi	ition for joint letter; dis	cuss strategy with managing part	ner and senior associate;	draft and edit joint			
09-28-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		0.700	975.00	682.50
	03 - Discov	ery - review R&O	from bcg						
09-28-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - emails w D	B counsel and arrange call					
09-28-2021	Approved		Billable	03 - Discovery	Monteverde, Juan		6.200	975.00	6,045.00
	03 - Discov	ery - review inphi	production						
09-29-2021	Approved		Billable	05 - Motion	Baylet, John		3.400	575.00	1,955.00
	05 - Motion Draft and e	dit opposition to n	notion to stay						
09-29-2021	Approved		Billable	03 - Discovery	Baylet, John		4.400	575.00	2,530.00
	03 - Discov Review an	ery d analyze Inphi pro	oduction						
09-29-2021	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.300	575.00	172.50
		pondence/Commu d analyze edits to		ter to the court; discuss strategy v	vith managing partner				
09-29-2021	Approved		Billable	02 - Pleading	Bower, David		0.200	750.00	150.00
	02 - Pleadir	ng - filing of letter r	regarding discovery						
09-29-2021	Approved		Billable	05 - Motion	Monteverde, Juan		0.700	975.00	682.50
	05 - Motion	- review defs inse	ert to letter re stay and	file					
09-29-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - emails w D	DB counsel and provide letter to c	ourt re stay				
09-29-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		0.200	975.00	195.00
	09 - Settlen	nent/Mediation - fo	ollow up on settlement	offer w berger					
09-30-2021	Approved		Billable	05 - Motion	Baylet, John		2.600	575.00	1,495.00
	05 - Motion Draft and e	dit opposition to n	notion to stay						
10-01-2021	Approved		Billable	05 - Motion	Baylet, John		5.500	575.00	3,162.50

Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	05 - Motior								
		edit opposition to n		A- - - - - - - - - -				^ ^	
10-04-2021	Approved		Billable	05 - Motion	Baylet, John		6.500	575.00	3,737.50
	05 - Motior Draft and e	edit Opp to Motion	to Stay						
10-05-2021	Approved		Billable	05 - Motion	Baylet, John		7.800	575.00	4,485.00
	05 - Motior		to Stay						
10-06-2021	Approved		Billable	05 - Motion	Schreiner, Miles		9.300	850.00	7,905.00
	05 - Motior same	ı - review/analyze a	and revise/edit opp to	motion to stay and legal researc	h re same; meetings/calls v	with john and juan re			
10-07-2021	Approved		Billable	05 - Motion	Baylet, John		4.600	575.00	2,645.00
	05 - Motior Draft and e		to Stay; discuss with s	senior associate and managing p	partner				
10-07-2021	Approved		Billable	05 - Motion	Schreiner, Miles		9.200	850.00	7,820.00
	05 - Motior same	ı - review/analyze a	and revise/edit opp to	motion to stay and legal researc	h re same; meetings/calls v	with john and juan re			
10-07-2021	Approved		Billable	05 - Motion	Monteverde, Juan		8.000	975.00	7,800.00
	05 - Motior	- review/edit/discu	iss opp to stay						
10-08-2021	Approved		Billable	05 - Motion	Baylet, John		5.400	575.00	3,105.00
	05 - Motior Review, ee	l dit, finalize, and file	Stay Opposition						
10-08-2021	Approved		Billable	05 - Motion	Bower, David		0.200	750.00	150.00
	05 - Motior	- Filing of Opposit	ion to Motion to Stay						
10-08-2021	Approved		Billable	05 - Motion	Schreiner, Miles		5.600	850.00	4,760.00
	05 - Motior same	- review/analyze a	and revise/edit opp to	motion to stay and legal researc	h re same; meetings/calls v	with john and juan re			
10-15-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review of rep						
10-15-2021	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		1.500	475.00	712.50
	12 - Analyz	e and review - rep	ly to opposition to stay						
10-15-2021	Approved		Billable	05 - Motion	Baylet, John		1.400	575.00	805.00
	05 - Motior Review an		to Stay reply; discuss	same with managing partner					
10-18-2021	Approved		Billable	12 - Analyze and review	Schreiner, Miles		1.600	850.00	1,360.00
	12 - Analyz	e and review def r	eply re motion to stay	and cases cited					
10-18-2021	Approved		Billable	05 - Motion	Monteverde, Juan		3.000	975.00	2,925.00
	05 - Motior	- review/annotate	reply to stay						

Time Entries

Monteverde & Associates PC

Date	Status A	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
10-25-2021	Approved		Billable	05 - Motion	Baylet, John		1.300	575.00	747.50
	05 - Motion review, analyze	e, and discuss D	ef MTD filings						
10-25-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.400	750.00	300.00
	12 - Analyze an	d review - Rece	ipt and review Motio	n to Dismiss Lumentum					
10-25-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyze an	d review - Rece	ipt and review Motio	n to Dismiss Oclaro					
10-25-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyze an	d review - Rece	ipt and review Individ	dual Defendants' MTD					
10-26-2021	Approved		Billable	05 - Motion	Baylet, John		8.600	575.00	4,945.00
	declaration ISO	and 55 exhibits		s; Director Defendants' motion to notice; discuss strategy for oppo					
10-26-2021	Approved		Billable	05 - Motion	Baylet, John		1.800	575.00	1,035.00
	05 - Motion Review and an counsel for thei		; draft and edit stipu	lation to extend briefing schedule	e and file omnibus opposition; s	send to Def			
10-26-2021	Approved		Billable	05 - Motion	Monteverde, Juan		7.000	975.00	6,825.00
	05 - Motion - re	view 2nd MTD b	orief						
10-26-2021	Approved		Billable	05 - Motion	Schreiner, Miles		8.600	850.00	7,310.00
			efendants 3 MTD brie ummary judgment	efs and related filings; meeting w	ith john and juan re strategy; re	esearch re			
10-27-2021	Approved		Billable	05 - Motion	Baylet, John		2.400	575.00	1,380.00
	05 - Motion Review and an counsel for thei		; draft and edit stipu	lation to extend briefing schedule	e and file omnibus opposition; s	send to Def			
10-27-2021	Approved		Billable	05 - Motion	Baylet, John		2.800	575.00	1,610.00
	05 - Motion Review and an declaration ISO	and 55 exhibits		s; Director Defendants' motion to notice; discuss strategy for oppo					
10-27-2021	Approved		Billable	05 - Motion	Bower, David		0.300	750.00	225.00
	05 - Motion - Re	view and filing	stipulation re Motion	Briefing schedule					
10-27-2021	Approved		Billable	05 - Motion	Monteverde, Juan		0.500	975.00	487.50
	05 - Motion - re	/iew/finalize stip	w schedule for MTE)					
10-27-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		3.000	975.00	2,925.00
	06 - Preparatior	Hearing/Trial -	motion to Stay						

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
10-28-2021	Approved		Billable	05 - Motion	Baylet, John		3.100	575.00	1,782.50
	declaration	d analyze: Lumen ISO and 55 exhib	its; request for judicial	s; Director Defendants' motion to notice; discuss strategy for oppo prepare high level outline for opp	sition with managing partr				
10-29-2021	Approved		Billable	05 - Motion	Baylet, John		2.200	575.00	1,265.00
	declaration	d analyze: Lumen ISO and 55 exhib		s; Director Defendants' motion to notice; discuss strategy for oppo					
10-29-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corres	pondence/Commu	unications - review Jeff	ereis email and provide ltr to cou	rt re stay				
10-30-2021	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		4.000	975.00	3,900.00
	06 - Prepai	ration Hearing/Tria	ll re stay						
11-01-2021	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.300	750.00	225.00
	17 - Case \$	Schedule - Review	scheduling order and						
11-02-2021	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	ceipt and review or orc	ler staying discovery					
11-02-2021	Approved		Billable	05 - Motion	Monteverde, Juan		0.800	975.00	780.00
	05 - Motior	ı - review court's o	rder.						
11-05-2021	Approved		Billable	05 - Motion	Monteverde, Juan		5.200	975.00	5,070.00
	05 - Motior	ı - review stay brie	f and opp draft from Jo	hn					
11-07-2021	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		0.500	975.00	487.50
	09 - Settler	ment/Mediation - e	mails w Yoshida						
11-08-2021	Approved		Billable	05 - Motion	Baylet, John		1.500	575.00	862.50
	05 - Motior Draft and e	-	nibus opposition to Def	MTDs					
11-08-2021	Approved		Billable	05 - Motion	Monteverde, Juan		8.000	975.00	7,800.00
	05 - Motior	- review/edit/final	ize stay opp						
11-08-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - f/u emails	w Yoshida					
11-09-2021	Approved		Billable	05 - Motion	Baylet, John		5.500	575.00	3,162.50
	05 - Motior Draft and e		sition to Def MTDs						

Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional S	Start Stop	Duration	Rate	Amount
Oclaro, li	1С.								
<u>Merger</u>									
11-10-2021	Approved		Billable	05 - Motion	Baylet, John		9.500	575.00	5,462.50
	05 - Motion Draft and edi	t omnibus oppos	sition to Def MTDs						
11-11-2021	Approved		Billable	05 - Motion	Baylet, John		9.500	575.00	5,462.50
	05 - Motion Draft and edi	t omnibus oppos	sition to Def MTDs						
11-11-2021	Approved		Billable	05 - Motion	Schreiner, Miles		3.400	850.00	2,890.00
	05 - Motion - I	review/analyze d	lef mtd 2 briefs and ca	ases cited; draft outline of not	es; research for assigned section of br	ief			
11-12-2021	Approved		Billable	05 - Motion	Baylet, John		5.500	575.00	3,162.50
	05 - Motion Draft and edi	t omnibus oppos	sition to Def MTDs						
11-12-2021	Approved		Billable	05 - Motion	Schreiner, Miles		6.900	850.00	5,865.00
				ases cited; draft outline of not same; research for assigned	es; review john's outline for response b section of brief	orief; call with			
11-15-2021	Approved		Billable	05 - Motion	Baylet, John		11.200	575.00	6,440.00
	05 - Motion Draft and edi regarding the		bus Opposition to De	fendants' Motions to Dismiss	he Second Amended Complaint; lega	research			
11-15-2021	Approved		Billable	05 - Motion	Schreiner, Miles		5.800	850.00	4,930.00
	05 - Motion - 0	draft/revise secti	ons of mtd 2 opp brie	f re fiduciary duty claim; legal	research re same				
11-15-2021	Approved		Billable	05 - Motion	Monteverde, Juan		2.300	975.00	2,242.50
	05 - Motion - I	review reply to s	tay and confer re sam	ne internally					
11-15-2021	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Correspo	ndence/Commu	nications w Yoshida a	and send article re Willis Towe	ers				
11-16-2021	Approved		Billable	05 - Motion	Baylet, John		9.400	575.00	5,405.00
	05 - Motion Draft and edi regarding the		bus Opposition to De	fendants' Motions to Dismiss	he Second Amended Complaint; lega	l research			
11-16-2021	Approved		Billable	05 - Motion	Schreiner, Miles		6.400	850.00	5,440.00
	05 - Motion - 0	draft/revise secti	ons of mtd 2 opp brie	f re fiduciary duty claim; legal	research re same				
11-17-2021	Approved		Billable	05 - Motion	Baylet, John		11.800	575.00	6,785.00
	05 - Motion Draft and edi regarding the		bus Opposition to De	fendants' Motions to Dismiss	he Second Amended Complaint; lega	l research			
11-17-2021	Approved		Billable	05 - Motion	Schreiner, Miles		6.100	850.00	5,185.00
	05 - Motion - 0	draft/revise secti	ons of mtd 2 opp brie	f re fiduciary duty claim; legal	research re same				
				05 - Motion	Baylet, John			575.00	5.922.50

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro, Inc. Merger 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint; legal research regarding the same 11-18-2021 Approved Billable 05 - Motion Schreiner, Miles 6.600 850.00 5,610.00 05 - Motion - draft/revise sections of mtd 2 opp brief re fiduciary duty claim; legal research re same Billable 575.00 7.762.50 11-19-2021 Approved 05 - Motion Bavlet, John 13.500 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint 6.885.00 11-19-2021 Approved Billable 05 - Motion Schreiner, Miles 8.100 850.00 05 - Motion - draft/revise sections of mtd 2 opp brief re fiduciary duty claim; legal research re same 05 - Motion 11-20-2021 Approved Billable 2,645.00 Baylet, John 4.600 575.00 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint Billable 2.200 850.00 1.870.00 11-20-2021 Approved 05 - Motion Schreiner, Miles 05 - Motion - emails with john and juan re mtd 2 opp brief and legal issues; legal research re same 11-20-2021 Approved Billable 05 - Motion Monteverde, Juan 11.000 975.00 10.725.00 05 - Motion - review/edit omnibus opp mtd 11-21-2021 Approved Billable 05 - Motion 3.800 575.00 2,185.00 Baylet, John 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint Billable 12.900 575.00 7,417.50 11-22-2021 Approved 05 - Motion Baylet, John 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint 6.500 975.00 6.337.50 11-22-2021 Approved Billable 15 - Research Monteverde, Juan 15 - Research re loss causation for mtd 11-22-2021 Billable 05 - Motion 11.700 850.00 9.945.00 Approved Schreiner, Miles 05 - Motion - draft/revise sections of mtd 2 opp brief re fiduciary duty claim and loss causation and relation back and Borak; legal research re same; calls with john and juan re same 8,510.00 11-23-2021 Approved Billable 05 - Motion Baylet, John 14.800 575.00 05 - Motion Draft and edit Plaintiff's Omnibus Opposition to Defendants' Motions to Dismiss the Second Amended Complaint 11-23-2021 Approved Billable 05 - Motion Schreiner, Miles 15.300 850.00 13,005.00

Monteverde, Juan

Baylet, John

05 - Motion - draft/revise sections of mtd 2 opp brief re fiduciary duty claim and loss causation and relation back and Borak; legal

05 - Motion

05 - Motion

6.337.50

9.487.50

6.500

16.500

975.00

575.00

Approved

Approved

11-23-2021

11-24-2021

research re same; calls with john and juan re same

05 - Motion opp to mtd edits and revisions

Billable

Billable

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Time Entries

11-25-2021

Approved

05 - Motion

Billable

Discuss filings and coordinate next steps with managing partner and senior associate

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Professional Status Approval BillableType Task Start Stop Duration Rate Amount Oclaro, Inc. Merger 05 - Motion Draft, edit, finalize, and file Omnibus Opp to MTD2, Admin motion to consider seal, Opp to RJN 11-24-2021 Approved Billable 05 - Motion Bower, David 0.300 750.00 225.00 05 - Motion - Filing of Opposition to MTD 11-24-2021 Billable 05 - Motion 975.00 4,582.50 Approved Monteverde, Juan 4.700 05 - Motion - final read/edits to opp mtd and seal 11-24-2021 Approved Billable 05 - Motion Schreiner, Miles 17.400 850.00 14,790.00 05 - Motion - draft/revise sections of mtd 2 opp brief re fiduciary duty claim and loss causation and relation back and Borak; legal research re same; calls with john and juan re same

Baylet, John

11-25-2021	Approved	Billable	05 - Motion	Schreiner, Miles	0.400	850.00	340.00					
	05 - Motion - file redacted versi	on of mtd 2 opp and e	mails with co counsel and defer	nse counsel re same								
11-29-2021	Approved	Billable	05 - Motion	Baylet, John	0.600	575.00	345.00					
	05 - Motion Review and analyze Def motio	n to seal and correspo	onding exhibits									
11-29-2021	Approved	Billable	14 - Meeting/Strategy	Baylet, John	0.400	575.00	230.00					
	14 - Meeting/Strategy Discuss case strategy, current	14 - Meeting/Strategy Discuss case strategy, current state of settlement negotiations, interactions with the mediator, and next steps										
11-30-2021	Approved	Billable	05 - Motion	Baylet, John	2.200	575.00	1,265.00					
	05 - Motion Prepare and send chambers copies of Plaintiff's Second Amended Class Action Complaint, Omnibus Opposition to Defendants Motions to Dismiss, and Opposition to Request for Judicial Notice											
12 14 2021	Approved	Billabla	12 Analyza and raviaw	Sebreiner Miles	1 200	950.00	1 0 2 0 0 0					

12-14-2021	Approved	Billable	12 - Analyze and review	Schreiner, Miles	1.200	850.00	1,020.00
	12 - Analyze and review Baum	order in connection w	th possible letter of supplement	al authority			
12-23-2021	Approved	Billable	05 - Motion	Baylet, John	2.500	575.00	1,437.50
	05 - Motion Review and annotate Def reply	briefs, discuss same	with managing partner and seni	or associate			
12-23-2021	Approved	Billable	12 - Analyze and review	Bower, David	0.500	750.00	375.00
	12 - Analyze and review - Rece	ipt and Review of repl	y to MTD				
12-23-2021	Approved	Billable	12 - Analyze and review	Schreiner, Miles	4.400	850.00	3,740.00
	12 - Analyze and review defend	lants' mtd 2 reply brief	s and cases cited; legal researc	h re same; emails with team re same			
12-23-2021	Approved	Billable	05 - Motion	Monteverde, Juan	8.000	975.00	7,800.00
	05 - Motion - review and annota	ate reply to mtd					
01-03-2022	Approved	Billable	05 - Motion	Schreiner, Miles	0.700	850.00	595.00
	OF Mation draft statement of	recent decision re De	um and review/analyza Daym re	a amai amail with an any neal reasons			

05 - Motion - draft statement of recent decision re Baum and review/analyze Baum re same; email with co counsel re same

05 - Motion

632.50

1.100 575.00

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
01-06-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Baylet, John		0.200	575.00	115.00
		ation Hearing/Tria scovery document		aging partner in prep for MTD he	aring				
01-06-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		7.500	975.00	7,312.50
	06 - Prepar	ation Hearing/Tria	I - review mtd briefing	and start prep					
01-07-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and Review of O	rder re Hearing					
01-07-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		8.200	975.00	7,995.00
	06 - Prepar	ation Hearing/Tria	I 2nd mtd						
01-07-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.300	850.00	255.00
	14 - Meetin	g/Strategy with jua	an re MTD 2 hearing n	ext week					
01-08-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		5.400	975.00	5,265.00
	06 - Prepar	ation Hearing/Tria	I - prep 2nd mtd argun	nent					
01-10-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Baylet, John		8.400	575.00	4,830.00
		ation Hearing/Tria edit timeline of all i		TD hearing; present to managing	parnter				
01-10-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		7.000	975.00	6,825.00
	06 - Prepar	ation Hearing/Tria	l - prep 2nd mtd argur	nent and ppt					
01-10-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		2.000	975.00	1,950.00
	14 - Meetin	g/Strategy - reviev	v order t mtd and 2nd	am complaint stricken, discuss ir	iternally				
01-11-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Baylet, John		2.400	575.00	1,380.00
		ation Hearing/Tria Id prepare materia	l Il documents for MTD	trial					
01-11-2022	Approved		Billable	05 - Motion	Baylet, John		1.200	575.00	690.00
	05 - Motion Review, ar	alyze and discuss	MTD order						
01-11-2022	Approved		Billable	03 - Discovery	Baylet, John		2.100	575.00	1,207.50
	03 - Discov Review, di		evised proposed disco	very schedule pursuant to MTD c	order				
01-11-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
		e and review - Re	ceipt and Review of m	inutes from court regarding MTD					

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro,	Inc.								
Merger									
01-11-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.800	850.00	680.00
	14 - Meetir	ng/Strategy re case	e strategy in light of co	urt's order re 2nd amended com	plaint and discovery				
01-12-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.600	475.00	760.00
	14 - Meetir	ng/Strategy re disc	overy and responses t	o interrogatories propounded by	defendants.				
01-12-2022	Approved		Billable	14 - Meeting/Strategy	Baylet, John		2.800	575.00	1,610.00
	14 - Meetir Prepare fo		n Oclaro team meeting	regarding case strategy					
01-12-2022	Approved		Billable	03 - Discovery	Baylet, John		6.400	575.00	3,680.00
		nalyze, and report		nding discover and discuss next ery requests; search for optical c		ming them of MTD			
01-12-2022	Approved		Billable	15 - Research	Schreiner, Miles		1.000	850.00	850.00
	15 - Resea	rch re motion to st	rike and review draft o	f same					
01-12-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.500	850.00	425.00
	14 - Meetir	ng/Strategy re case	e strategy in light of co	urt's order re 2nd amended com	plaint and discovery				
01-12-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	spondence/Commu	unications - follow up v	v BCG, Jefferies and DB counse	I re discovery and lift of PSLRA	A stay			
01-12-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	spondence/Commu	unications - chat w Ber	ger re case and defs \$5m offer t	o settle				
01-13-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		1.500	475.00	712.50
	03 - Discov	very - edit and revi	ew discovery response	es and prepare verification.					
01-14-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.500	975.00	3,412.50
	03 - Discov	very - review all da	tabases and rfps, plus	docs/responses due from pltf					
01-14-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	spondence/Commu	unications - follow up v	v Jefferies and DB and review re	sponse email DB counsel				
01-20-2022	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		0.700	475.00	332.50
	12 - Analyz	e and review prop	osed revised discover	y schedule by defendants					
01-20-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.400	975.00	1,365.00
	14 - Meetir	ng/Strategy re defs	possible 12(c) and ne	w stay, review Baum and discus	s arguments against stay				
01-20-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	unication - emails w W	SGR re schedule					
01-20-2022	Approved		Billable	15 - Research	Schreiner, Miles		1.100	850.00	935.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro, Inc. Merger 15 - Research re whether 12(c) motion triggers PSLRA stay in connection with defendants' revision to schedule indicating that will file one; call with juan re same 01-20-2022 Billable 03 - Discovery 0.800 850.00 680.00 Approved Schreiner, Miles 03 - Discovery call with BCG counsel re subpoena; post-call with John re same; review subpoena and responses re same 11 - Correspondence/ 01-21-2022 Approved Billable Khattab, Ahmed 1.100 475.00 522.50 Communications 11 - Correspondence/Communications re new discovery schedule and anticipated 12(c) motion. 01-21-2022 Approved Billable 03 - Discovery Schreiner, Miles 0.800 850.00 680.00 03 - Discovery - review/analyze new RFPs and call with john re same 17 - Case Schedule/CMC/ 01-21-2022 Monteverde, Juan 0.500 975.00 487.50 Approved Billable Reports 17 - Case Schedule - review/edit schedule sent from defs and explain position 01-24-2022 14 - Meeting/Strategy 0.500 975.00 487.50 Approved Billable Monteverde, Juan 14 - Meeting/Strategy - confer internally re Private right and Borak 11 - Correspondence/ 01-24-2022 Billable 0.800 975.00 780.00 Approved Monteverde, Juan Communications 11 - Correspondence/Communications - review defs letter re 12(c) and private right of action 11 - Correspondence/ 01-25-2022 Approved Billable Monteverde, Juan 1.200 975.00 1,170.00 Communications 11 - Correspondence/Communications - review standing order and follow up re DB and threat motion to compel, review DB response and schedule call, emails w WSGR re schedule 01-25-2022 Billable 14 - Meeting/Strategy Monteverde, Juan 1.500 975.00 1,462.50 Approved 14 - Meeting/Strategy - outline discovery outstanding and tineline 11 - Correspondence/ 01-26-2022 Bower, David 0.200 750.00 150.00 Approved Billable Communications 11 - Correspondence/Communications - Questions regarding filing 01-26-2022 975.00 487.50 Approved Billable 03 - Discovery Monteverde, Juan 0.500 03 - Discovery - review search terms for BCG 17 - Case Schedule/CMC/ 01-26-2022 Monteverde, Juan 975.00 390.00 Approved Billable 0.400 Reports 17 - Case Schedule - finalize and file schedule 01-26-2022 Approved Billable 03 - Discovery Schreiner, Miles 0.700 850.00 595.00 03 - Discovery - review/analyze search parameters for BCG and emails with john and juan re same

11 - Correspondence/ 01-27-2022 Billable Bower, David 0.300 750.00 225.00 Approved Communications 11 - Correspondence/Communications - discussions and review of correspondence regarding discovery Schreiner, Miles 01-28-2022 Approved Billable 03 - Discovery 0.800 850.00 680.00

10-31-2023 11:18:20

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
	03 - Discov	ery - call with Jeffe	eries counsel re subpo	ena; review subpoena re same	• ,				
01-28-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	pondence/Commu	inications - prep, meet	and confer call re DB discover	y, email w deal reached				
01-28-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.500	975.00	487.50
	03 - Discov	ery - review Inphi's	s response to subpoer	na					
01-31-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - emails w li	nphi counsel to confer on discov	very				
02-01-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.600	575.00	345.00
		pondence/Commu r and have call with		arding scope of third party disco	overy				
02-01-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.600	975.00	1,560.00
	03 - Discov Tamer	rery - review inphi	objections, prep to cor	nfer and confer w counsel for inp	ohi re subpoena resolution w a	affidavit from CEO			
02-01-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.300	975.00	1,267.50
	03 - Discov	ery - review produ	ction and prep for load	d w Cloudnine, set up Data file a	and provide same and review	index			
02-03-2022	Approved		Billable	03 - Discovery	Baylet, John		2.300	575.00	1,322.50
	03 - Discov Review an		ry; draft Inphi affidavit						
02-04-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	inications - emails to/f	rom DB counsel re status of pro	oduction				
02-06-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	inications - follow up v	WSGR re production and Jeffe	eries re depos				
02-08-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov Review an counsel for	d analyze BCG's r	esponse to RFP; disc	uss with managing partner and s	senior associate; respond and	l schedule call with			
02-08-2022	Approved		Billable	03 - Discovery	Baylet, John		2.800	575.00	1,610.00
		d analyze discove	ry; draft, edit, and disc ements during 2017-18	uss strategy with managing par	tner and senior associate for I	nphi affidavit; review			
02-08-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.000	975.00	2,925.00
	03 - Discov	ery - review timelin	ne and Inphi, chat w Jo	ohn/Miles and edit affidavit					
02-08-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		3.800	850.00	3,230.00

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Time Entries

Monteverde & Associates PC

							Fro		To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, Ir	1C.								
<u>Merger</u>									
				calls for Inphi re same; review pricing non-party subpoena and		subpoena and			
02-09-2022	Approved		Billable	14 - Meeting/Strategy	Baylet, John		0.800	575.00	460.00
	14 - Meetin Case strate		enior associate and n	nanaging partner					
02-09-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.300	975.00	1,267.50
	11 - Corres Jefferies	pondence/Commu	inications - emails w V	VSGR re production and stay w	/ 12(c) and depos, emails w	BCG, emails w			
02-09-2022	Approved		Billable	15 - Research	Monteverde, Juan		0.800	975.00	780.00
	15 - Resea	rch - review Harma	an and other matters v	v 12c stay implications					
02-09-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meetin	g/Strategy - re pot	ential stay w 12c						
02-09-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.300	975.00	5,167.50
	03 - Discov	ery - review jefferie	es production and disc	cuss w team					
02-10-2022	Approved		Billable	15 - Research	Baylet, John		2.200	575.00	1,265.00
	15 - Reseaı Research r		uctions for Exchange	Act cases					
02-10-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discov Review and with BCG		ry; prepare for discove	ery call with counsel for BCG; h	ost call regarding scope of th	nird party discovery			
02-10-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.100	475.00	1,947.50
	03 - Discov	ery - analyze and	review discovery requ	ests; prepare document respon	ises.				
02-10-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	pondence/Commu	inications - emails w V	VSGR re depos/discovery and s	schedule meet and confer				
02-10-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.000	850.00	850.00
	03 - Discov	ery - call with BCG	counsel re subpoena	a; meeting with juan and john re	e same;				
02-11-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		3.800	475.00	1,805.00
	03 - Discov	ery - analyze and	review all discovery p	opounded on defendants to da	te and discovery propounde	d on plaintiff.			
02-11-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discov	ery - review hot do	ocs and databases re	Oclaro/directors					
02-12-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.800	975.00	780.00
	14 - Meetin	g/Strategy - prep a	and team meeting re d						
02-12-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.500	975.00	5.362.50
02-12-2022	7.00104							0.0.00	

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
02-14-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.800	975.00	7,605.00
	03 - Discov	ery - review timelin	ne/hot docs to prep for	confer call w defs					
02-14-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	inicationsv- email w cl	ient re next steps and depo avai	lability				
02-15-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.700	975.00	2,632.50
	03 - Discov	ery - review pendi	ng rpfs/rogs, prep and	confer w defs re discovery/depo	os				
02-16-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		5.000	525.00	2,625.00
	03 - Discov	ery - revising resp	onses to rogs and req	uests for admissions					
02-16-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.500	975.00	487.50
	03 - Discov	ery - review conte	ntious rogs w Jonatha	n and discuss response					
02-17-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review of dis	covery from Inphi					
02-17-2022	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discov Review an		overy and produce to	Def counsel					
02-17-2022	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
	03 - Discov Review, ar		dit Remote depositior	protocols; discuss same with m	anaging partner; send Pltf ed	lits to Def counsel			
02-17-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.800	975.00	780.00
	03 - Discov	ery - review protoc	ols and discuss w tea	m					
02-17-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.000	975.00	7,800.00
	03 - Discov	ery - review inphi	production and annota	te same, review affidavit of CEC	Tamer				
02-18-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.900	750.00	675.00
	12 - Analyz	e and review - Re	ceipt and review of ne	w MTD					
02-18-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyz	e and review - Re	ceipt and review MTD	ntc by Lumentum					
02-18-2022	Approved		Billable	12 - Analyze and review	Khattab, Ahmed		3.200	475.00	1,520.00
	12 - Analyz	e and review - dep	o schedule and 12(c)	motion.					
02-18-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.300	975.00	1,267.50
	05 - Motion	- received and rev	view 12c motion						
02-18-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corres	pondence/Commu	inications - review DB	R&O and follow up re meet and	confer w Ropes				
02-18-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.00
	03 - Discov	ery - review banke	r decks provided to e	pert and follow up w expert					

Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
02-19-2022	Approved		Billable	05 - Motion	Monteverde, Juan		3.700	975.00	3,607.50
	05 - Motion	- review/annotate	12c motion						
02-21-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discove	ery - edits to disco	overy responses to RF	Ps and RFAs					
02-23-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discove	ery - review docs	and updated timelines						
02-23-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	ondence/Commu	unications w DB re me	et and confer and reschedule sa	ame				
02-24-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.50
	03 - Discove	ery - review docs	and share working list	w team					
02-25-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	ondence/Commu	unications - prep for m	eet and confer and conduct sam	ne w Ropes re DB				
02-26-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meeting	g/Strategy - confe	r w JL re contentious r	ogs and proxy misleading state	ments to focus on				
02-26-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.500	975.00	1,462.50
	11 - Corres	ondence/Commu	unications - review dise	covery/depo issues, multiple em	ails w defs, Jefferies and clie	nt re discovery issues			
02-26-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.800	975.00	2,730.00
	03 - Discove	ery - review/edit re	esponses to rogs						
02-27-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.700	975.00	682.50
	14 - Meeting	g/Strategy - team	discussion re rogs and	d admission responses for client	t				
02-27-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.50
	03 - Discove	ery - further edits	to rogs/rfa, prep to ser	nd to client					
02-27-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	ondence/Commu	unications - emails rog	s/rfa to client and explain next s	teps				
02-27-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.800	975.00	3,705.00
	03 - Discove	ery - review searc	h terms for jefferies ar	nd discuss w john					
02-27-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		3.600	850.00	3,060.00
	03 - Discove	ery - review/edit d	iscovery responses						
02-28-2022	Approved		Billable	05 - Motion	Baylet, John		3.500	575.00	2,012.50
	05 - Motion Review and	l analyze 12(c) m	otion; legal research o	n the same; discuss with senior	associate				
02-28-2022	Approved		Billable	03 - Discovery	Baylet, John		1.300	575.00	747.50

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Time Entries

Monteverde & Associates PC

			Dillahla		Drefeesional		Fro	m 01-01-2018	8 To 10-31-2023	
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amoun	
Oclaro, I	nc.									
<u>Merger</u>										
	03 - Discov Review, ar	,	edit Pltf responses to i	nterrogatories						
~~ ~~ ~~~~		, , ,		11 - Correspondenc	e/		0.000	075.00	505.0	
02-28-2022	Approved		Billable	Communications	Monteverde, Juan		0.600	975.00	585.0	
	11 - Corres	pondence/Commu	inications - emails w li	nphi re affidavit and defs re	e protocols					
03-01-2022	Approved		Billable	03 - Discovery	Baylet, John		4.300	575.00	2,472.5	
		nalyze, draft, and e		nterrogatories; review and finalize responses for sen	analyze case law on contention i ding to client	nterrogatories; discuss				
03-01-2022	Approved		Billable	05 - Motion	Baylet, John		5.500	575.00	3,162.5	
	05 - Motion Review an		otion; legal research c	n the same; discuss with s	senior associate					
03-01-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.0	
	03 - Discov Review an	,	leclaration; discuss sa	me with managing partne	r; review and analyze following co	prrespondence				
03-01-2022	Approved		Billable	12 - Analyze and rev	view Bower, David		0.500	750.00	375.0	
	12 - Analyz	e and review - rev	iew and give input reg	arding contention interrog	atories					
03-01-2022	Approved		Billable	05 - Motion	Monteverde, Juan		4.000	975.00	3,900.0	
	05 - Motion	- review and note	s re 12c response and	l strategy w team						
03-01-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.5	
	03 - Discov revisions	ery - review edits t	to Tamer affidavit from	n his counsel, discuss w te	am and confer w Tamer counsel	re edits and proposed				
03-01-2022	Approved		Billable	15 - Research	Schreiner, Miles		4.300	850.00	3,655.0	
	15 - Resea responses	rch re contention i	nterrogatories in conn	ection with plaintiff's disco	very responses; review/analyze p	laintiff's discovery				
03-01-2022	Approved		Billable	05 - Motion	Schreiner, Miles		5.700	850.00	4,845.0	
	05 - Motion	- draft/revise/edit	opp to 12(c) motion a	nd legal research re same	; calls/emails with juan and john r	e same				
03-02-2022	Approved		Billable	05 - Motion	Baylet, John		5.500	575.00	3,162.5	
	05 - Motion Review an		otion; legal research c	n the same; discuss with s	senior associate					
03-02-2022	Approved		Billable	03 - Discovery	Baylet, John		4.500	575.00	2,587.5	
	public state	d analyze edits by ments during the r		raft and edit Tamer declar	analyze Inphi discovery; review a ation; send to Inphi counsel; requ					
03-02-2022	Approved		Billable	05 - Motion	Schreiner, Miles		8.700	850.00	7,395.0	
	05 - Motion	- draft/revise/edit	opp to 12(c) motion a	nd legal research re same	; calls/emails with juan and john r	e same				
03-02-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.400	975.00	1,365.0	
	03 - Discov	ery - provide confi	stip to Tamer's couns	el, and emails in productio	on re narrative for affidavit.					

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Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start S	top Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
03-02-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - email w Hi	bbard re extension to board resp	oonses to rfp/rogs				
03-03-2022	Approved		Billable	05 - Motion	Baylet, John		5.700	575.00	3,277.50
	05 - Motion Review an		p; legal research on t	ne same; discuss with senior as	sociate				
03-03-2022	Approved		Billable	03 - Discovery	Baylet, John		2.800	575.00	1,610.00
	03 - Discov Research expert		tions experts; contact	expert network to take next step	os with engagement of optica	l communicatior	IS		
03-03-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	inications - various en	ails w defs, Jefferies re depo da	ates.				
03-03-2022	Approved		Billable	13 - Experts	Monteverde, Juan		0.800	975.00	780.00
	13 - Expert	s - discuss w John	potential communicat	ions industry experts from CEC	ON and emails w expert liaiso	on			
03-03-2022	Approved		Billable	05 - Motion	Monteverde, Juan		2.600	975.00	2,535.00
	05 - Motion	- review/edit opp	to 12c						
03-03-2022	Approved		Billable	05 - Motion	Schreiner, Miles		8.200	850.00	6,970.00
	05 - Motion	- draft/revise/edit	opp to 12(c) motion a	nd legal research re same; calls/	emails with juan and john re	same			
03-04-2022	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Review an		ponses to Requests fo	or Admission; discuss same with	managing partner				
03-04-2022	Approved		Billable	05 - Motion	Baylet, John		3.200	575.00	1,840.00
	05 - Motion Review, ar		e, and file Opp to 12(c) motion					
03-04-2022	Approved		Billable	05 - Motion	Bower, David		0.300	750.00	225.00
	05 - Motion	- Filing Opposition	n to MTD						
03-04-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	view and discuss disc	overy responses					
03-04-2022	Approved		Billable	05 - Motion	Schreiner, Miles		4.400	850.00	3,740.00
	05 - Motion	- draft/revise/edit	opp to 12(c) motion a	nd legal research re same; calls	with juan and john re same				
03-04-2022	Approved		Billable	05 - Motion	Monteverde, Juan		5.200	975.00	5,070.00
	05 - Motion	- edit/finalize opp	12c						
03-04-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.600	975.00	3,510.00
	03 - Discov	ery - finalize pltf R	FA and Rogs, serve o	n defs, review defs responses to	o discovery docs; chat w john				
03-05-2022	Approved		Billable	15 - Research	Monteverde, Juan		0.500	975.00	487.50
	15 - Resea	rch - old objections	s standard used by de	fs					

15 - Research - old objections standard used by defs

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
03-07-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discov	ery - Offer meet ar	nd confer w defs re re	sponses to discovery, set up time	e. Finalize Tamer affidavit w his	s counsel.			
03-07-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.300	850.00	1,105.00
	14 - Meetin	g/Strategy re Tam	er affidavit and defend	lants' discovery responses and o	case strategy ; review tamer aff	- -			
03-08-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		4.000	525.00	2,100.00
	03 - Discov	ery - drafting respo	onse to second set of	RFPs by Oclaro					
03-09-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.700	975.00	682.50
	03 - Discov	ery - review edits t	to pltf r&O rfp						
03-09-2022	Approved		Billable	15 - Research	Monteverde, Juan		2.200	975.00	2,145.00
	15 - Resea	rch - retirement iss	sue and Resistors trar	nscript					
03-10-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view of discovery resp	onses to Oclaro					
03-10-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	pondence/Commu	inications w client - ph	and email - re responses to rfp					
03-11-2022	Approved		Billable	05 - Motion	Baylet, John		0.600	575.00	345.00
	05 - Motion Review an	d analyze Def 12(o	c) reply brief; discuss s	same with managing partner and	senior associate				
03-11-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.200	975.00	5,070.00
				al search terms, confer w team a les production to cloud9	nd prep / attend confer call, w o	defs, review/			
03-11-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	inications - follow up v	/ Jefferies counsel re 4/20/22 de	po date and defs available				
03-11-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.500	975.00	1,462.50
	05 - Motion	- review 12c reply	,						
03-11-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.500	850.00	1,275.00
	03 - Discov	ery meet and conf	er with defendants re	discovery issues; call with juan r	e same; review discovery respo	onses re same			
03-11-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		1.300	850.00	1,105.00
	12 - Analyz	e and review def. I	reply brief re 12(c) mo	tion and cases cited					
03-12-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.800	975.00	5,655.00
	03 - Discov	ery - review new p	roduction from jefferie						
03-14-2022	Approved		Billable	03 - Discovery	Baylet, John		7.900	575.00	4,542.50
	03 - Discov Review an	ery d analyze Jefferies	s production						
03-14-2022	Approved		Billable	03 - Discovery	Baylet, John		1.600	575.00	920.00

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Date	Status Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, In	IC.							
<u>Merger</u>			nses and objections to plaintiff's l ctions to interrogatories; discuss					
03-14-2022	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
	11 - Correspondence/Commun Review and analyze various e		oing discovery process					
03-14-2022	Approved	Billable	12 - Analyze and review	Bower, David		0.600	750.00	450.00
	12 - Analyze and review - Rec	eipt and review of rep	bly to MTD (JOP)					
03-14-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		7.500	975.00	7,312.50
	03 - Discovery - review jefferie	s production						
03-15-2022	Approved	Billable	03 - Discovery	Baylet, John		0.500	575.00	287.50
	03 - Discovery Collect and organize third par	ty discovery production	ons to produce to Def					
03-15-2022	Approved	Billable	03 - Discovery	Baylet, John		8.800	575.00	5,060.00
	03 - Discovery Review and analyze Jefferies	production						
03-15-2022	Approved	Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discovery Review and analyze email fro reply to Def counsel	m Def denying stockl	nolder list and altering search ter	ms; discuss case strategy wi	ith managing partner;			
03-15-2022	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
	11 - Correspondence/Commun Review and analyze various e		oing discovery process					
03-15-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discovery - receive/review	v/load Deutsche prod	uction					
03-15-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Correspondence/Commu	nications - confirm de	po time w jefferies/berkowitz					

Monteverde, Juan

Baylet, John

Baylet, John

03-15-2022

03-16-2022

03-16-2022

Approved

Approved

Approved

11 - Correspondence/Communications

Billable

Billable

Review and analyze various emails relating to ongoing discovery process

Billable

03 - Discovery

11 - Correspondence/

Communications

03 - Discovery

03 - Discovery - review defs supplemental terms and response re stockholder list, research re list and survey

3.600 975.00

0.200 575.00

9.200 575.00

3,510.00

115.00

5,290.00

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Date	Status Approval	BillableType	Task	Professional St	art Stop	Duration	Rate	Amount
Oclaro, I	nc.							
<u>Merger</u>	03 - Discovery Draft, edit, finalize, and file di send to Def counsel	scovery letter brief; le	gal research on same; discuss s	ame with senior associate and mana	ging partner;			
03-16-2022	Approved	Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discovery Review and analyze email fro reply to Def counsel	om Def denying stock	nolder list and altering search te	ms; discuss case strategy with mana	ging partner;			
03-16-2022	Approved	Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyze and review - Rev	view of letter for filing						
03-16-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Correspondence/Commu	nications response to	defs re discovery and stockhold	er list				
03-16-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Correspondence/Commu	nications - emails re b	perkowitz depo and schedule, lo	cation and covid vaccine				
03-16-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discovery - review hot do	ocs pulled for jefferies						
03-16-2022	Approved	Billable	03 - Discovery	Schreiner, Miles		0.300	850.00	255.00
	03 - Discovery - review/edit le	tter re discovery dispu	ute re stockholder list					
03-16-2022	Approved	Billable	15 - Research	Schreiner, Miles		2.100	850.00	1,785.00
	15 - Research re propriety of	contacting putative cla	ass to conduct surveys; meeting,	emails with team re same				
03-17-2022	Approved	Billable	03 - Discovery	Baylet, John		0.500	575.00	287.50
	03 - Discovery Collect and organize third par	rty discovery production	ons to produce to Def					
03-17-2022	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
	11 - Correspondence/Commu Review and analyze emails w							
03-18-2022	Approved	Billable	03 - Discovery	Baylet, John		3.100	575.00	1,782.50
			alyze hit reports; discuss appro uest meet and confer; and attac	priate edits to search terms; draft and h relevant case law	edit			
03-18-2022	Approved	Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discovery Confer w/Inphi counsel; revie	w and analyze signed	Tamer declaration					
03-18-2022	Approved	Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00

11 - Correspondence/Communications Correspond w/counsel for BCG; discuss timing for production

Professional = All (Inactive Included)

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Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional Start	Stop Duration	Rate	Amount
Oclaro, li	IC.							
Merger								
03-18-2022	Approved		Billable	03 - Discovery	Baylet, John	0.200	575.00	115.00
	03 - Discov Collect and		rty discovery productio	n to Def				
03-18-2022	Approved		Billable	12 - Analyze and review	Bower, David	0.300	750.00	225.00
	12 - Analyz	e and review - Re	view of Tamer declarat	tion				
03-18-2022	Approved		Billable	03 - Discovery	Schreiner, Miles	0.400	850.00	340.00
	03 - Discov	ery - calls/emails	with john and juan re s	earch terms and defendants rev	isions			
03-18-2022	Approved		Billable	03 - Discovery	Monteverde, Juan	6.000	975.00	5,850.00
	03 - Discov plaintiff.	ery - review defs r	revised terms and hit re	port, discuss w team and respo	nd to defs. confirm depo dates for jefferies	and		
03-18-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan	0.200	975.00	195.00
	11 - Corres	pondence/Commu	unications - follow up e	mail w clent re depo date				
03-19-2022	Approved		Billable	03 - Discovery	Monteverde, Juan	0.500	975.00	487.50
	03 - Discov	ery - review Tame	er signed affidavit					
03-19-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan	0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications - emails w je	fferies counsel re depo and star	t time			
03-20-2022	Approved		Billable	05 - Motion	Monteverde, Juan	2.500	975.00	2,437.50
	05 - Motion	- review/edit disc	overy letter brief re sto	ckholder list				
03-21-2022	Approved		Billable	12 - Analyze and review	Bower, David	0.200	750.00	150.00
	12 - Analyz	e and review - Re	view and submission o	f chambers copies of discovery	brief.			
03-21-2022	Approved		Billable	05 - Motion	Baylet, John	0.300	575.00	172.50
				and ND Cal LR concerning cha	ambers copies; coordinate sending of copie	s of		
03-21-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John	0.200	575.00	115.00
		pondence/Commu w/expert service	unications regarding industry exp	ert				
03-21-2022	Approved		Billable	03 - Discovery	Baylet, John	2.900	575.00	1,667.50
		d analyze discove		ion and interrogatories; prepare rtner; meet and confer with Def	and take notes for meet and confer with Decounsel	ef		
03-21-2022	Approved		Billable	03 - Discovery	Monteverde, Juan	3.600	975.00	3,510.00
	03 - Discov written resp		et and confer w defs re	discovery issues, and attend/co	nduct same, confer w team after and follow	up w		
03-21-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles	0.400	850.00	340.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

							Fro	om 01-01-2018	3 10 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	14 - Meetin	g/Strategy re disco	overy, upcoming depo	sitions, and case strategy					
03-21-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.800	850.00	2,380.00
	03 - Discov with john ar		g with defense counse	el re. responses to latest RFP; re	eview same to prepare for	call; post call meeting			
03-22-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu w/expert service	inications regarding industry exp	pert					
03-22-2022	Approved		Billable	03 - Discovery	Baylet, John		2.300	575.00	1,322.50
		arch terms for Pltf		sel; review and analyze hit repo ame; schedule in-depth call for fo		s between searches			
03-22-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view of documents for	filing.					
03-22-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view deposition protoc	ol from attorney.					
03-23-2022	Approved		Billable	03 - Discovery	Baylet, John		1.400	575.00	805.00
				el regarding search terms for Pltf	3rd RFP; resolve issue to	run search terms as			
03-23-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu neet and confer w	inications /Def Counsel regardin	g Pltf responses					
03-23-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.700	850.00	595.00
	03 - Discov	ery - call with defe	nse counsel re outsta	nding discovery issues; meeting	with john re same				
03-23-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.000	975.00	2,925.00
	03 - Discov	ery - review revise	d search terms from d	lefs and hit issue, discuss w tear	m and follow up w defs				
03-23-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	inications - follow up e	mails and call w client re depo d	late and start time for pltf				
03-24-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu w/expert service	inications regarding industry exp	pert					
03-24-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.00
	03 - Discov	ery - review Deuts	che production						
03-25-2022	Approved		Billable	03 - Discovery	Baylet, John		2.500	575.00	1,437.50

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Time Entries

Time Er Montever	I tries de & Associates PC					Client -	Group By Pro Matter = Merg	nactive Included) ofessional Group ger (Active Only) Task Code = All View = Original 8 To 10-31-2023
Date	Status Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	ıс.							
Merger								
	03 - Discovery Prepare for and interview po compare applicability to Ocla		ications expert; review and anal	yze resume; research work exp	perience and			
03-25-2022	Approved	Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyze and review - Re	eview of correspondence	e regarding shareholder lists.					
03-25-2022	Approved	Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyze and review - Re	eview court order re dep	positions.					
03-25-2022	Approved	Billable	14 - Meeting/Strategy	Monteverde, Juan		2.500	975.00	2,437.50
	14 - Meeting/Strategy - revie	w potential expert mate	erials and discuss w team					
03-25-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		2.800	975.00	2,730.00
	03 - Discovery - review prod.	. 8 from defs and load i	n cloud9, review top 30 stockhol	der list and analyze same				
03-25-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Correspondence/Comm	unications follow up w	defs re record holder list and diff	ference w Top 30 list				
03-25-2022	Approved	Billable	14 - Meeting/Strategy	Schreiner, Miles		2.400	850.00	2,040.00
	14 - Meeting/Strategy calls w	/ith juan and john re dis	scovery, experts, and case strate	egy; call with potential expert; p	repare for same			
03-25-2022	Approved	Billable	03 - Discovery	Schreiner, Miles		1.600	850.00	1,360.00
	03 - Discovery - call with defe	ense counsel re plaintif	f's responses; call with john and	juan re same; research re sam	e			
03-26-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		0.800	975.00	780.00
	03 - Discovery - follow up w	client re response to ro	gs and next steps as well as dep	oo and prep meeting 4/19/22				
03-26-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		2.000	975.00	1,950.00
	11 - Correspondence/Comm	unications - review Roo	g objections for pltf, draft respon	se to defs re pltfs objections an	d circulate to team			
03-27-2022	Approved	Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyze and review - Re	eview correspondence	and input regarding discovery					
03-27-2022	Approved	Billable	03 - Discovery	Schreiner, Miles		1.900	850.00	1,615.00
	03 - Discovery - revise/edit ju	uan's email response to	defendants re their objections t	o plaintiff's responses; legal res	search re same			
03-28-2022	Approved	Billable	11 - Correspondence/ Communications	Bower, David		0.300	750.00	225.00
	11 - Correspondence/Comm	unications - Follow-up	and discussion regarding discov	ery responses.				
03-28-2022	Approved	Billable	14 - Meeting/Strategy	Schreiner, Miles		0.600	850.00	510.00
	14 - Meeting/Strategy with ju	an and john re respons	e to defendants' discovery object	ctions; emails re same				
03-28-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		2.000	975.00	1,950.00
	03 - Discovery - review/edit r	esponse to defs re pltf	objection's, confer internally and	d send response				

11 - Correspondence/Communications - emails w defs re discovery issues, depos and reporters

Billable

11 - Correspondence/

Communications

Monteverde, Juan

03-28-2022 Approved

682.50

0.700 975.00

Professional = All (Inactive Included)

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
03-28-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.500	975.00	487.50
	03 - Discover	ry - review cower	n subpoena	-					
03-29-2022	Approved		Billable	03 - Discovery	Baylet, John		1.600	575.00	920.00
	03 - Discover Review and		y regarding financial a	analyst coverage of Oclaro					
03-29-2022	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
	03 - Discover Review and	ry analyze Jefferies	production						
03-30-2022	Approved		Billable	03 - Discovery	Baylet, John		2.400	575.00	1,380.00
	03 - Discover Review and	ry analyze Jefferies	production						
03-30-2022	Approved		Billable	03 - Discovery	Baylet, John		6.800	575.00	3,910.00
	03 - Discover Review and and requests	analyze discover	ry; review and analyze f documents from fina	public financial records; review ncial analysts	and analyze case file; draft	and edit subpoena			
03-30-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Correspo	ondence/Commu	nications - review stat	us and f/u w Jefferies counsel re	e search terms				
03-30-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		2.300	850.00	1,955.00
		and review- revie vith legal strategy		and research regarding them; re	view articles on seeking alph	na re deal; in			
03-30-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.500	850.00	425.00
	03 - Discover	ry - review subpo	ena to analysts and c	all with john re same					
03-31-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discover Review and	ry analyze Jefferies	s production						
03-31-2022	Approved		Billable	03 - Discovery	Baylet, John		2.100	575.00	1,207.50
	03 - Discover Review, edit		ordinate filing of third p	party subpoenas to B Riley and (Cowen				
03-31-2022	Approved		Billable	02 - Pleading	Bower, David		0.200	750.00	150.00
	02 - Pleading	g - Filing of Notice	e of service of Subpoe	na (Riley)					
03-31-2022	Approved		Billable	02 - Pleading	Bower, David		0.200	750.00	150.00
	02 - Pleading	g - Filing of Notice	e of service of Subpoe	na (Cowen)					
03-31-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.000	975.00	1,950.00
	03 - Discover	ry - review/edit ar	nalyst subpoenas						
04-01-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discover	ry - review jefferie	es docs and hot docs	for depo					
04-04-2022	Approved		Billable	03 - Discovery	Baylet, John		9.600	575.00	5,520.00
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Time Entries

Monteverde & Associates PC

							Fro	m 01-01-2018	10 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	03 - Discov Review an	ery d analyze Jefferies	s production						
04-05-2022	Approved		Billable	03 - Discovery	Baylet, John		7.200	575.00	4,140.00
	03 - Discov Review an	ery d analyze Jefferies	s production						
04-05-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov Review an		oonse to Pltf discovery	/ letter; discuss same with senior	associate				
04-05-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Red	ceipt and review of Oo	claro response to discovery letter					
04-05-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		0.400	850.00	340.00
	12 - Analyz	e and review defer	ndants response to di	scovery dispute re shareholder li	st and cases cited				
04-06-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu d analyze previous		l cross check with calendar; draf	t and send email regarding	status of late BCG			
04-06-2022	Approved 03 - Discov	ery d analyze Jefferies	Billable	03 - Discovery	Baylet, John		9.600	575.00	5,520.00
04-06-2022	Approved	u analyze Jellenes	Billable	05 - Motion	Monteverde, Juan		1 300	975.00	1,267.50
04-00-2022		- review defe resp		st and discuss w team	wonleverue, Juan		1.300	975.00	1,207.30
04-06-2022	Approved		Billable	11 - Correspondence/	Monteverde, Juan		0.300	975.00	292.50
01 00 2022				Communications	montovoruo, ouun		0.000	010.00	202.00
		pondence/Commu	inications - emails re o	· ·					
04-07-2022	Approved		Billable	03 - Discovery	Baylet, John		9.600	575.00	5,520.00
	03 - Discov Review an	ery d analyze Jefferies	s production						
04-07-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.00
		ery - review jefferi	ies docs						
04-08-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
				duction for overview and ensure	valid data; communicate w	rith BCG counsel			
04-08-2022	Approved		Billable	03 - Discovery	Baylet, John		4.600	575.00	2,645.00
	03 - Discov Review an	ery d analyze Jefferies	s production						
04-08-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.000	975.00	7,800.00

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Monteverde & Associates PC

									To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, lı	1 C .								
<u>Merger</u>									
	03 - Discov	ery - review jefferie	es docs and chat w Jo	bhn					
04-09-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	oondence/Commu	nications - emails w V	VSGR re berkowith and cross no	tice, emails TSG and logis	tics prep			
04-11-2022	Approved		Billable	03 - Discovery	Baylet, John		10.100	575.00	5,807.50
		,		e documents for Jefferies depos	ition; draft and edit outline	of Jefferies deposition;			
04-11-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Red	ceipt and review of NT	C re Deposition of our client					
04-11-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		1.000	525.00	525.00
	03 - Discov	ery - discussion re	depo matters; discus	sion re shareholder survey					
04-11-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.400	475.00	665.00
	14 - Meeting	g/Strategy - discov	very strategy re stock	nolder list.					
04-11-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.000	975.00	975.00
	14 - Meeting	g/Strategy - w tear	m re discovery, depos	, and list					
04-11-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.000	975.00	7,800.00
	03 - Discov	ery - draft topic ou	tline for client and hel	p in prep, pull relevant potential e	exs for client to review				
04-11-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.500	850.00	425.00
	14 - Meeting	g/Strategy with tea	am re case strategy, u	pcoming depositions, outstandin	g subpoenas				
04-11-2022	Approved		Billable	13 - Experts	Schreiner, Miles		1.300	850.00	1,105.00
	13 - Experts	s - research re san	npling/survey experts						
04-12-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.200	850.00	1,020.00
	14 - Meeting	g/Strategy re upco	ming depositions with	i juan					
04-12-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		4.200	975.00	4,095.00
	03 - Discov	ery -review/edit cli	ent outline, send ema	il to client w outline and exs					
04-12-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.500	975.00	1,462.50
	14 - Meeting	g/Strategy - team i	meeting re depos and	pull review client outline					
04-13-2022	Approved		Billable	03 - Discovery	Baylet, John		9.400	575.00	5,405.00
		,		e documents for Jefferies depos	ition; draft and edit outline	of Jefferies deposition;			
04-13-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discov	ery - pull exs for je	fferies, chat w team a	nd review docs					
04-13-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.500	850.00	1,275.00
	14 - Meeting	g/Strategy re upco	ming depositions with	i juan and john					

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
04-14-2022	Approved		Billable	03 - Discovery	Baylet, John		10.600	575.00	6,095.00
				e documents for Jefferies deposi	tion; draft and edit outline of	Jefferies deposition;			
04-14-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		0.900	475.00	427.50
	14 - Meetir	g/Strategy re stoc	kholder list and CRD o	calls.					
04-14-2022	Approved		Billable	15 - Research	Schreiner, Miles		3.800	850.00	3,230.00
	15 - Resea	rch re admissibilit	y of expert surveys and	d draft email to team re same					
04-14-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.300	975.00	9,067.50
	03 - Discov	ery -review jefferie	es production and new	dump					
04-15-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	ceipt and review of rul	ing on Motion for JOP					
04-15-2022	Approved		Billable	03 - Discovery	Baylet, John		3.700	575.00	2,127.50
				e documents for Jefferies deposi	tion; draft and edit outline of	Jefferies deposition;			
04-15-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.000	975.00	7,800.00
	03 - Discov	ery - review jeffer	ies hot docs						
04-15-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		1.800	975.00	1,755.00
	06 - Prepai	ation Hearing/Tria	al - review 12c and pre	p, review order					
04-15-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		8.400	850.00	7,140.00
	03 - Discov	ery deposition pre	eparation for Jefferies	depo; document review re same					
04-15-2022	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		0.200	850.00	170.00
	11 - Corres	pondence/Comm	unications re court's or	der denying 12(C) motion and er	nails with team re same				
04-16-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discov	ery - review hot d	ocs for jefferies						
04-17-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discov	ery - review Jeffe	ries privilege log						
04-17-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Comm	unications - update clie	ent re 12c win and provide Teams	s link for 4/19 meting				
04-18-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	ceipt and review of de	position notices					
04-18-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.600	475.00	760.00
	14 Montin	a/Stratogy ro 12c	motion order: uncomin	a depositions and review of disc	aver, from Duotach bank				

14 - Meeting/Strategy re 12c motion order; upcoming depositions and review of discovery from Duetsch bank.

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
04-18-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	pondence/Commu	inications - infor client	re 12c order and teams link for	orep				
04-18-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		10.000	975.00	9,750.00
	04 - Depos	ition - prep for jeffe	eries depo and update	outline/exs					
04-18-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		9.900	850.00	8,415.00
	03 - Discov	ery deposition pre	paration for Jefferies o	lepo; meetings with john and jua	n re same; document review re	same			
04-19-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Rev	view of documents from	m Jefferies					
04-19-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		10.100	850.00	8,585.00
		ery deposition pre eview re same	paration with client; de	eposition preparation for Jefferies	s depo; meetings with john and	juan re same;			
04-19-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - TSG re Jet	fferies depo					
04-19-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		13.000	975.00	12,675.00
	04 - Depos	ition - Jefferies dep	oo prep, and prep clier	nt for depo					
04-20-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	view revised sharehold	der list					
04-20-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.700	975.00	8,482.50
	03 - Discov	ery - review hot do	ocs and outline for call	w Yoshida					
04-20-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		7.500	975.00	7,312.50
	04 - Depos	ition - Prep and co	nduct Philip Berkowitz	С Деро					
04-20-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		6.800	850.00	5,780.00
	03 - Discov same	rery - attend Mr. Be	erkowitz deposition, re	view documents in connection w	ith same, and meetings with ju	an and john re			
04-21-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rev	view correspondence	regarding discovery exhibits					
04-21-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		2.900	850.00	2,465.00
	14 - Meetir	g/Strategy - call wi	ith juan re upcoming c	lient deposition; review docume	nts in connection with same				
04-21-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		2.200	975.00	2,145.00
	04 - Depos	ition - Prep for clier	nt depo						
04-22-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		6.200	975.00	6,045.00
	04 - Depos	ition - Sai Sravan E	Bharadwaj Karri Depo	sition					
04-22-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		5.500	850.00	4,675.00
	03 - Discov	verv - attend plaintit	ff karri's deposition: ca	alls/emails with juan and john re-	same in connection with case s	trategy:			

03 - Discovery - attend plaintiff karri's deposition; calls/emails with juan and john re same in connection with case strategy;

Case 3:18-cv-03435-JD Document 213-3 Filed 11/02/23 Page 107 of 155 CHAMBERS COPY - DO NOT FILE

Time Entries

Monteverde & Associates PC

Octaro, Inc. Margar 04-25-202 Approved Billable 12 - Analyze and review. Foxiew of courd's order regarding discovery 0.000 750.00 250.00 04-25-202 Approved Billable 14 - Meeting/Strategy in elocid-meeting discovery 0.000 750.00 570.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Communications Baylet, John 0.200 575.00 115.00 04-25-2022 Approved Billable 0.3 - Discovery production 0.200 575.00 115.00 04-25-2022 Approved Billable 0.3 - Discovery Baylet, John 0.600 576.00 345.00 04-25-2022 Approved Billable 0.3 - Discovery Baylet, John 0.600 576.00 345.00 04-25-2022 Approved Billable 0.3 - Discovery Baylet, John 0.600 576.00 3.412.50 04-25-2022 Approved	Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
04-25-202 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 22500 04-25-202 Approved Billable 14 - Meeting/Strategy restackholder list; and review of new doe production. 1200 475.00 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Billable 0.3 - Discovery Baylet, John 0.600 575.00 345.00 03 - Discovery Drati and edit Revised Proposed Scheduling Order; send to Def counsel for comment 5200 57.00 2.990.00 03 - Discovery Billable 13 - Experts Monteverde, Juan 3.500 975.00 3.412.50 04-25-202 Approved Billable 13 - Experts Monteverde, Juan 3.500 975.00 3.412.50	Oclaro, I	nc.								
04-25-202 Approved Billable 12 - Analyze and review Bower, David 0.300 750.00 22500 04-25-202 Approved Billable 14 - Meeting/Strategy restackholder list; and review of new doe production. 1200 475.00 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 04-25-202 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Billable 0.3 - Discovery Baylet, John 0.600 575.00 345.00 03 - Discovery Drati and edit Revised Proposed Scheduling Order; send to Def counsel for comment 5200 57.00 2.990.00 03 - Discovery Billable 13 - Experts Monteverde, Juan 3.500 975.00 3.412.50 04-25-202 Approved Billable 13 - Experts Monteverde, Juan 3.500 975.00 3.412.50	Merger									
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14 - Meeting/Strategy re stockholder list; and review of new doc production. 04-25-2022 Approved Billable 11 - Correspondence/Communications 11 - Correspondence/Communications Email with Def counsel regarding documents marked as Technical exception 0.200 575.00 115.00 04-25-2022 Approved Billable 11 - Correspondence/Communications Baylet, John 0.200 575.00 115.00 04-25-2022 Approved Billable 03 - Discovery Baylet, John 0.600 575.00 345.00 04-25-2022 Approved Billable 03 - Discovery Baylet, John 0.600 575.00 2.990.00 03 - Discovery Baylet, John 0.600 575.00 2.990.00 03 - Discovery Baylet, John 5.200 575.00 3.412.50 15 - Experts Monteverde, Juan 5.00 575.00 3.412.50 16 -		12 - Analyz	e and review - Re	view of court's order re	egarding discovery					
04-25-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 04-25-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Email wth BCG counsel regarding documents marked as Technical exception 0.200 575.00 345.00 04-25-2022 Approved Billable 03 - Discovery Baylet, John 0.600 575.00 345.00 03 - Discovery Darlat deil Revised Proposed Scheduling Order: send to Def counsel for comment 5.200 575.00 3.412.50 04-25-2022 Approved Billable 13 - Experts Monteverde, Juan 3.500 975.00 3.412.50 03 - Discovery Billable 13 - Experts Monteverde, Juan 3.500 975.00 4.542.50 03 - Discovery Baylet, John 7.00 575.00 4.542.50 5.00 5.00 5.00 5.00 115.00	04-25-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.200	475.00	570.00
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03 - Discovery Draft and edit Revised Proposed Scheduling Order; send to Def counnent to Def counnent Baylet, John 5.200 575.00 2,990.00 03 - Discovery Review and analyze discovery Review and analyze discovery Review and analyze discovery Billable 13 - Experts Monteverde, Juan 3.500 975.00 3,412.50 04-26-2022 Approved Billable 03 - Discovery Review and analyze discovery Baylet, John 7.900 575.00 4,542.50 04-26-2022 Approved Billable 03 - Discovery Review and analyze discovery Baylet, John 7.900 575.00 4,542.50 03 - Discovery Review and analyze discovery Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Email with BCG counsel regarding documents marked as Technical exception Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 5,405.00 03 - Discovery Review and analyze discovery Billable 03 - Discovery Baylet, John 9.400 575.00 5,405.00 03 - Discovery Review and analyze discovery <					ked as Technical exception					
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13 - Experts - prep, confer w Prof Lubben, review engagement 04-26-2022 Approved Billable 03 - Discovery Review and analyze discovery 575.00 4,542.50 03 - Discovery Review and analyze discovery 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Email with BCG counsel regarding documents marked as Technical exception Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 03 - Discovery Communications Baylet, John 0.200 575.00 5,405.00 03 - Discovery Email with BCG counsel regarding documents marked as Technical exception 9.400 575.00 5,405.00 03 - Discovery Review and analyze discovery Review and analyze discovery Baylet, John 9.400 575.00 5,405.00 04-27-2022 Approved Billable 03 - Discovery Review and analyze discovery Soco discovery 2.00				ry						
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03 - Discovery Review and analyze discovery 04-26-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Email with BCG counsel regarding documents marked as Technical exception 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 11 - Correspondence/ Communications Baylet, John 0.200 575.00 115.00 04-27-2022 Approved Billable 03 - Discovery Communications Baylet, John 0.200 575.00 5,405.00 04-27-2022 Approved Billable 03 - Discovery Review and analyze discovery Review and analyze discovery 975.00 5,405.00 04-27-2022 Approved Billable 17 - Case Schedule/CMC/ Reports Monteverde, Juan 2.000 975.00 1,950.00		13 - Expert	s - prep, confer w	Prof Lubben, review e	ngagement					
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04-26-2022ApprovedBillableCommunications CommunicationsBaylet, John0.200575.00115.0004-27-2022ApprovedBillable11 - Correspondence/ CommunicationsBaylet, John0.200575.00115.0004-27-2022ApprovedBillable11 - Correspondence/ CommunicationsBaylet, John0.200575.00115.0004-27-2022ApprovedBillable03 - Discovery Review and analyze discovery Review and analyze discoveryBaylet, John9.400575.005,405.0004-27-2022ApprovedBillable17 - Case Schedule/CMC/ ReportsMonteverde, Juan2.000975.001,950.0004-27-2022ApprovedBillable17 - Case Schedule - review/review/negotiate new schedule17 - Case Schedule - review/review/regotiate new schedule101.950.00			,	ry						
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04-27-2022 Approved Billable Communications Baylet, John 0.200 575.00 115.00 11 - Correspondence/Communications Email with BCG counsel regarding documents marked as Technical exception 11 0 575.00 115.00 04-27-2022 Approved Billable 03 - Discovery Review and analyze discovery 9.400 575.00 5,405.00 04-27-2022 Approved Billable 03 - Discovery Review and analyze discovery 17 - Case Schedule/CMC/ Reports Monteverde, Juan 2.000 975.00 1,950.00 17 - Case Schedule - review/review/negotiate new schedule 17 - Case Schedule Monteverde, Juan 2.000 975.00 1,950.00					ked as Technical exception					
Email with BCG counsel regarding documents marked as Technical exception 04-27-2022 Approved Billable 03 - Discovery Review and analyze discovery 9.400 575.00 5,405.00 04-27-2022 Approved Billable 17 - Case Schedule/CMC/ Reports Monteverde, Juan 2.000 975.00 1,950.00 17 - Case Schedule - review/review/negotiate new schedule 17 - Case Schedule/CMC/ Monteverde, Juan 2.000 975.00 1,950.00	04-27-2022	Approved		Billable		Baylet, John		0.200	575.00	115.00
03 - Discovery Review and analyze discovery 04-27-2022 Approved Billable 17 - Case Schedule/CMC/ Reports Monteverde, Juan 2.000 975.00 1,950.00 17 - Case Schedule - review/review/negotiate new schedule 17 17 1000 1000 1000					ked as Technical exception					
Review and analyze discovery 04-27-2022 Approved Billable 17 - Case Schedule/CMC/ Reports Monteverde, Juan 2.000 975.00 1,950.00 17 - Case Schedule - review/review/negotiate new schedule	04-27-2022	Approved		Billable	03 - Discovery	Baylet, John		9.400	575.00	5,405.00
04-27-2022 Approved Billable Reports 17 - Case Schedule - review/review/negotiate new schedule Reports				ry						
·	04-27-2022	Approved		Billable		Monteverde, Juan		2.000	975.00	1,950.00
04-28-2022 Approved Billable 03 - Discovery Baylet, John 2.200 575.00 1,265.00		17 - Case S	Schedule - review/	/review/negotiate new s	schedule					
	04-28-2022	Approved		Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	03 - Discov Review ar	very Id analyze discover	у						
04-28-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu BCG counsel rega		rked as Technical exception					
04-28-2022	Approved		Billable	03 - Discovery	Baylet, John		1.600	575.00	920.00
	03 - Discov Prepare fo		nfer with Def counsel	regarding discovery schedule, de	position dates, and discove	ry status			
04-28-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	nications - call w def	s re schedule and tweaks					
04-28-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.500	975.00	487.50
	17 - Case \$	Schedule							
04-28-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		5.000	975.00	4,875.00
	04 - Depos	ition - review/annot	ate Berkowitz transc	irpt					
04-29-2022	Approved		Billable	03 - Discovery	Baylet, John		1.400	575.00	805.00
	03 - Discov Negotiate,		vised Proposed Sch	eduling Order					
04-29-2022	Approved		Billable	03 - Discovery	Baylet, John		6.200	575.00	3,565.00
	03 - Discov Review ar	very d analyze discover	у						
04-29-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.300	750.00	225.00
	17 - Case \$	Schedule - Review	proposed scheduling	order and filing.					
04-29-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.400	975.00	390.00
	17 - Case \$	Schedule - updates							
04-29-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.600	975.00	7,410.00
	03 - Discov	very - review docs							
04-29-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	nications - emails re	new loads from Oclaro and Jefferi	es, prep for server load				
04-30-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Rev	iew listing of docume	ents from individuals					
04-30-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.400	975.00	1,365.00
	03 - Discov	verv - received load	from Jefferies and C	claro, provide and prep Cloud9 lo	ad				

03 - Discovery - received load from Jefferies and Oclaro, provide and prep Cloud9 load

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
04-30-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	inications - email jeffe	ries counsel re Berkowitz testim	ony and request Greenberg				
04-30-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discov	ery - review and lo	ad new production fro	m oclaro					
05-01-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		2.800	975.00	2,730.00
	14 - Meetin	g/Strategy - w tear	m re rfp re shareholde	r list and survey ideas. review a	nd research class surveys.				
05-02-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Rev	view of rolling product	on from Oclaro					
05-02-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.000	975.00	1,950.00
	03 - Discov	ery - review datab	ases and manage/upo	late same. Edit survey.					
05-02-2022	Approved		Billable	15 - Research	Monteverde, Juan		5.300	975.00	5,167.50
	15 - Resea	rch - jury instructio	ns and verdict forms f	or survey					
05-02-2022	Approved		Billable	13 - Experts	Schreiner, Miles		3.800	850.00	3,230.00
	13 - Expert	s - meeting with Bi	Il Jeffers re damages	models and theories; review file	in connection with preparing for s	ame			
05-02-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.900	850.00	2,465.00
	03 - Discov	ery - draft class su	irvey and meeting with	i john and juan re same; review	proxy and case file in connection	with same			
05-02-2022	Approved		Billable	15 - Research	Schreiner, Miles		1.000	850.00	850.00
	15 - Resea	rch re rules of evid	lence and admissibility	of evidence for summary judgr	nent;				
05-02-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.600	850.00	510.00
	14 - Meetin	g/Strategy with tea	am re case strategy						
05-02-2022	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Review an		rvey questions; draft a	nd circulate edits to survey que	stions				
05-02-2022	Approved		Billable	03 - Discovery	Baylet, John		0.100	575.00	57.50
	03 - Discov email with	ery outside counsel fo	r BCG						
05-02-2022	Approved		Billable	03 - Discovery	Baylet, John		5.600	575.00	3,220.00
	03 - Discov Organize a	,	ent productions to disc	covery system; review and analy	/ze discovery				
05-02-2022	Approved		Billable	13 - Experts	Baylet, John		3.400	575.00	1,955.00
				eparation for meeting with valua	tion expert; meeting with valuation	expert; collate			
05-03-2022	Approved		Billable	02 - Pleading	Bower, David		0.300	750.00	225.00
	02 - Pleadi	ng - Filing re servio	ce of Subpoenas						
05-03-2022	Approved		Billable	03 - Discovery	Baylet, John		3.200	575.00	1,840.00
40.04.0000								D (00	

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

			BillobloType	Task	Drofossional		Fro	m 01-01-2018	18 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amoun
Oclaro, I	Inc.								
<u>Merger</u>									
	03 - Discov								
		finalize and file su	bpoenas for Glass Le	wis and ISS; coordinate service					
05-03-2022	Approved		Billable	03 - Discovery	Baylet, John		2.100	575.00	1,207.50
	03 - Discov Review an		tz deposition transcrip	ot					
05-03-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
				y, including outstanding subpoe	nas and actionable items;	reach out to counsel for			
05-03-2022	Approved		Billable	03 - Discovery	Baylet, John		2.300	575.00	1,322.5
	03 - Discov Review an	ery d analyze discover	ſy						
05-03-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.500	850.00	2,125.0
	03 - Discov and files fo		juan and john re subp	oceans to analysts, proxy adviso	rs, and jefferies; class surv	vey; review documents			
05-03-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		8.000	975.00	7,800.0
	03 - Discov	ery - load new prod	duction from individua	lls and DB, review documents					
05-03-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	inications - call and fo	llow up email w B. Riley and sub	poena				
05-03-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.600	975.00	585.0
	14 - Meetin	g/Strategy w team	re subpoenas to anal	ysts and ISS/Glass Lewis					
05-04-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.0
	03 - Discov	ery - review docs							
05-05-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		7.000	975.00	6,825.0
	03 - Discov	ery - update surve	y and review docs						
05-05-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.500	975.00	9,262.50
	03 - Discov	ery - review docs a	and updated hot docs						
05-05-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.000	850.00	850.00
	03 - Discov	ery - review/edit cla	ass survey and calls/e	emails with juan and john re sam	e				
05-06-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.0
	12 - Analyz	e and review - Rev	view of Lumentum pro	duction					
05-06-2022			Billable	03 - Discovery	Monteverde, Juan		8.500	975.00	8,287.50
	03 - Discov	ery - pull and revie	w hot docs, prep dep	o schedule and send to defs					
05-06-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 Corros	nondonoo/Commu	niantiana amail alian	t ra navy agaa aahadula, tranaari	nt and time to make corre	ationa			

11 - Correspondence/Communications - email client re new case schedule, transcript and time to make corrections

Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
05-07-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		4.500	975.00	4,387.50
	03 - Discov	ery - load individu	als production and sta	rt reivew					
05-08-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		3.000	975.00	2,925.00
	03 - Discov	ery - review docs							
05-09-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		13.600	475.00	6,460.00
	03 - Discov	ery - analyze and	review Duetsche bank	document production.					
05-09-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.500	975.00	1,462.50
	14 - Meetin	g/Strategy - review	w policy and discuss c	overage issues w team					
05-09-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		2.000	975.00	1,950.00
	11 - Corres	pondence/Commu	unications - emails and	I discussions re discovery, sched	ule and cut off.				
05-09-2022	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		2.500	975.00	2,437.50
	09 - Settler	nent/Mediation - d	raft settlement offer						
05-09-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		6.000	975.00	5,850.00
	04 - Depos	ition - organize an	d prep for depos						
05-09-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.200	975.00	1,170.00
	11 - Corres	pondence/Commu	unications - prep, chat	w team and confer w jeff counsel	re 2nd depo				
05-09-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.700	850.00	1,445.00
	03 - Discov	ery - call with jeffe	ries' counsel re furthe	r depositions; research re 30(b)(6) depositions; email and call with	juan re same			
05-09-2022	Approved		Billable	03 - Discovery	Baylet, John		8.500	575.00	4,887.50
	03 - Discov Review an	,	ry; prepare for deposit	ions of the Ind Def; emails with D	ef counsel regarding discovery				
05-09-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discov Discuss 30		vith managing partner	and senior associate; legal resea	rch on the same				
05-09-2022	Approved		Billable	09 - Settlement/Mediation	Baylet, John		0.300	575.00	172.50
		nent/Mediation d analyze settleme	ent offer letter						
05-10-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.800	475.00	6,080.00
	03 - Discov	ery - analyze and	review Duetsche bank	discovery production.					
05-10-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	ery - review of DB	discovery						
05-10-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	view various productio	ns of documentation					
05-10-2022	Approved		Billable	09 - Settlement/Mediation	Baylet, John		0.600	575.00	345.00

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Time Entries

Monteverde & Associates PC

Date	Status Ap	proval BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, lı	1С.							
<u>Merger</u>								
	09 - Settlement/Me Discuss settlemer	ediation nt offer letter; consult with financ	ial expert					
05-10-2022	Approved	Billable	03 - Discovery	Baylet, John		6.200	575.00	3,565.00
	03 - Discovery Review and analy	/ze discovery; prepare for depos	itions of the Ind Def; emails with D	ef counsel regarding discov	ery			
05-10-2022	Approved	Billable	03 - Discovery	Baylet, John		2.200	575.00	1,265.00
	03 - Discovery review and analyz	ze Oclaro survey questions; lega	I research on class action surveys	3				
05-10-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		5.000	975.00	4,875.00
	03 - Discovery- rev	view docs						
05-10-2022	Approved	Billable	09 - Settlement/Mediation	Monteverde, Juan		1.500	975.00	1,462.50
	09 - Settlement/Me	ediation - update offer and add V	Villis Towers					
05-10-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corresponder	nce/Communications - re depos	schedule w defs					
05-11-2022	Approved	Billable	03 - Discovery	Lerner, Jonathan		7.000	525.00	3,675.00
	03 - Discovery - co	ontinued review of DB discovery						
05-11-2022	Approved	Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		13.100	475.00	6,222.50
		oment, Investigation and review alyses found in production releva	corporate filings and continue revi ant to case theory.	ew of Duetsch bank docume	ent production;			
05-11-2022	Approved	Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.300	475.00	142.50
	11 - Corresponder	nce/Communications - discussed	Lumentum production review wit	h Juan/John.				
05-11-2022	Approved	Billable	03 - Discovery	Scarpa, Rossella		2.300	475.00	1,092.50
	03 - Discovery - re	eviewed Lumentum production.						
05-11-2022	Approved	Billable	14 - Meeting/Strategy	Monteverde, Juan		0.800	975.00	780.00
	14 - Meeting/Strate	egy - w team re discovery and re	eview					
05-11-2022	Approved	Billable	03 - Discovery	Monteverde, Juan		6.000	975.00	5,850.00
	03 - Discovery - re	eview docs						
05-11-2022	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corresponder	nce/Communications w defs re re	ogs obj and coordinate schedule					
05-11-2022	Approved	Billable	03 - Discovery	Baylet, John		8.800	575.00	5,060.00
	03 - Discovery Review and analy	/ze discovery; prepare for depos	itions of the Ind Def; emails with D	ef counsel regarding discov	ery			
05-12-2022	Approved	Billable	03 - Discovery	Scarpa, Rossella		7.500	475.00	3,562.50
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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	03 - Discov	very - reviewed the	Lumentum production	l.					
05-12-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		12.400	475.00	5,890.00
			review of Deutsch bar	nk document production and me					,
05-12-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyz	e and review - Re	view proposed settlem	ent letter					
05-12-2022	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.400	750.00	300.00
	11 - Corres	pondence/Commu	inications - Coordinate	e service of subpoenas on variou	is 3rd Parties				
05-12-2022	Approved		Billable	03 - Discovery	Baylet, John		3.300	575.00	1,897.50
	03 - Discov Review ar		ry; prepare for deposit	ions of the Ind Def; emails with I	Def counsel regarding discove	ery			
05-12-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discov review and		urvey questions; meet	and discuss same with managir	ng partner and senior associat	e			
05-12-2022	Approved		Billable	09 - Settlement/Mediation	n Baylet, John		1.800	575.00	1,035.00
		nent/Mediation eview, and edit set	tlement offer letter; Re	view and analyze expert valuati	ons and discuss implications v	with managing			
05-12-2022	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		2.000	850.00	1,700.00
	11 - Corres john	pondence/Commu	unications - revise/edit	settlement letter and review file	re same ; meeting re case stra	ategy with juan and			
05-12-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.800	975.00	5,655.00
	13 - Expert	s - review analysis	from Jeffers						
05-12-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		4.000	975.00	3,900.00
	03 - Discov	very - review banke	er books						
05-13-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	view of proposed ques						
05-13-2022	Approved		Billable	02 - Pleading	Bower, David		0.100	750.00	75.00
	02 - Pleadi	ng - Filing Subpoe	na						
05-13-2022	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		13.800	475.00	6,555.00
			stigation and review cong strategy meeting re	orporate filings, complete discov same.	ery review of Duetsch bank pr	roduction;			
05-13-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		4.000	525.00	2,100.00
	03 - Discov	very - continued rev	view of DB production						

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start	Stop	Duration	Rate	Amount
Oclaro, I	nc.									
<u>Merger</u>										
05-13-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella			0.200	475.00	95.00
	11 - Corresp	ondence/Commu	inications - reviewed s	hareholder survey.						
05-13-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella			5.000	475.00	2,375.00
	03 - Discove	ery - reviewed the	Lumentum production	I.						
05-13-2022	Approved		Billable	03 - Discovery	Monteverde, Juan			11.000	975.00	10,725.00
	03 - Discove	ery - review new p	roductions							
05-13-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan			1.000	975.00	975.00
	14 - Meeting	g/Strategy - re sur	vey and web live							
05-13-2022	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan			0.500	975.00	487.50
	09 - Settlem	ent/Mediation - fir	nalize offer and transm	nit to dfs counsel						
05-13-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan			0.800	975.00	780.00
	11 - Corresp	ondence/Commu	inications - discovery i	ssues						
05-13-2022	Approved		Billable	15 - Research	Schreiner, Miles			2.700	850.00	2,295.00
	15 - Resear	ch re attorney clie	nt privilege with respe	ct to putative class; review class	survey and emails with team re sa	ame				
05-13-2022	Approved		Billable	09 - Settlement/Mediation	Baylet, John			0.800	575.00	460.00
		ent/Mediation view, and edit sett	tlement offer letter							
05-13-2022	Approved		Billable	03 - Discovery	Baylet, John			0.800	575.00	460.00
	03 - Discove Draft, edit,		enzie Partners subpoe	ena for filing						
05-13-2022	Approved		Billable	03 - Discovery	Baylet, John			0.800	575.00	460.00
	03 - Discove Review and	,	tion survey website							
05-13-2022	Approved		Billable	03 - Discovery	Baylet, John			5.500	575.00	3,162.50
	03 - Discove Review and		ry; prepare for deposit	ions of the Ind Def; emails with D	ef counsel regarding discovery					
05-14-2022	Approved		Billable	03 - Discovery	Monteverde, Juan			7.000	975.00	6,825.00
	03 - Discove	ery - review docs								
05-15-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan			0.300	975.00	292.50
	11 - Corresp	ondence/Commu	inications - update clie	nt re case and court schedule						
05-16-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella			1.000	475.00	475.00
	11 - Corresp	ondence/Commu	inications - discussed	Lumentum production and reviev	v of Oclaro privilege log.					
05-16-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella			0.800	475.00	380.00

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	03 - Discov	very - started review	wing the Oclaro privile	ge log production.					
05-16-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		7.000	525.00	3,675.00
	03 - Discov	very - continued rev	view of DB production	finalizing notes re same					
05-16-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		3.300	475.00	1,567.50
	03 - Discov	/ery - prepare mos	t relevant docs found i	n Deutsch bank doc review; mee	eting/strategy re same and revie	ew of privilege log.			
05-16-2022	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.200	750.00	150.00
	11 - Corres	spondence/Commu	unications - Transmittir	ng POS Subpoena					
05-16-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
	14 - Meetir	ng/Strategy - re def	fs discovery issues an	d timing					
05-16-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	spondence/Commu	unications - re discove	ry issues					
05-16-2022	Approved		Billable	13 - Experts	Monteverde, Juan		0.300	975.00	292.50
	13 - Expert	s - finalize engage	ement w Prof Lubben a	ind wire deposit					
05-16-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.800	975.00	5,655.00
	03 - Discov	very - review docs							
05-16-2022	Approved		Billable	03 - Discovery	Baylet, John		9.800	575.00	5,635.00
	03 - Discov Review ar	/ery id analyze discove	ry; prepare for deposit	ions of the Ind Def					
05-17-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		8.400	475.00	3,990.00
	03 - Discov	very - analyze and	review previously with	held privileged documents.					
05-17-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	very - review of priv	vilege log production						
05-17-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.500	475.00	4,037.50
	03 - Discov	very - continued rev	viewing the Oclaro priv	vilege log production.					
05-17-2022	Approved		Billable	03 - Discovery	Baylet, John		10.600	575.00	6,095.00
	03 - Discov Review ar		ry; prepare for deposit	ions of the Ind Def					
05-17-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		3.100	850.00	2,635.00
	03 - Discov	/ery - review/analy:	ze documents in conn	ection with upcoming deposition	S				
05-17-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	spondence/Commu	unications - draft email	re survey for defs, discuss inter	nally and send to defs				
05-17-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discov	very - review docs							

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
05-18-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		8.500	475.00	4,037.50
			Oclaro privilege log p	production.					
05-18-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	/ery - continued re	view of privilege log p	roduction					
05-18-2022	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		13.600	475.00	6,460.00
		Development, Inve rategy re findings a		corporate filings; continued review	v of withheld docs from prior	r privilege log and			
05-18-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discov	very - received DB	load and review same	9					
05-18-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.800	850.00	1,530.00
	03 - Discov	/ery - review/analy	ze documents in conn	ection with upcoming deposition	S				
05-18-2022	Approved		Billable	15 - Research	Schreiner, Miles		1.100	850.00	935.00
	15 - Resea	irch re. recent sum	mary judgment opinio	ns issued by Judge Donato					
05-18-2022	Approved		Billable	03 - Discovery	Baylet, John		10.200	575.00	5,865.00
	03 - Discov Review ar	,	ry; prepare for deposi	tions of the Ind Def					
05-19-2022	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		6.500	475.00	3,087.50
			stigation and review of counsel re findings a	orporate filings and continued re nd strategy.	view of discovery production	n; multiple			
05-19-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		14.000	525.00	7,350.00
	03 - Discov	very - continued re	view of privilege log p	roduction					
05-19-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		5.000	475.00	2,375.00
	03 - Discov	very - continued re	viewing Oclaro privile	ge log production.					
05-19-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.200	850.00	1,870.00
	03 - Discov	/ery - review/analy	ze hot documents in c	connection with upcoming deposi	tions				
05-19-2022	Approved		Billable	03 - Discovery	Baylet, John		11.500	575.00	6,612.50
	03 - Discov Review an	,	ry; prepare for deposi	tions of the Ind Def; draft and edi	it depo outline				
05-19-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		10.000	975.00	9,750.00
	03 - Discov	very - doc review							
05-20-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		10.000	525.00	5,250.00
	03 - Discov	verv - continued re	view of privilege log p	roduction					

03 - Discovery - continued review of privilege log production

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
05-20-2022	Approved		Billable	01 - Case Development, Investigation and review corporate filings	Khattab, Ahmed		11.800	475.00	5,605.00
		Development, Inve email; meeting/dis		orporate filings and complete rev	view of privilege log docume	nts; summarize			
05-20-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	ze and review - Re	view responses from (Cowen					
05-20-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		9.000	975.00	8,775.00
	03 - Discov	very - review and p	ull hot docs						
05-20-2022	Approved		Billable	03 - Discovery	Baylet, John		6.300	575.00	3,622.50
	03 - Discov Review an	,	ry; prepare for deposit	ions of the Ind Def; edit depo ou	tline				
05-20-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.000	850.00	1,700.00
	03 - Discov	very - review/analy	ze hot documents in c	onnection with upcoming deposit	tions				
05-20-2022	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
	03 - Discov Review an Oclaro's pr	d analyze Def Ocl	aro and Ind Defs privil	ege logs; discuss internally; send	d email to Def counsel regar	ding deficiency of Def			
05-21-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		6.500	975.00	6,337.50
	03 - Discov	very - review DB pr	oduction						
05-22-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		7.000	975.00	6,825.00
	04 - Depos	ition - prep genera	I outline of topics for d	lirectors					
05-23-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	ze and review - Re	view of discovery prop	ounded to our client					
05-23-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		10.500	975.00	10,237.50
	04 - Depos	ition - Ted Collins	Deposition Preparation	n					
05-23-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.500	575.00	287.50
		spondence/Commu id analyze emails r		discovery; send emails regardin	g outstanding discovery				
05-23-2022	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review an		set of ROGs and RFP	; discuss same with managing p	artner and senior associate				
05-23-2022	Approved		Billable	03 - Discovery	Baylet, John		6.600	575.00	3,795.00
	03 - Discov	very	ry; prepare for deposit	ions					
05-23-2022	Approved	-	Billable	03 - Discovery	Baylet, John		4.300	575.00	2,472.50
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Time Entries

Monteverde	&	Associates	PC
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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
	03 - Discov	very							
	discuss co	ntention ROGS wit	th managing partner a	nd senior associate; legal rese					
05-23-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.000	850.00	850.00
	03 - Discov	very - review/analyz	ze defendants second	set of interrogatories and seco	ond set of RFP; email with te	am re same			
05-23-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		4.500	850.00	3,825.00
	14 - Meetir analyze do	g/Strategy with jua cuments in connec	an and john re case st ction with same	rategy and depostion strategy;	meeting re Mr. Collins depos	sition and review/			
05-24-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		4.600	850.00	3,910.00
		ng/Strategy with jua cuments in connec		rategy and depostion strategy;	meeting re Mr. Collins depos	sition and review/			
05-24-2022	Approved		Billable	03 - Discovery	Baylet, John		3.800	575.00	2,185.00
	03 - Discov Review an	,	ry; prepare for deposit	ion of Def Joel Smith					
05-24-2022	Approved		Billable	04 - Deposition	Baylet, John		7.800	575.00	4,485.00
	03 - Discov Prepare fo	,	deposition of Def Co	lins					
05-24-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		8.000	975.00	7,800.00
	04 - Depos	ition - Ted Collins I	Deposition						
05-24-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.500	975.00	4,387.50
	04 - Depos	ition - Joel Smith D	eposition Preparation						
05-25-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.000	975.00	3,900.00
	04 - Depos	ition - Joel Smith D	Deposition						
05-25-2022	Approved		Billable	04 - Deposition	Baylet, John		5.900	575.00	3,392.50
	04 - Depos Prepare fo		deposition of Def Joe	el Smith					
05-25-2022	Approved		Billable	03 - Discovery	Baylet, John		3.100	575.00	1,782.50
	03 - Discov Review ar		ry; prepare for deposit	ion of Def Haylor					
05-26-2022	Approved		Billable	03 - Discovery	Baylet, John		8.800	575.00	5,060.00
	03 - Discov Review ar		ry; prepare for deposit	ion of Def Haylor					
05-26-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		5.500	975.00	5,362.50
	04 - Depos	ition - review Smith	n and Collins rough tra	anscripts					
05-27-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.500	975.00	1,462.50
	14 - Meetir	ng/Strategy - team	discussion re contenti	on rogs and review research re	e prematurity				
05-27-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		3.500	975.00	3,412.50

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, lı	nc.								
<u>Merger</u>									
05-27-2022	Approved		Billable	03 - Discovery	Baylet, John		7.300	575.00	4,197.50
	03 - Discov								
05 07 0000		,			collate and send exhibits to Def H	Haylor	4 000		c00 00
05-27-2022	Approved 03 - Discov		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
		,	th managing partner a	nd senior associate; legal rese	earch on same				
05-27-2022	Approved		Billable	15 - Research	Schreiner, Miles		3.600	850.00	3,060.00
	15 - Resea	rch re contention in	nterrogatories in conne	ection with drafting response t	o defendants; calls with john and	l juan re same			
05-28-2022	Approved		Billable	13 - Experts	Monteverde, Juan		4.500	975.00	4,387.50
	13 - Expert	s - review/revise d	amages expert outline						
05-31-2022	Approved		Billable	13 - Experts	Monteverde, Juan		1.400	975.00	1,365.00
	13 - Expert	s - discuss w prof l	Lubben Collins and Sn	nith depo, provide transcripts	and excerpts to support poor go	vernance opinions			
05-31-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		9.800	850.00	8,330.00
	03 - Discov depo outlin		n john to prepare for M	s. Haylor deposition; review/a	nalyze documents in connectior	n with same; review			
05-31-2022	Approved		Billable	04 - Deposition	Baylet, John		9.800	575.00	5,635.00
	04 - Depos Review an		ry and case files; draft	and edit deposition outline; pr	repare for Haylor depo				
06-01-2022	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Meet and o	ery confer with counse	l for ISS						
06-01-2022	Approved		Billable	03 - Discovery	Baylet, John		4.400	575.00	2,530.00
	03 - Discov review and	,	/; review and analyze	stockholder lists; build excel fi	lter for organizing stockholder co	ontact information			
06-01-2022	Approved		Billable	04 - Deposition	Baylet, John		5.400	575.00	3,105.00
	04 - Depos								
		· ·		and edit deposition outline; pr			0.000	050.00	= 4 0 0 0
06-01-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.600	850.00	510.00
00.04.0000		-		na; post-call meeting with juar			4 000	050.00	4 000 00
06-01-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		4.800	850.00	4,080.00
06-01-2022	Approved	0 01 1	n re putative class sur Billable	03 - Discovery	Schreiner, Miles		7.200	850.00	6,120.00
00-01-2022				ection with preparation for Der	,		7.200	830.00	0,120.00
				11 - Correspondence/					
06-01-2022	Approved		Billable	Communications	Monteverde, Juan		1.500	975.00	1,462.50
		pondence/Commu and shareholder re		for survey and send to record	holders on file, update email lis	t re outlook			
06-02-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.300	975.00	4,192.50
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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
	04 - Depos	ition - Denise Hayl	or Deposition Prep w	John and review/revise outline					
06-02-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.900	850.00	2,465.00
	03 - Discov	ery - draft 30b6 de	epo subpoena for Jeffe	eries LLC and research re 30b6 o	depositions; call with juan re	e same			
06-02-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		7.600	850.00	6,460.00
	03 - Discov re same	ery - attend depos	ition of defendant Ms	. Haylor; review documents in co	nnection with same and cal	ls with juan and juan			
06-02-2022	Approved		Billable	04 - Deposition	Baylet, John		6.500	575.00	3,737.50
	04 - Depos Prepare fo		osition of Denise Hay	lor					
06-03-2022	Approved		Billable	13 - Experts	Baylet, John		4.100	575.00	2,357.50
	13 - Expert Prepare fo		amages expert; review	v and organize discovery for expe	ert				
06-03-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
	03 - Discov Prepare fo	,	onfer with Def on disco	overy disputes; legal research on	same				
06-03-2022	Approved		Billable	13 - Experts	Schreiner, Miles		2.100	850.00	1,785.00
	13 - Expert with juan a		ers re loss causation	expert issues; prepare for same	by reviewing file and resear	ch; post-meeting call			
06-03-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		2.600	850.00	2,210.00
		rery - meet and cor ries and reviewing		e plaintiffs' interrogatory respons	es; prepare for same by res	search re contention			
06-03-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		5.300	975.00	5,167.50
	04 - Depos	ition - Denise Hayl	or Deposition						
06-03-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.500	975.00	2,437.50
	13 - Expert	s - Prep, confer w	team and discuss w e	expert damages report/outline					
06-04-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
		pondence/Commu ion of \$10m in defe		lient re case and discovery, settl	ement and follow up w ema	il re settlement and			
06-04-2022	Approved		Billable	05 - Motion	Monteverde, Juan		0.700	975.00	682.50
	05 - Motion	- review/edit clien	t dec for class cert						
06-05-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications - with share	holders re survey					
06-06-2022	Approved		Billable	09 - Settlement/Mediation			1.000	975.00	975.00
	09 - Settler	ment/Mediation - di	iscuss w team policies	s, and \$50m coverage but \$10m	in defense cost spent				
06-06-2022	Approved		Billable	03 - Discovery	Baylet, John		3.200	575.00	1,840.00

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Professional = All (Inactive Included) **Time Entries** Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Professional Status Approval BillableType Task Start Stop Duration Rate Amount Oclaro, Inc. **Merger** 03 - Discovery Review and analyze expert reports and rebuttal reports from recent 14(a) cases; organize and send around 06-06-2022 Approved Billable 03 - Discovery Baylet, John 2.100 575.00 1,207.50 03 - Discovery Review and analyze discovery related to ZTE and July Oclaro projections; draft and send memo to senior associate and managing partner 06-06-2022 Approved Billable 04 - Deposition Baylet, John 2.200 575.00 1.265.00 04 - Deposition Review and analyze discovery and case files in preparation for Mangan depo; collate and organize useful files and potential exhibits for depo 06-07-2022 Approved Billable 03 - Discovery Baylet, John 5.400 575.00 3,105.00 03 - Discoverv Load production to discovery platform, review and analyze discovery 06-07-2022 Billable 13 - Experts Baylet, John 2.600 575.00 1,495.00 Approved 13 - Experts Prepare for and participate in call with corporate governance experts .00

	Frepare for and participate in c	all with corporate gov	ernance expens				
06-07-2022	Approved	Billable	13 - Experts	Schreiner, Miles	2.500	850.00	2,125.00
	13 - Experts - Teams meeting w	vith Professor Lubben	re. expert report topics; researc	ch re same			
06-07-2022	Approved	Billable	13 - Experts	Monteverde, Juan	3.500	975.00	3,412.50
	13 - Experts - review/revise upo	lated outline w damag	es expert Jeffers				
06-08-2022	Approved	Billable	11 - Correspondence/ Communications	Lerner, Jonathan	3.000	525.00	1,575.00
	11 - Correspondence/Communi	cations - direct mailin	g project				
06-08-2022	Approved	Billable	11 - Correspondence/ Communications	Scarpa, Rossella	3.000	475.00	1,425.00
	11 - Correspondence/Communi	cations - Case Admin	istration - prepped shareholder	surveys for mailing.			
06-08-2022	Approved	Billable	13 - Experts	Monteverde, Juan	2.300	975.00	2,242.50
	13 - Experts - review talking poi	nts for Prof Lubben re	port and governance opinions				
06-08-2022	Approved	Billable	03 - Discovery	Monteverde, Juan	1.000	975.00	975.00
	03 - Discovery - update survey	and questions revised					
06-08-2022	Approved	Billable	04 - Deposition	Monteverde, Juan	3.500	975.00	3,412.50
	04 - Deposition - Prep exhibits f	or William Smith depo)				
06-08-2022	Approved	Billable	15 - Research	Schreiner, Miles	1.900	850.00	1,615.00
	15 - Research re economic loss	theories in Section 1	4 and appraisal litigation;				
06-08-2022	Approved	Billable	03 - Discovery	Baylet, John	8.200	575.00	4,715.00
	03 - Discovery						

Review and analyze discovery

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
06-09-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corres	pondence/Commu	unications - continued	preparing the shareholder corres	pondence.				
06-09-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		3.000	525.00	1,575.00
	11 - Corres	pondence/Commu	unications - direct mail	ing project					
06-09-2022	Approved		Billable	13 - Experts	Baylet, John		0.500	575.00	287.50
	13 - Expert Review an		of expert report; discu	ss and provide edits					
06-09-2022	Approved		Billable	03 - Discovery	Baylet, John		6.800	575.00	3,910.00
		d analyze discove	ry; compare product fa ence between projectio	amily revenue builds from Decem on sets	ber Projections to January F	Projections; build			
06-09-2022	Approved		Billable	13 - Experts	Schreiner, Miles		0.300	850.00	255.00
	13 - Expert	s - review outline f	for expert report ; ema	il with team re same					
06-09-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		7.000	975.00	6,825.00
	04 - Depos	ition - William Smi	th Prep and revise out	line					
06-10-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		5.000	525.00	2,625.00
	11 - Corres	pondence/Commu	unications - direct mail	ing project					
06-10-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.600	475.00	1,235.00
	03 - Discov	ery - assist with a	nd prepare survey lette	ers for former shareholders of Oc	laro.				
06-10-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	view of settlement pro	posal					
06-10-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		5.000	975.00	4,875.00
	04 - Depos	ition - Prep and W	illiam Smith Depositio	n					
06-10-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.500	975.00	1,462.50
	11 - Corres	pondence/Commu	unications - review/rev	ise response to R&O to 30b6 from	m Jefferies				
06-10-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		3.100	850.00	2,635.00
	03 - Discov same	ery - draft respons	se letter re Jefferies 30	0(b)(6) deposition and legal resea	arch re same; emails/calls wi	th juan and john re			
06-10-2022	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		0.500	850.00	425.00
	11 - Corres	pondence/Commu	unications - review/edi	t settlement demand letter; calls	with juan and john re same				
06-10-2022	Approved		Billable	09 - Settlement/Mediation	Baylet, John		0.400	575.00	230.00
		nent/Mediation d edit settlement d	offer letter: discuss sar	me with managing partner and se	nior associate				

Review and edit settlement offer letter; discuss same with managing partner and senior associate

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Time Entries

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
06-10-2022	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review ar associate	,	ry response letter to J	efferies re: 30(b)(6) subpoena; d	liscuss same with managing pa	artner and senior			
06-10-2022	Approved		Billable	04 - Deposition	Baylet, John		6.100	575.00	3,507.50
	04 - Depos Review ar for depo		ry and case files in pre	eparation for Mangan depo; colla	ate and organize useful files ar	nd potential exhibits			
06-10-2022	Approved		Billable	04 - Deposition	Baylet, John		6.000	575.00	3,450.00
	04 - Depos Prepare fo	sition or and participate ir	n Smith deposition						
06-11-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.500	975.00	5,362.50
	•	ts - review/revise o	utline for Lubben's rep						
06-12-2022			Billable	04 - Deposition	Monteverde, Juan		9.500	975.00	9,262.50
	04 - Depos	ition - Pete Manga	n Deposition Prep and						
06-13-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		5.000	525.00	2,625.00
	11 - Corres	spondence/Commu	unications - direct mail	ing project					
06-13-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		3.500	475.00	1,662.50
	11 - Corres	spondence/Commu	unications - prepared t	he oclaro shareholder survey for	r mailing.				
06-13-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		12.000	975.00	11,700.00
		ition - Travel to SF	O and conduct Pete N	langan Deposition					
06-13-2022	Approved		Billable	04 - Deposition	Baylet, John		10.600	575.00	6,095.00
	04 - Depos Prepare f		n Mangan Deposition						
06-13-2022	Approved		Billable	04 - Deposition	Baylet, John		2.800	575.00	1,610.00
	04 - Depos Review ar		ry and case files in pre	eparation for Cowan depo					
06-14-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		3.000	525.00	1,575.00
	11 - Corres	spondence/Commu	unications - direct mail	ing project					
06-14-2022			Billable	03 - Discovery	Khattab, Ahmed		2.400	475.00	1,140.00
	03 - Discov	very - prepare and	assist with compiling a	and sending out survey letters to	shareholders of Oclaro.				
06-14-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.400	750.00	300.00
	,		ceipt, review and forw	ard documents from Cowen					
06-14-2022	Approved		Billable	04 - Deposition	Baylet, John		5.500	575.00	3,162.50

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Time Entries

Monteverde & Associates PC

								m 01-01-2018 1	5 10-51-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
	04 - Deposit Prepare for		Peterson deposition						
06-14-2022	Approved		Billable	04 - Deposition	Baylet, John		4.600	575.00	2,645.00
	04 - Deposit Review and		ry and case files in pre	eparation for Cowan depo					
06-14-2022	Approved		Billable	03 - Discovery	Baylet, John		1.800	575.00	1,035.00
	03 - Discove Contact cou		download, review, and	l analyze Cowen discovery; p	roduce Cowen discovery to De	f			
06-14-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		12.000	975.00	11,700.00
	04 - Deposit	ion - Prep and co	nduct Marissa Peters	on Deposition and travel back	to JFK				
06-15-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		3.000	525.00	1,575.00
	11 - Corresp	ondence/Commu	inications - direct mail	ing project					
06-15-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		8.000	975.00	7,800.00
	04 - Deposit	ion - Prep and tal	ke Kendall Cowan De	position					
06-15-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.200	975.00	1,170.00
	11 - Corresp	ondence/Commu	inications - review NO	BO list and discuss mailing s	urvey to CA residents, in house	e v third party costs			
06-15-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corresp	ondence/Commu	inications - emails and	confer schedule w Jefferies	re 30b6				
06-15-2022	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discove Review and		scovery production; d	iscuss same with managing p	artner				
06-15-2022	Approved		Billable	04 - Deposition	Baylet, John		4.300	575.00	2,472.50
	04 - Deposit Prepare for		deposition of Cowan						
06-15-2022	Approved		Billable	13 - Experts	Schreiner, Miles		0.300	850.00	255.00
	13 - Experts	- draft email to P	rof. Lubben re. proxy	issues; review proxy and MTE) order re same				
06-15-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		6.300	850.00	5,355.00
	03 - Discove	ery - attend depos	ition of Cowan; meeti	ngs with john and juan re sam	e; review documents in connect	ction with same			
06-16-2022	Approved		Billable	11 - Correspondence/ Communications	Lerner, Jonathan		3.000	525.00	1,575.00
	11 - Corresp	ondence/Commu	inications - direct mail	ing project					
06-16-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.000	475.00	475.00
	11 - Corresp	ondence/Commu	inications - prepared t	he shareholder surveys for m	ailing.				
06-16-2022	Approved		Billable	03 - Discovery	Baylet, John		9.400	575.00	5,405.00
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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023 Start Ston Rato Amount Duration

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, lı	IC.								
<u>Merger</u>									
	fairness op	fairness opinions p inions in excel file;	review and analyze e	the past 5 years; Review and ach SEC filing for merger cons onsideration fell within fairness	ideration, discounted cash flow	v analysis, and			
06-16-2022	Approved		Billable	13 - Experts	Monteverde, Juan		4.000	975.00	3,900.00
	13 - Expert	s - review Lubben	report and provide res	ponse to specific premium issu	ies				
06-16-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	nications - emails re e	x binders and confirm w report	ers				
06-17-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		12.000	975.00	11,700.00
	03 - Discov	ery - review accou	nting models, and pre	p for CEO and CSO depo					
06-17-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	nications - call w Jeffe	eries counsel re 30b6					
06-17-2022	Approved		Billable	03 - Discovery	Baylet, John		1.600	575.00	920.00
				efferies concerning 30(b)(6) su	bpoena; edit and send propos	ed universe of			
06-17-2022	Approved		Billable	04 - Deposition	Baylet, John		6.800	575.00	3,910.00
	04 - Depos Review an for depos		y and case files in pre	paration for mgmt depos; colla	te and organize useful files an	d potential exhibits			
06-17-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.200	575.00	115.00
		pondence/Commu with counsel for Gl	nications ass Lewis and ISS co	ncerning affidavits					
06-17-2022	Approved		Billable	05 - Motion	Baylet, John		0.700	575.00	402.50
	05 - Motion Review an associate		overy letter brief; rese	arch rules and case law; discu	ss strategy with managing par	tner and senior			
06-19-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.800	850.00	680.00
	03 - Discov	ery - revise/edit pla	aintiff's response to se	cond set of interrogatories and	email with juan re same				
06-20-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.600	850.00	510.00
	03 - Discov	ery - revise/edit pla	aintiff's response to se	cond set of interrogatories and	email with juan re same				
06-20-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov Review an	,	ponses to Def ROGS	and RFP					
06-20-2022	Approved		Billable	04 - Deposition	Baylet, John		2.400	575.00	1,380.00

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Time Entries

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro,	Inc.								
Merger									
	04 - Depos Review ar		rv and case files in pre	eparation for LeMaitre depositic	n				
06-20-2022			Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review ar	,	scovery production; di	scuss same with managing pa	rtner				
06-20-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		12.000	975.00	11,700.00
	04 - Depos	ition - Travel to SF	O and Yves LeMaitre	Deposition Preparation					
06-20-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		8.400	850.00	7,140.00
	03 - Discov	very - review docur	nents/case file in prep	aration for LeMaitre deposition					
06-21-2022	Approved		Billable	04 - Deposition	Baylet, John		1.800	575.00	1,035.00
	04 - Depos Review de		s and provide reporter	with exhibit files					
06-21-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		6.000	975.00	5,850.00
	04 - Depos	ition - Yves LeMai	tre Deposition						
06-21-2022	Approved		Billable	04 - Deposition	Baylet, John		8.000	575.00	4,600.00
	04 - Depos Prepare fo		n deposition of LeMaitr	e					
06-21-2022	Approved		Billable	11 - Correspondence/ Communications	Schreiner, Miles		0.300	850.00	255.00
	11 - Corres	spondence/Commu	unications draft email r	esponse to jefferies counsel re	30b6 subpoena				
06-21-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		8.500	850.00	7,225.00
	attend LeN	latire deposition ar	nd review/analyze doc	uments in connection with sam	e; call with juan/john re same				
06-22-2022	Approved		Billable	04 - Deposition	Baylet, John		4.800	575.00	2,760.00
			ry and case files in pre	eparation for Dougherty deposit	tion; discuss strategy for depo with	managing			
06-22-2022	Approved		Billable	03 - Discovery	Baylet, John		3.300	575.00	1,897.50
	03 - Discov Research, send to GL	draft, and edit affi	davits for Glass Lewis	and ISS; discuss same with m	anaging partner and senior associa	te; finalize and			
06-22-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		12.800	850.00	10,880.00
		very - calls with jua in connection with		for Dougherty deposition; revie	w/analyze documents and previous	s deposition			
06-22-2022	Approved		Billable	03 - Discovery	Baylet, John		1.100	575.00	632.50
	03 - Discov	very	FP; discuss same with	n senior associate and managir	ng partner; finalize and serve RFP c	on Def			
06-22-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		8.000	975.00	7,800.00
	04 - Depos	ition - Grea Douah	erty Deposition Prepa	ration					

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, Ir	1С.								
<u>Merger</u>									
06-23-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov	,	aubroone national by	Def for BCG; discuss with seni	ior accoriato; raccorch on tim	ing of diagovery ofter			
	cut-off	u analyze 30(b)(0)	subpoena noticed by	Dei 101 BCG, discuss with sem		ing of discovery aller			
06-23-2022	Approved		Billable	04 - Deposition	Baylet, John		8.500	575.00	4,887.50
	04 - Deposi Prepare fo		n deposition of Greg Do	ougherty					
06-23-2022	Approved	and participate in	Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov	,	responses to ROGS a	,	, , -				
06-23-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		12.000	975.00	11,700.00
	04 - Deposi	tion - Greg Dough	erty Deposition and Tr	avel to JFK					
06-23-2022	Approved		Billable	03 - Discovery	Baylet, John		0.800	575.00	460.00
	03 - Discov Calls and e		el for Glass Lewis rega	rding affidavit; discuss same w	ith senior associate and man	aging partner			
06-23-2022	Approved		Billable	03 - Discovery	Baylet, John		0.300	575.00	172.50
	03 - Discov Search for		scovery document at re	equest of Def counsel					
06-23-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		8.600	850.00	7,310.00
	documents	in connection with	i same;	for Dougherty deposition; atten	d dougherty deposition; revie	ew/analyze			
	-	nn re glass Lewis							
06-23-2022	Approved		Billable	15 - Research	Schreiner, Miles		1.200	850.00	1,020.00
00.04.0000		ch re work produc	t privilege for witness				7 000	050.00	0 400 00
06-24-2022	Approved		Billable	03 - Discovery	Schreiner, Miles	uith iuga a daoft an ail	7.600	850.00	6,460.00
			ubpoena and review su	ew documents in preparation fo ubpoena	or same, post-deposition can	with Juan , drait email			
06-24-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.800	575.00	460.00
		pondence/Commu emails with Counse		ISS regarding affidavits					
06-24-2022	Approved		Billable	03 - Discovery	Baylet, John		2.300	575.00	1,322.50
	03 - Discov Review an	ery d analyze discove	ry						
06-24-2022	Approved		Billable	04 - Deposition	Baylet, John		6.800	575.00	3,910.00
	04 - Deposi Prepare fo		n deposition of Def Sm	all					
06-24-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		8.500	975.00	8,287.50

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
<u>Merger</u>									
	04 - Depos	ition - Prep and la	n Small Deposition						
06-26-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.400	750.00	300.00
	12 - Analyz	ze and review - Re	view correspondence	and discussions regarding disco	overy issues.				
06-26-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	spondence/Commu	inications - emails w c	lefs re bcg and lowe and meet a	nd confer				
06-26-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.500	575.00	287.50
		spondence/Commu h managing partne		e discussing BCG subpoena stra	ategy				
06-27-2022	Approved		Billable	12 - Analyze and review	Bower, David		1.000	750.00	750.00
	12 - Analyz	ze and review - Re	view objections and co	omments regarding deposition o	f Boston Consulting				
06-27-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		1.100	475.00	522.50
	14 - Meetir	ng/Strategy - re rev	iew of depo transcript	s for relevant quotes re case the	eory and topics.				
06-27-2022	Approved		Billable	03 - Discovery	Baylet, John		1.500	575.00	862.50
	03 - Discov Draft and e	,	ntum regarding profita	bility of Oclaro business lines					
06-27-2022	Approved		Billable	03 - Discovery	Baylet, John		1.200	575.00	690.00
		id analyze Def sup		; research FRCP; draft and edit Pltf supplemental disclosures	supplemental disclosures for Pltf; d	liscuss with			
06-27-2022	Approved		Billable	03 - Discovery	Baylet, John		2.500	575.00	1,437.50
	03 - Discov Prepare fo	,	nfer with Def counsel	regarding numerous outstanding	g discovery issues				
06-27-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.400	575.00	230.00
		spondence/Commu id analyze emails r	inications egarding BCG deposi	tion					
06-27-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		8.500	850.00	7,225.00
	john re cas	e strategy; researd vilege ; draft updat	ch re need to update in	nitial disclosures; review defenda	ues; pre and post call meetings wit ants updated initial disclosures ; res cripts in connection with assessing i	search re. work			
06-27-2022	Approved		Billable	03 - Discovery	Baylet, John		0.600	575.00	345.00
	03 - Discov	very	vey results and comm	nunications in light of work produ	ict doctrine and privilege; legal rese	earch on same			
06-27-2022	Approved		Billable	05 - Motion	Baylet, John		4.800	575.00	2,760.00
	05 - Motior Draft and e		egarding new subpoer	nas and BCG subpoena; legal re	search on same				

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Date	Status	Approval	BillableType	Task	Professional Star	t Stop D	uration	Rate	Amount
Oclaro, I	nc.								
Merger									
06-27-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.500	975.00	1,462.50
	03 - Discov	very - prep and cor	nfer w defs re discover	y issues					
06-27-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		4.000	975.00	3,900.00
	03 - Discov	very - review trans	cripts from Dougherty a	and Mangan, discuss w team an	d issues re bcg				
06-27-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.500	975.00	1,462.50
	11 - Corres	pondence/Comm	unications - emails and	calls w BCG counsel re depos					
06-28-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	te and review - Re	view filing re discovery	letter to court					
06-28-2022	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		1.500	475.00	712.50
	11 - Corres	spondence/Comm	unications - prepared t	ne shareholder surveys for maili	ng.				
06-28-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		6.000	975.00	5,850.00
	04 - Depos	ition - review BCG	ppts and prep for dep	0					
06-28-2022	Approved		Billable	05 - Motion	Baylet, John		11.500	575.00	6,612.50
	05 - Motior Draft and	-	egarding new subpoen	as and BCG subpoena; finalize,	file, and serve				
06-28-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.800	850.00	9,180.00
	05 - Motior	n - draft motion to o	compel Jefferies 30(b)(6) subpoena and legal research	re same				
06-28-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		1.200	850.00	1,020.00
	03 - Discov	/ery - review/edit d	liscovery letter re BCG	deposition and to compel most	recent RFP				
06-29-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.800	475.00	1,330.00
	03 - Discov	very - prepare surv	vey letters to send out t	o former Oclaro shareholders.					
06-29-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		6.100	850.00	5,185.00
		/ery - draft/revise o hn re same	discovery dispute respo	onse letter re contention interrog	atories and legal research re same; ema	ails with			
06-29-2022	Approved		Billable	03 - Discovery	Baylet, John		4.100	575.00	2,357.50
	03 - Discov Review an		ery and case file and pr	epare for BCG deposition					
06-29-2022	Approved		Billable	03 - Discovery	Baylet, John		0.400	575.00	230.00
	03 - Discov Review an	very id analyze Def dise	covery letter brief						
06-29-2022	Approved 05 - Motior		Billable	05 - Motion	Baylet, John		0.300	575.00	172.50
			sponse to Def discover	y letter brief re contention interr	ogatories				
06-29-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.600	575.00	345.00

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
		spondence/Commu id analyze numero	inications us emails regarding B	CG deposition					
06-29-2022	Approved		Billable	03 - Discovery	Baylet, John		3.400	575.00	1,955.00
	03 - Discov Review ar		ry and case files; drafi	and edit supplemental respon	ses re contention ROGs				
06-29-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.000	975.00	975.00
	05 - Motior	n - review defs disc	overy letter						
06-29-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	spondence/Commu	inications - emails re l	ocg depo and timing					
06-29-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		5.100	850.00	4,335.00
	03 - Discov	/ery - review/analy:	ze BCG documents in	connection with preparing for I	BCG deposition of Mr. Tanaka				
06-29-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.500	975.00	4,387.50
	04 - Depos	ition - BCG / Alfred	lo Nicolas Tanaka De	position Preparation					
06-30-2022	Approved		Billable	04 - Deposition	Khattab, Ahmed		2.700	475.00	1,282.50
	04 - Depos	ition - review depo	transcript and meetin	g/strategy call re same.					
06-30-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		12.000	525.00	6,300.00
	03 - Discov	/ery - depo transcri	ipts review						
06-30-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		7.500	475.00	3,562.50
	03 - Discov	very - reviewed the	Haylor deposition trai	nscript and pulled out useful qu	iotes.				
06-30-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.300	975.00	4,192.50
	04 - Depos	ition - BCG / Alfred	lo Nicolas Tinaka Dep	osition					
06-30-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		5.600	850.00	4,760.00
	03 - Discov	/ery - attend depos	ition of BCG witness I	Mr. Tanaka; meetings with juan	n re same				
06-30-2022	Approved		Billable	03 - Discovery	Baylet, John		4.800	575.00	2,760.00
	03 - Discov Review ar		ry and case files; drafi	and edit supplemental respon	ses re contention ROGs				
06-30-2022	Approved		Billable	04 - Deposition	Baylet, John		4.600	575.00	2,645.00
	04 - Depos Prepare fo		n third party depositior	of BCG					
06-30-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.800	575.00	460.00
		spondence/Commu d calls with counse		ISS regarding affidavit					
06-30-2022	Approved		Billable	11 - Correspondence/ Communications	Baylet, John		0.500	575.00	287.50

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Monteverde & Associates PC

Dete	Status	Approval	BillobleTyree	Took	Professional	Start Star			Amount
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
		pondence/Commu d send emails rega	inications arding incorrect captio	n					
06-30-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		6.300	850.00	5,355.00
	12 - Analyz	e and review depo	sition transcripts in co	nnection with upcoming motions	and case strategy				
07-01-2022	Approved		Billable	03 - Discovery	Bower, David		0.400	750.00	300.00
	03 - Discov	ery - Review, revis	se, file discovery letter	with court					
07-01-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		0.300	850.00	255.00
	03 - Discov	ery - revise/edit le	tter to court re discove	ry dispute re BCG deposition ; e	mails with juan re same				
07-01-2022	Approved		Billable	05 - Motion	Schreiner, Miles		0.500	850.00	425.00
	05 - Motior	- review/revise cla	ass cert declaration ; e	mail with juan re same					
07-01-2022	Approved		Billable	03 - Discovery	Baylet, John		10.800	575.00	6,210.00
	03 - Discov Review an		ry and case files; draft	and edit supplemental response	s re contention ROGs				
07-01-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		5.800	975.00	5,655.00
	03 - Discov	ery - review respo	nse to discovery letter	from defs and review/discuss dr	aft of updated rogs responses fo	or pltf			
07-01-2022	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		0.700	975.00	682.50
	09 - Settler	nent/Mediation - F	ollow up w Michelle ar	nd Berger re settlement/carriers,	call w Berger				
07-01-2022	Approved		Billable	05 - Motion	Monteverde, Juan		2.000	975.00	1,950.00
	05 - Motior	- review/revise cli	ent dec for class cert,	discuss brief and review same					
07-02-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	inications - emails w E	Berger re BCG and discuss intern	ally				
07-04-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	inications - call and er	nails w client and obtain rogs ver	ification and class cert dec docι	isigned			
07-05-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		5.000	525.00	2,625.00
	03 - Discov	ery - review of dep	oosition transcripts						
07-05-2022	Approved		Billable	04 - Deposition	Khattab, Ahmed		4.500	475.00	2,137.50
	04 - Depos relevant toj	ition - meeting/stra bics after review ar	ntegy re depo transcrip nd familiarity with mos	t review; review depo transcripts t relevant issues.	and draft designations for each	based on most			
07-05-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		0.500	475.00	237.50
	03 - Discov	ery - updated the	excerpts from my revie	ew of the Haylor deposition trans	cript.				
07-05-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.200	975.00	195.00
	11 - Corres	pondence/Commu	inications - revised Co	wan doc 3041					
07-05-2022	Approved		Billable	03 - Discovery	Baylet, John		8.500	575.00	4,887.50

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Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 BillableType Date Status Professional Approval Task Start Stop Duration Rate Amount Oclaro, Inc. <u>Merger</u> 03 - Discovery Review and analyze discovery and case files; draft and edit supplemental responses re contention ROGs 07-05-2022 Approved Billable 03 - Discovery Schreiner, Miles 8.800 850.00 7,480.00 03 - Discovery - review/analyze hot docs and deposition transcripts 07-06-2022 Billable 03 - Discovery Scarpa, Rossella 1.000 475.00 475.00 Approved 03 - Discovery - organized the Haylor deposition excerpts outline. Billable 03 - Discovery Scarpa, Rossella 3,800.00 07-06-2022 Approved 8.000 475.00 03 - Discovery - reviewed the Mangan deposition transcript and recorded helpful quotes. 07-06-2022 Approved Billable 04 - Deposition Khattab, Ahmed 6.300 475.00 2,992.50 04 - Deposition - review depo transcripts and draft designations 07-06-2022 Billable 03 - Discovery Lerner, Jonathan 12.000 525.00 6,300.00 Approved

			2	•			
	03 - Discovery - review of depos	sition transcripts					
07-06-2022	Approved	Billable	03 - Discovery	Schreiner, Miles	7.400	850.00	6,290.00
	03 - Discovery - review/analyze documents/transcripts in conne		errogatory responses; emails/m	eetings with john and juan re same; review			
07-06-2022	Approved	Billable	03 - Discovery	Baylet, John	6.300	575.00	3,622.50
	03 - Discovery Review and analyze discovery associate and managing partne		nd edit supplemental responses	re contention ROGs; discuss same with senior			
07-06-2022	Approved	Billable	03 - Discovery	Baylet, John	0.400	575.00	230.00
	03 - Discovery Review and analyze affidavit fr	om ISS; discuss same	e with managing partner and ser	nior associate			
07-06-2022	Approved	Billable	03 - Discovery	Baylet, John	0.400	575.00	230.00
	03 - Discovery Review and analyze affidavit fr	om Glass Lewis; disci	uss same with managing partne	r and senior associate			
07-06-2022	Approved	Billable	03 - Discovery	Baylet, John	0.200	575.00	115.00
	03 - Discovery Review and analyze BCG trans	script; send exhibits to	TSG				
07-06-2022	Approved	Billable	05 - Motion	Baylet, John	1.800	575.00	1,035.00
	05 - Motion Draft and edit Pltf response to	Oclaro discovery disp	ute letter re contention ROGs				
07-06-2022	Approved	Billable	04 - Deposition	Monteverde, Juan	6.500	975.00	6,337.50
	04 - Deposition - receive/review transcripts to team	all transcripts, prepa	re general excerpt outline for ex	pert reports, msj and trial assign depo			
07-06-2022	Approved	Billable	14 - Meeting/Strategy	Monteverde, Juan	0.300	975.00	292.50
	14 - Meeting/Strategy - Chat w	Miles and John re Gla	ass Lewis aff				
07-07-2022	Approved	Billable	03 - Discovery	Lerner, Jonathan	12.000	525.00	6,300.00
	03 - Discovery - review of depos	sition transcripts					
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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
07-07-2022	Approved		Billable	04 - Deposition	Khattab, Ahmed		4.900	475.00	2,327.50
	04 - Deposit	ion - depo transcri	ipt review and draft de	esignations for use in expert repo	rts.				
07-07-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyze	and review - Rev	iew for filing oppositio	on to discovery issue					
07-07-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.800	475.00	1,330.00
	03 - Discove	ery - continued rev	iewing the Mangan de	eposition transcript and finalizing	the outline with excerpts.				
07-07-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		7.300	850.00	6,205.00
	03 - Discove documents/t	ery - review/analyz ranscripts in conn	e/edit supplemental in ection with same; rev	nterrogatory responses; emails/m ise/edit discovery response letter	eetings with john and juan to court	re same; review			
07-08-2022	Approved		Billable	04 - Deposition	Khattab, Ahmed		3.100	475.00	1,472.50
		ion -review and ar nd draft designation		e issues in connection with review	w of deposition transcripts;	review deposition			
07-08-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		12.000	525.00	6,300.00
	03 - Discove	ery - review of dep	osition transcripts						
07-11-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyze	and review - Rev	iew for filing and send	d for chambers copies motions re	administrative issues.				
07-14-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyze	and review - Rec	eipt and review vario	us filing by Oclaro					
07-14-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.200	750.00	150.00
	17 - Case S	chedule - Review	court's order re scheo	luling and update calendar					
07-14-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		1.000	850.00	850.00
	12 - Analyze	and review def re	esponse to motion to	seal and cases cited; email to jua	n re same				
07-14-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.200	975.00	1,170.00
	05 - Motion	- review defs reply	to compel further rog	g responses, confer w team					
07-15-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		5.800	850.00	4,930.00
	03 - Discove	ery - review/analyz	e hot docs and depos	sition transcripts					
07-17-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyze	and review - Rev	riew input regarding d	iscovery issues.					
07-17-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.000	975.00	975.00
	05 - Motion	- outline response	to defs discovery lett	er					
07-17-2022	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		0.700	975.00	682.50
	14 - Meeting	/Strategy - organi	ze discovery and exp	ert next steps, request binders					
07-17-2022	Approved		Billable	13 - Experts	Monteverde, Juan		7.500	975.00	7,312.50
	13 - Experts	- review depo des	signations for expert r	eports					
07-18-2022	Approved		Billable	14 - Meeting/Strategy	Khattab, Ahmed		4.200	475.00	1,995.00

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Time Entries

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
	14 - Meetine	g/Strategy re case	e, depo transcript revie	w and designations and beg	n drafting designations or addit	tional depo transcripts.			
07-18-2022	Approved	, ,,	Billable	14 - Meeting/Strategy	Monteverde, Juan		0.500	975.00	487.50
		g/Strategy - discus	ss depo designations	and update format for Q&A	,				
07-18-2022	Approved		Billable	13 - Experts	Monteverde, Juan		8.000	975.00	7,800.00
	13 - Experts	- locate, review a	and provide document	s request by experts Jeffers	e damages and Lubben re gov	rernance			
07-18-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.500	850.00	8,925.00
	05 - Motion same	- draft/revise moti	ion for class certification	on and legal research re sam	e; review/analyze depo transcri	ipts and documents re			
07-19-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.900	475.00	2,327.50
		ery - analyze and s; meeting/strateg		s and draft depo designation	s for use in expert reports, edit	prior depo			
07-19-2022	Approved		Billable	05 - Motion	Schreiner, Miles		4.600	850.00	3,910.00
	05 - Motion same	- draft/revise moti	on for class certification	on and legal research re sam	e; review/analyze depo transcri	ipts and documents re			
07-19-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discove	ery - emails w def	s re 4 rfp and prep/cor	nfer re 2017 budget					
07-20-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		2.200	475.00	1,045.00
	03 - Discove	ery - finalize revie	w of depo transcripts a	and draft of depo designation	S.				
07-20-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		4.000	475.00	1,900.00
	03 - Discove	ery - reviewed the	BCG transcript and p	ulled excerpts.					
07-20-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.500	475.00	712.50
	03 - Discove	ery - fixed the forn	natting for the Haylor a	and Mangan depo reviews.					
07-20-2022	Approved		Billable	13 - Experts	Monteverde, Juan		6.700	975.00	6,532.50
		- review damage		and calls re same and stratec					
07-21-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.000	475.00	950.00
		ery - put together	the survey outreach to						
07-21-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		4.900	475.00	2,327.50
		ery - prepare hund	-	to go out to former Oclaro sh					
07-21-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		4.000	525.00	2,100.00
		ery - survey mailir			•• • • •				
07-21-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		0.600	975.00	585.00
		ery - confer and d	iscuss w defs solution	•					
07-21-2022	Approved	1 6/ 1	Billable	05 - Motion	Schreiner, Miles		8.400	850.00	7,140.00
	05 - Motion same	- draft/revise moti		-	e; review/analyze depo transcri	ipts and documents re			
07-22-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		8.000	525.00	4,200.00

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Time Entries

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
	03 - Discov	very - survey mailir	ng project						
07-22-2022	Approved		Billable	03 - Discovery	Khattab, Ahmed		3.900	475.00	1,852.50
	03 - Discov	/ery - assist and p	epare hundreds of su	veys to go out to former Ocalro	shareholders.				
07-22-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		1.000	475.00	475.00
	03 - Discov	very - prepared su	veys for mailing to sha	areholders.					
07-22-2022	Approved		Billable	13 - Experts	Schreiner, Miles		3.200	850.00	2,720.00
	13 - Expert	s - reivew analyze	Prof. Lubben draft rep	port and authorities cited therein;	call with juan re same				
07-22-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.000	975.00	4,875.00
	13 - Expert	s - review/edit Pro	f Lubben report						
07-22-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Comm	unications - emails/cal	ls w defs 4 rfp docs					
07-23-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.000	975.00	4,875.00
	13 - Expert	s - update Jeffers	report and provide nev	w 2017 budget and update theor	У				
07-23-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		2.500	975.00	2,437.50
	03 - Discov	/ery - review new p	production from Oclarc	re 2017 budget					
07-25-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		0.800	525.00	420.00
	03 - Discov	very - survey mailir	ng project						
07-26-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	ze and review - Re	view Oclaro's respons	es to discovery production of do	cuments.				
07-26-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discov	very - review R&O	4 rfp from oclaro						
07-26-2022			Billable	13 - Experts	Monteverde, Juan		4.000	975.00	3,900.00
	13 - Expert	s - edit/revise repo	ort of Lubben						
07-26-2022	Approved		Billable	13 - Experts	Schreiner, Miles		3.600	850.00	3,060.00
	13 - Expert support of	s - review/analyze report	draft Lubben report a	nd discovery/depo. transcripts ci	ted; search for additional discove	ery sources in			
07-27-2022	Approved		Billable	13 - Experts	Schreiner, Miles		5.200	850.00	4,420.00
	13 - Expert support of		draft Lubben report a	nd discovery/depo. transcripts ci	ted; search for additional discove	ery sources in			
07-27-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.600	975.00	5,460.00
	13 - Expert	s -Edit and discus	s w team Prof Lubben	report					
07-28-2022	Approved		Billable	13 - Experts	Monteverde, Juan		9.000	975.00	8,775.00
	13 - Expert	s - review damage	es report and calls/ema	ails w Jeffers					
07-28-2022	Approved		Billable	13 - Experts	Schreiner, Miles		9.600	850.00	8,160.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Start Stop Date Status Approval BillableType Task Professional Duration Rate Amount Oclaro. Inc. Merger 13 - Experts - review/analyze draft Lubben report and discovery/depo. transcripts cited; search for additional discovery sources in support of report 07-28-2022 Approved Billable Schreiner, Miles 0.800 850.00 680.00 13 - Experts 13 - Experts call with Mr. Jeffers re expert report and review slides re same; call with juan re same 03 - Discovery 850.00 1.020.00 07-28-2022 Approved Billable Schreiner, Miles 1.200 03 - Discovery meet and confer call with defense counsel re outstanding discovery disputes; post-call discussion with juan re same; review outstanding discovery dispute letters and cases cited re same 07-29-2022 Billable 0.200 750.00 150.00 Approved 12 - Analyze and review Bower, David 12 - Analyze and review - Review of correspondence regarding discovery and motion 07-29-2022 Approved Billable 13 - Experts Schreiner, Miles 8.100 850.00 6.885.00 13 - Experts - review/analyze draft Lubben report and discovery/depo. transcripts cited; search for additional discovery sources in support of report; call with john re case 07-29-2022 Approved Billable 13 - Experts Monteverde, Juan 6.000 975.00 5,850.00 13 - Experts - update/review/confer w Porf Lubben and team re report 07-30-2022 975.00 7.605.00 Approved Billable 13 - Experts Monteverde, Juan 7.800 13 - Experts - Jeffers report, edits and calls 13 - Experts 07-31-2022 Billable 975.00 2,145.00 Approved Monteverde, Juan 2.200 13 - Experts - clean up/edit Lubben report 08-01-2022 Approved Billable 13 - Experts Monteverde, Juan 5.700 975.00 5.557.50 13 - Experts - update/review 2022 numbers and growth rates in Jeffers report, discuss w expert and team 750.00 150.00 08-02-2022 Approved Billable 12 - Analyze and review Bower. David 0.200 12 - Analyze and review - Review of opposition/response re discovery letter 08-02-2022 2.000 475.00 950.00 Approved Billable 05 - Motion Scarpa, Rossella 05 - Motion - worked on PHV application and drafted notice of appearance. 11 - Correspondence/ 08-02-2022 0.300 475.00 142.50 Approved Billable Scarpa, Rossella Communications 11 - Correspondence/Communications - spoke with Miles regarding my motion for PHV. 08-02-2022 Approved Billable 05 - Motion Scarpa, Rossella 0.500 475.00 237.50 05 - Motion - registered for a PACER account with the Northern District of California. 08-02-2022 Approved Billable 05 - Motion Scarpa, Rossella 0.200 475.00 95.00 05 - Motion - filed the PHV motion. 08-02-2022 Billable 05 - Motion 2.400 975.00 2.340.00 Approved Monteverde, Juan

14 - Meeting/Strategy

03 - Discovery

Monteverde, Juan

Schreiner, Miles

Approved

Approved

08-02-2022

08-02-2022

05 - Motion - review/edit response letter brief re shareholder survey

14 - Meeting/Strategy re survey and objection to work product

Billable

Billable

1,462.50

8,160.00

1.500

9.600

975.00

850.00

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Time Entries

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Monteverde & Associates PC

							Fro	om 01-01-2018	To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amoun
Oclaro, I	nc.								
<u>Merger</u>									
					research re same; review/analyz pert reports and class cert motion				
08-03-2022	Approved		Billable	11 - Correspondenc Communications	e/ Scarpa, Rossella		0.300	475.00	142.5
	11 - Corres	pondence/Commu	nications - read throug	gh the discovery letter.					
08-03-2022	Approved		Billable	05 - Motion	Monteverde, Juan		1.500	975.00	1,462.5
	05 - Motion	- review and discu	uss defs response re c	liscovery disputes					
08-04-2022	Approved		Billable	05 - Motion	Scarpa, Rossella		0.500	475.00	237.5
	05 - Motion	- filed Notice of Ap	opearance in connecti	on with my PHV being gra	anted.				
08-04-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		9.200	850.00	7,820.0
					al research re same; review/anal coming expert reports and class o				
08-05-2022	Approved		Billable	03 - Discovery	Scarpa, Rossella		2.500	475.00	1,187.5
	03 - Discov	ery - pulled deposi	tion excerpts regardin	g growth rates.					
08-05-2022	Approved		Billable	11 - Correspondenc Communications	e/ Lerner, Jonathan		3.000	525.00	1,575.0
	11 - Corres	pondence/Commu	nications - edits to let	er; discussion with Miles					
08-05-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		4.000	525.00	2,100.0
	03 - Discov	ery - Lemaitre dep	o excerpts						
08-05-2022	Approved		Billable	03 - Discovery	Schreiner, Miles		10.100	850.00	8,585.0
	03 - Discov reports, and	ery - draft/revise di I deposition transc	iscovery dispute letter ripts in connection wit	re theory of case and leg h same and preparing upo	al research re same; review/analy coming expert reports and class o	yze documents, expert ert motion			
08-05-2022	Approved		Billable	05 - Motion	Monteverde, Juan		3.000	975.00	2,925.0
	05 - Motion	- review/edit/resea	arch re new allegation	s response to def ltr					
08-06-2022	Approved		Billable	13 - Experts	Monteverde, Juan		4.500	975.00	4,387.50
	13 - Experts	s - review/edit Jeffe	ers report						
08-07-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.000	975.00	1,950.00
	13 - Experts	s - review/revise Je	effers report						
08-08-2022	Approved		Billable	03 - Discovery	Lerner, Jonathan		3.000	525.00	1,575.00
	03 - Discov	ery - Lemaitre dep	o review		·				
08-08-2022	Approved		Billable	05 - Motion	Lerner, Jonathan		1.500	525.00	787.5
	05 - Motion	- preparing and fili	ing PHV motion						
08-08-2022	Approved		Billable	13 - Experts	Monteverde, Juan		9.000	975.00	8,775.0
	13 - Experts		nd excel models re de	fs projections for family pr	roducts, review Willis Towers repo	orts on damages			
08-08-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.800	850.00	9,180.0
		- draft/revise class	s certification motion a	nd legal research and rev	iew Karri depo and expert report	s re same			

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro,	Inc.								
Merger									
08-09-2022	Approved		Billable	05 - Motion	Lerner, Jonathan		0.500	525.00	262.50
	05 - Motior	- preparing, filing	notice of appearance						
08-09-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.300	850.00	8,755.00
	05 - Motior	- draft/revise clas	s certification motion a	and legal research and review Ka	ri depo and expert reports re s	ame			
08-09-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.400	975.00	5,265.00
	13 - Expert	s - Jeffers report e	dits and Mangan adde	ed excerpts					
08-10-2022	Approved		Billable	13 - Experts	Monteverde, Juan		6.000	975.00	5,850.00
	13 - Expert	s - edit/revise Prof	Lubben report and ad	ld surveys results					
08-10-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	unications - emails w F	Prof Lubben re finalizing report an	d updating surveys Friday				
08-10-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.900	850.00	9,265.00
	05 - Motior	- draft/revise mot	ion for class certification	on and legal research re same;					
08-10-2022	Approved		Billable	13 - Experts	Schreiner, Miles		1.000	850.00	850.00
	13 - Expert	s - review/analyze	latest draft of Lubben	Report and emails re same					
08-11-2022	Approved		Billable	13 - Experts	Schreiner, Miles		2.200	850.00	1,870.00
	13 - Expert	s - review/analyze	latest draft of expert N	r. Jeffers' report and calls/emailه	re same				
08-11-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.400	850.00	8,840.00
	05 - Motior	- draft/revise clas	s certification motion a	and legal research re same					
08-11-2022	Approved		Billable	05 - Motion	Monteverde, Juan		3.500	975.00	3,412.50
	05 - Motior	- review/edit/conf	er re class cert brief ar	nd economic loss issues w Miles					
08-11-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.000	975.00	4,875.00
	13 - Expert	s - review/edit Jeff	fers report and add BC	G/Tanaka					
08-11-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discov	ery - emails/prep a	and confer w defs re s	urveys and work product docs					
08-12-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Re	view of letter to court f	or filing					
08-12-2022	Approved		Billable	13 - Experts	Monteverde, Juan		12.000	975.00	11,700.00
	13 - Expert 9pm EST	s - review/edit/revi	se/clean up and finaliz	ze Jeffers and Lubben report, ema	ails w defs re time to exchange	and exchange at			
08-12-2022	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		0.500	975.00	487.50
	09 - Settler	ment/Mediation - fo	ollow up email w Yoshi	da and background and expert re	ports highlights				
08-12-2022	Approved		Billable	13 - Experts	Schreiner, Miles		4.200	850.00	3,570.00
	13 - Expert	s - review/analyze	latest drafts of experts	s reports and calls/emails re same	9				
08-12-2022	Approved		Billable	05 - Motion	Schreiner, Miles		7.900	850.00	6,715.00
	05 - Motior	- draft/revise clas	s certification motion a	and legal research and review Ka	ri depo and expert reports re s	ame			

05 - Motion - draft/revise class certification motion and legal research and review Karri depo and expert reports re same

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Time Entries

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, Ir	1C.								
<u>Merger</u>									
08-13-2022	Approved		Billable	05 - Motion	Monteverde, Juan		4.000	975.00	3,900.00
	05 - Motion	- review/edit class	s cert brief, and emails	w Miles re same					
08-13-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
		pondence/Commu ec to sign and ser		re excel sheets to Lubben report	and update survey excel, e	email client updated			
08-14-2022	Approved		Billable	13 - Experts	Monteverde, Juan		8.000	975.00	7,800.00
	13 - Expert	s -review defs exp	ert reports and Rubac	k order to challenge Solomon					
08-14-2022	Approved		Billable	05 - Motion	Schreiner, Miles		4.100	850.00	3,485.00
	05 - Motion	- draft/revise clas	s certification motion a	nd legal research and review Ka	rri depo and expert reports	re same			
08-15-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.200	750.00	150.00
	17 - Case S	Schedule - Review	court's order re discor	very disputes and update calenda	ar.				
08-15-2022	Approved		Billable	05 - Motion	Schreiner, Miles		9.100	850.00	7,735.00
	05 - Motion	- draft/revise clas	s certification motion a	nd legal research re same;					
08-15-2022	Approved		Billable	05 - Motion	Monteverde, Juan		4.500	975.00	4,387.50
	05 - Motion discovery c		t brief and Sai's depo,	follow up on class cert dec from	client, review order from co	urt cancelling			
08-16-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.300	750.00	225.00
	12 - Analyz	e and review - Re	view Motion re Class (Cert.					
08-16-2022	Approved		Billable	13 - Experts	Monteverde, Juan		3.500	975.00	3,412.50
	13 - Expert	s - review Columb	ia Pipleine exclusion c	rder and transcript, discuss inter	nally and expert, confer re J	leffers depo date			
08-16-2022	Approved		Billable	05 - Motion	Monteverde, Juan		0.500	975.00	487.50
	05 - Motion	- logistics to file c	ert motion and deliver	courtesy copies to chambers					
08-16-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres experts	pondence/Commu	inications - emails w d	efs re CMC and new date for it in	n October or November, dep	bo schedule for			
08-16-2022	Approved		Billable	05 - Motion	Schreiner, Miles		9.200	850.00	7,820.00
	05 - Motion	- draft/revise clas	s certification motion a	nd legal research re same; draft	admin motion to seal				
08-18-2022	Approved		Billable	17 - Case Schedule/CMC/ Reports	Monteverde, Juan		0.500	975.00	487.50
	17 - Case S	Schedule emails a	nd stip to adjourn CM0	2					
08-18-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	inications - emails w E	erger re survey and surveyor de	ро				
08-19-2022	Approved		Billable	13 - Experts	Monteverde, Juan		8.000	975.00	7,800.00

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Time Entries

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All View = Original From 01-01-2018 To 10-31-2023

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
	13 - Expert	s - review defs rep	oorts, defs request for	back up and track docs from our	experts, discuss depo schedu	lle w experts			
08-21-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.000	975.00	4,875.00
	13 - Expert	s - review/annotat	e Solomon report, prej	o rebuttal outline and discuss w	Jeffers				
08-22-2022	Approved		Billable	13 - Experts	Monteverde, Juan		3.500	975.00	3,412.50
	13 - Expert damages fo		from Jeffers, review a	nd provide to defs. Confer w Jef	fers on need for response to S	olomon or just			
08-22-2022	Approved		Billable	13 - Experts	Schreiner, Miles		4.600	850.00	3,910.00
	13 - Expert	s - review/analyze	defendants' expert re	port by Mr. Davidoff and docume	ents cited therein;				
08-23-2022	Approved		Billable	13 - Experts	Schreiner, Miles		8.600	850.00	7,310.00
	13 - Expert	s - review/analyze	defendants' expert re	port by Mr. Davidoff and docume	ents cited therein; calls/email w	<i>i</i> ith juan re same			
08-23-2022	Approved		Billable	13 - Experts	Monteverde, Juan		4.000	975.00	3,900.00
	13 - Expert	s - review growth	rate issues, discuss w	Jeffers and assess response to	Solomon				
08-24-2022	Approved		Billable	15 - Research	Schreiner, Miles		3.600	850.00	3,060.00
	15 - Resea recent secu	rch re Judge Dona irities case where	ato class cert orders in Judge Donato granteo	connection with preparing for cl I class cert - Wells Fargo case	ass cert reply, and review/ana	lyze transcript from			
08-26-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Commu	unications - emails w L	ubben and schedule call for Mo	nday re rebuttal				
08-29-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.500	975.00	2,437.50
	13 - Expert	s - prep and discu	ss Solomon report and	response by Lubben					
08-30-2022	Approved		Billable	05 - Motion	Schreiner, Miles		2.200	850.00	1,870.00
	05 - Motion	- review/analyze	def opposition to class	certification and legal research	re same				
08-31-2022	Approved		Billable	05 - Motion	Schreiner, Miles		11.900	850.00	10,115.00
	05 - Motion certification		def opposition to class	certification and legal research	re same; draft/revise reply in s	upport of class			
08-31-2022	Approved		Billable	05 - Motion	Monteverde, Juan		3.000	975.00	2,925.00
	05 - Motion	- review defs opp	to class cert and disc	uss w Miles					
08-31-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	pondence/Comm	unications - emails w d	efs and Miles re reply cert exter	nsion				
09-01-2022			Billable	11 - Correspondence/ Communications	Bower, David		0.200	750.00	150.00
	11 - Corres	pondence/Comm	unications - Follow up	regarding chambers copies on n	notion pending				
09-01-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	view of stipulation	-					
09-01-2022	Approved		Billable	05 - Motion	Schreiner, Miles		10.800	850.00	9,180.00

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05 - Motion - draft/revise reply brief for class certification and legal research re same; review/analyze expert rebuttal reports re same

Schreiner, Miles

05 - Motion - draft/revise reply brief for class certification and legal research re same; review/analyze expert rebuttal reports re same

05 - Motion

Billable

Approved

09-15-2022

8,500.00

10.000

850.00

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
09-16-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyzo	e and review - Re	view correspondence	regarding simultaneous exchang	e of reports.				
09-16-2022	Approved		Billable	05 - Motion	Schreiner, Miles		11.700	850.00	9,945.00
	05 - Motion	- draft/revise reply	y brief for class certific	ation and legal research re same	e; review/analyze expert rebutta	al reports re same			
09-16-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.500	975.00	2,437.50
	13 - Experts	s - finalize Jeffers	and Lubben reports						
09-16-2022	Approved		Billable	05 - Motion	Monteverde, Juan		4.000	975.00	3,900.00
	05 - Motion	- review/edit class	s cert reply						
09-17-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.500	750.00	375.00
	12 - Analyze	e and review - Re	view of reports						
09-19-2022	Approved		Billable	13 - Experts	Monteverde, Juan		7.000	975.00	6,825.00
	13 - Experts	s - review/annotate	e defs rebuttal reports						
09-21-2022	Approved		Billable	11 - Correspondence/ Communications	Bower, David		0.400	750.00	300.00
	11 - Corres	oondence/Commu	unications - Coordinati	on of service of subpoenas					
09-21-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.200	975.00	2,145.00
	13 - Experts	s - emails re back	up to reports, schedul	e depos and send notices of dep	0				
09-26-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	oondence/Commu	unications - emails w L	ubben to coordinate depo and p	rep session				
09-28-2022	Approved		Billable	13 - Experts	Monteverde, Juan		3.600	975.00	3,510.00
	13 - Experts	s - prep and do pr	ep session w Jeffers						
09-28-2022	Approved		Billable	13 - Experts	Schreiner, Miles		2.800	850.00	2,380.00
	13 - Experts	s - meeting with bi	II jeffers to discuss cas	se strategy and upcoming deposition	ition; meeting with juan re same	e			
09-30-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		1.200	850.00	1,020.00
	14 - Meeting strategy	g/Strategy call wit	h Professor Lubben ar	nd Juan re upcoming depositions	; post-expert call with juan to d	iscuss case			
09-30-2022	Approved		Billable	13 - Experts	Monteverde, Juan		3.000	975.00	2,925.00
	13 - Experts	s - prep and condu	uct prep session w Lub	ben					
10-02-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	oondence/Commu	unications - email w de	fs re depos and new surveys					
10-04-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.200	975.00	5,070.00
	13 - Experts	- pull and provide	e all minutes and depo	os to Lubben to refresh and revie	w record for depo				
10-05-2022	Approved		Billable	13 - Experts	Monteverde, Juan		2.500	975.00	2,437.50

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
<u>Merger</u>									
10-07-2022	Approved		Billable	13 - Experts	Monteverde, Juan		6.000	975.00	5,850.00
	13 - Expert	s - prep w Lubben	for his depo, confer w	Jeffers re his depo and proje	ctions issues in Eisfeldt's repor	t			
10-07-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		2.000	850.00	1,700.00
	14 - Meetin strategy	g/Strategy call witl	n Professor Lubben ar	nd Juan re upcoming deposition	ons; post-expert call with juan to	o discuss case			
10-10-2022	Approved		Billable	13 - Experts	Schreiner, Miles		9.100	850.00	7,735.00
	13 - Expert materials	s - prepare for Pro	f. Lubben deposition b	y reviewing all relevant exper	t reports and citations therein a	and related discovery			
10-10-2022	Approved		Billable	13 - Experts	Monteverde, Juan		1.800	975.00	1,755.00
	13 - Expert	s - Stephen Lubbe	n Deposition Preparat	ion					
10-11-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		9.000	975.00	8,775.00
	04 - Depos	ition - Prep and de	fend Stephen Lubben	Deposition					
10-11-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		8.400	850.00	7,140.00
	Deposition	- attend/analyze d	eposition of expert Pro	of. Lubben and meetings with	Juan and expert re same				
10-12-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.800	850.00	680.00
	14 - Meetin	g/Strategy re Mr. 、	leffers' deposition						
10-12-2022	Approved		Billable	13 - Experts	Monteverde, Juan		11.300	975.00	11,017.50
	13 - Expert	s - Andrea Eisfeldt	Deposition Prep and	pull excel and reports, prep Je	effers for depo in office				
10-13-2022	Approved		Billable	13 - Experts	Monteverde, Juan		3.500	975.00	3,412.50
	13 - Expert	s - Prep Hoyer dep	oo and review survey i	ssues					
10-13-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		10.000	975.00	9,750.00
	04 - Depos	ition - prep and de	fend Jeffers depo						
10-13-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		12.700	850.00	10,795.00
	04 - Depos	ition - attend/analy	ze deposition of exper	t Mr. Jeffers and meetings wit	th Juan and expert re same				
10-14-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		3.400	850.00	2,890.00
	04 - Depos	ition attend deposi	tion of Defendants' ex	pert Dr. Hoyer;calls and email	s with Juan re same				
10-14-2022	Approved		Billable	13 - Experts	Monteverde, Juan		5.500	975.00	5,362.50
	13 - Expert	s - Prep Eisfeldt ar	nd discuss issues w Je	effers					
10-14-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		4.500	975.00	4,387.50
	04 - Depos	ition - Prep and co	nduct Hoyer depo						
10-15-2022	Approved		Billable	13 - Experts	Schreiner, Miles		6.100	850.00	5,185.00
	13 - Expert	s - reivew/analyze	Dr. Eisfeldt report and	draft notes of issues					
10-17-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		2.000	850.00	1,700.00
	04 - Depos	ition - assist in pre	paration for Mr. Solom	on deposition by review his e	xpert reports and citations				
10-17-2022	Approved		Billable	13 - Experts	Schreiner, Miles		2.600	850.00	2,210.00
	13 - Expert	s - review email fro	om Bill Jeffers re Solor	non's Articles and review/ana	lyze article sent				

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Time Entries

Monteverde & Associates PC

Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, In	1 C .								
<u>Merger</u>									
10-17-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		7.100	975.00	6,922.50
	04 - Depos	tion - Andrea Eisf	eldt Deposition						
10-18-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		2.200	850.00	1,870.00
	04 - Depos	ition - assist in pre	paration for Mr. Solom	on deposition by review his expe	ert reports and citations				
10-19-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		2.500	850.00	2,125.00
	04 - Depos	tion - assist in pre	paration for Mr. Solom	on deposition by review his expe	ert reports and citations				
10-19-2022	Approved		Billable	13 - Experts	Monteverde, Juan		4.000	975.00	3,900.00
	13 - Expert	s - Solomon prep,	review rough of Lubbe	en depo					
10-20-2022	Approved		Billable	13 - Experts	Monteverde, Juan		6.500	975.00	6,337.50
	13 - Expert	s- Solomon Depo	Prep						
10-20-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		5.200	850.00	4,420.00
	04 - Depos questions	tion - assist in pre	paration for Mr. Solom	on deposition by review his expe	rt reports and preparing outl	ine of issues/			
10-21-2022	Approved		Billable	04 - Deposition	Schreiner, Miles		6.400	850.00	5,440.00
	04 - Depos	tion of defendants	s' expert Professor Sol	omon and calls with juan re strate	egy for same; help juan prepa	are for same			
10-21-2022	Approved		Billable	04 - Deposition	Monteverde, Juan		8.000	975.00	7,800.00
	04 - Depos	tion - Prep and ta	ke Solomon Depositio	1					
11-08-2022	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications - emails re 0	CMC 11/17 and report needed					
11-09-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	view filings with court r	e disclosures by defendants					
11-09-2022	Approved		Billable	05 - Motion	Monteverde, Juan		4.500	975.00	4,387.50
	05 - Motion	- calls w Berger, I	review defs proposed u	undisputed facts, discuss w Miles	and research options/requir	rements			
11-09-2022	Approved		Billable	03 - Discovery	Monteverde, Juan		1.000	975.00	975.00
	03 - Discov	ery - review/draft/	revise CMC report						
11-09-2022	Approved		Billable	06 - Preparation Hearing/ Trial	Schreiner, Miles		2.800	850.00	2,380.00
			l - review/edit case ma to help juan prep for u	nagement statement for upcomin pcoming hearing	ng conference; meetings with	n juan re same; legal			
11-09-2022	Approved		Billable	12 - Analyze and review	Schreiner, Miles		3.100	850.00	2,635.00
		e and review defe dgment standards		t of undisputed facts and proxy re	e same; legal research re su	ch statements and			
11-10-2022	Approved		Billable	12 - Analyze and review	Bower, David		0.200	750.00	150.00
	12 - Analyz	e and review - Re	view of joint case man	agement statement for accuracy.					
11-10-2022	Approved		Billable	14 - Meeting/Strategy	Schreiner, Miles		0.800	850.00	680.00

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Schreiner, Miles

Approved

Billable

03 - Discovery

11-28-2022

3.315.00

850.00

3.900

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Time Entries

Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Date Status Approval BillableType Task Professional Start Stop Duration Rate Amount Oclaro. Inc. Merger 03 - Discovery - review plaintiff's supplemental responses and objections to Oclaro's first interrogatories and legal research re duty to update and exception thereto 12-16-2022 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 5.200 850.00 4,420.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-19-2022 Billable 850.00 5.270.00 Approved 09 - Settlement/Mediation Schreiner, Miles 6.200 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-20-2022 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 8.100 850.00 6,885.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-21-2022 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 10.300 850.00 8,755.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-22-2022 Billable 7.200 850.00 6.120.00 Approved 09 - Settlement/Mediation Schreiner, Miles 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 09 - Settlement/Mediation Schreiner, Miles 12-27-2022 Approved Billable 6.900 850.00 5.865.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same Billable 12-28-2022 Approved 09 - Settlement/Mediation Schreiner, Miles 5.200 850.00 4,420.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-29-2022 Billable 09 - Settlement/Mediation Schreiner, Miles 9.100 850.00 7.735.00 Approved 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 12-30-2022 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 5.400 850.00 4,590.00 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same Billable 01-02-2023 Approved 09 - Settlement/Mediation Monteverde, Juan 6.500 975.00 6,337.50 09 - Settlement/Mediation - review/edit/confer w Miles re statement 01-03-2023 Approved Billable 12 - Analyze and review Bower. David 0.400 750.00 300.00 12 - Analyze and review - Review mediation statement 01-03-2023 Billable 1.500 475.00 712.50 Approved 09 - Settlement/Mediation Scarpa, Rossella 09 - Settlement/Mediation - created the table of contents and table of authorities for the mediation statement, along with cleaning up brief 01-03-2023 Approved Billable 09 - Settlement/Mediation Monteverde, Juan 4.000 975.00 3,900.00 09 - Settlement/Mediation - update/finalize statement and exchange w defs 01-03-2023 09 - Settlement/Mediation Schreiner, Miles 9.200 850.00 7.820.00 Approved Billable 09 - Settlement/Mediation - draft/revise mediation statement and legal research re same 01-03-2023 Billable 2.800 850.00 2.380.00 Approved 12 - Analyze and review Schreiner, Miles

12 - Analyze and review - defendants' mediation statement and Prof. Solomon mediation statement

12 - Analyze and review - defendants' mediation statement and Prof. Solomon mediation statement

12 - Analyze and review

09 - Settlement/Mediation Monteverde, Juan

Schreiner, Miles

Billable

Billable

Approved

Approved

01-04-2023

01-04-2023

2,465.00

2.340.00

2.900

2.400

850.00

975.00

Professional = All (Inactive Included)

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Time Entries

Monteverde & Associates PC

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							Fro	m 01-01-201	3 To 10-31-2023
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
	09 - Settlen	nent/Mediation - re	view/annotate defs st	atement					
01-05-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		2.000	975.00	1,950.00
	09 - Settlen	nent/Mediation - pr	ep and do pre mediat	ion call w Yoshida					
01-05-2023	Approved		Billable	12 - Analyze and review	Schreiner, Miles		3.200	850.00	2,720.00
	12 - Analyz	e and review - defe	endants' mediation sta	atement and Prof. Solomon media	ation statement				
01-06-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		5.300	975.00	5,167.50
	09 - Settlen	nent/Mediation - p	rep for mediation						
01-07-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		8.000	975.00	7,800.00
	09 - Settlen	nent/Mediation - Pr	ep for mediation, draf	ft response to defs points in state	ment and provide Yoshida				
01-07-2023	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		3.400	850.00	2,890.00
	09 - Settlen re same	nent/Mediation - re	vise/edit supplement	to mediation response memo; rei	vew/analyze discovery and	d call/emails with juan			
01-09-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		8.000	975.00	7,800.00
	09 - Settlen	nent/Mediation - pr	ep and travel to LAX	for mediation					
01-10-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		16.000	975.00	15,600.00
	09 - Settlen	nent/Mediation - pr	ep and attend mediat	ion					
01-10-2023	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		0.600	850.00	510.00
	09 - Settlen	nent/Mediation - re	view/analyze defenda	ints' edits to proposed term sheet	and email with juan re sa	me			
01-11-2023	Approved		Billable	15 - Research	Schreiner, Miles		1.400	850.00	1,190.00
	15 - Resea email to jua		to's class settlement o	orders in connection with preparin	ng preliminary approval mo	otion/brief; draft memo/			
01-17-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		3.000	750.00	2,250.00
	12 - Analyz	e and review - Rev	view file, draft stipulati	on of settlement, correspondence	e w/ J. Monteverde				
01-17-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		0.800	975.00	780.00
	09 - Settlen	nent/Mediation - dr	aft notice of settlemer	nt, and edits from defs					
01-18-2023			Billable	12 - Analyze and review	Bower, David		0.100	750.00	75.00
	12 - Analyz	e and review - Rev	view Notice of Settlem	lent					
01-18-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		1.000	975.00	975.00
	09 - Settlen	nent/Mediation - co	onfer w Beth and prov	ide guidance re settlement struct	ure				
01-18-2023	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		0.300	850.00	255.00
	09 - Settlen same	nent/Mediation - re	vise notice of settlem	ent and stipulation adjourning clas	ss cert hearing; emails wit	h defense counsel re			
01-19-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		4.100	750.00	3,075.00
	12 - Analyz	e and review - Dra	ft stipulation of settler	nent, correspondence w/ J. Monte	everde				
01-23-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		2.800	750.00	2,100.00
	12 - Analvz	e and review - Dra	ft stipulation of settler	nent. correspondence w/ J. Monte	everde				

12 - Analyze and review - Draft stipulation of settlement, correspondence w/ J. Monteverde

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Time Entries

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
<u>Merger</u>									
01-24-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		2.400	750.00	1,800.00
	11 - Corres	pondence/Commu	unications - Correspor	ndence w/ J. Monteverde, edits to	stipulation of settlement.				
01-24-2023	Approved		Billable	17 - Case Schedule/CMC/ Reports	Bower, David		0.200	750.00	150.00
	17 - Case S	Schedule - Review	/ court's order and adju						
01-24-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		1.500	975.00	1,462.50
		ment/Mediation - re	eview/edit stip of settle	ement and emails w Beth re same					
01-25-2023	Approved		Billable	15 - Research	Keller, Beth		3.100	750.00	2,325.00
	15 - Resea settlement.		ch re class settlement	s in N.D. California, corresponder	ice w/ J. Monteverde, edits	to stipulation of			
01-25-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corres	pondence/Commu	unications - emails and	d calls w beth re plan of allocation					
01-26-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		2.700	750.00	2,025.00
	12 - Analyz	e and review - Ed	its to stipulation of set	tlement, correspondence w/ J. Mo	onteverde				
01-27-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		2.200	750.00	1,650.00
	11 - Corres	pondence/Commu	unications - Email to D	ef. Counsel, review Stipulation of	Settlement				
01-30-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		4.300	750.00	3,225.00
	12 - Analyz settlement.		view correspondence	w/ J. Monteverde & M. Schreiner,	review local rules, draft ex	xhibits to stipulation of			
01-31-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		2.900	750.00	2,175.00
	11 - Corres	pondence/Commu	unications - Email to D	ef. Counsel, Review File, Calenda	ar Deadlines, Confer w/ J.M	Nonteverde			
01-31-2023	Approved		Billable	15 - Research	Monteverde, Juan		3.600	975.00	3,510.00
	15 - Resea	rch - NDCA guidel	lines for settlements, a	amendments and recent orders fro	om NDCA				
01-31-2023	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		0.300	850.00	255.00
	09 - Settler	ment/Mediation - re	eview recent final appi	roval order from ND Cal in simlar	size common fund and em	ail to juan re same			
02-01-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		3.500	750.00	2,625.00
	11 - Corres	pondence/Commu	unications - w/ J. Mont	everde, Review/Edit Exhibits to S	tipulation of Settlement				
02-01-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	pondence/Commu	unications - emails w t	beth re cy press and plan					
02-02-2023			Billable	12 - Analyze and review	Keller, Beth		4.800	750.00	3,600.00
	12 - Analvz	e and review - Ex	pert Declarations, MTI	D Briefing, Local Rules, draft preli	minary approval brief.				

12 - Analyze and review - Expert Declarations, MTD Briefing, Local Rules, draft preliminary approval brief.

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	nc.								
Merger									
02-06-2023	Approved		Billable	02 - Pleading	Keller, Beth		3.800	750.00	2,850.00
	••	ng - draft prelimina	ary approval brief, lega	l research, review file					
02-07-2023	Approved		Billable	02 - Pleading	Keller, Beth		4.900	750.00	3,675.00
	02 - Pleadir	ng - draft prelimina	ary approval brief, lega	l research, review file					
02-08-2023	Approved		Billable	15 - Research	Keller, Beth		5.300	750.00	3,975.00
	15 - Resea	rch - Corresponde	ence with J. Monteverd	e; legal research regarding prel	iminary approval submission				
02-08-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Comm	unications - calls/email	s w defs re edits and same w B	eth				
02-09-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		8.300	750.00	6,225.00
	12 - Analyz	e and review - Re	view exhibits and stipu	lation edits; review local rules; l	egal research; correspondenc	e with J. Monteverde			
02-09-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.400	975.00	1,365.00
	11 - Corres	pondence/Comm	unications - calls and e	mails re schedule for briefs re a	approval and review/discuss fa	cebook			
02-10-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		2.200	750.00	1,650.00
	12 - Analyz	e and review - Re	view prelim approval b	rief, local rules; edits to stipulat	ion exhibits; corr. with J. Monte	everde			
02-10-2023	Approved		Billable	14 - Meeting/Strategy	Monteverde, Juan		1.000	975.00	975.00
	14 - Meetin	g/Strategy - disco	very/depos						
02-11-2023	Approved		Billable	02 - Pleading	Keller, Beth		2.500	750.00	1,875.00
	02 - Pleadir	ng - Review and e	dit stipulation exhibits;	email corr with defense counse	l re same				
02-13-2023	Approved		Billable	02 - Pleading	Keller, Beth		2.800	750.00	2,100.00
	02 - Pleadir	ng - Edits to prelim	n approval brief, legal r	esearch					
02-14-2023	Approved		Billable	02 - Pleading	Keller, Beth		4.500	750.00	3,375.00
	02 - Pleadir	ng - Edits to prelim	n approval brief, legal r	esearch; draft admin motion; re	view local				
02-15-2023	Approved		Billable	02 - Pleading	Keller, Beth		5.600	750.00	4,200.00
	02 - Pleadir for cites wit	ng - Work on preli hin	minary approval submi	ssion, including exhibit concern	ing local class action guidance	e and review brief			
02-15-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.000	975.00	975.00
	11 - Corres	pondence/Comm	unications - emails/call	s re class notice and admin bid	s, Epiq, Gilardi, Rust, RG2				
02-16-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.500	750.00	375.00
	11 - Corres	pondence/Comm	unications - Correspon	dence with M. Schreiner re prel	im approval; legal research				
02-17-2023	Approved		Billable	05 - Motion	Schreiner, Miles		3.400	850.00	2,890.00
	05 - Motion	- review/revise/ed	dit motion for prelimina	ry apporval and legal research	re same; review case file re sa	ime;			
03-02-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		0.600	750.00	450.00
00-02-2020	Approved		Dillable	12 - Analyze and leview			0.000	750.00	400

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Monteverde	& Associates P	С
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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, I	Inc.								
Merger									
	12 - Analyz	e and review - Co	rr with J. Monteverde;	review and send admin. Motion	re page limits to defense co	ounsel			
03-06-2023	Approved		Billable	05 - Motion	Schreiner, Miles		6.400	850.00	5,440.00
	05 - Motion	- review/revise/ec	lit motion for prelimina	ry approval and legal research	re same				
03-07-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.600	750.00	450.00
	11 - Corres	pondence/Commu	unications - Corr w/ M.	Schreiner and revisions to prel	im ap. Br.				
03-08-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		1.500	750.00	1,125.00
	11 - Corres	pondence/Commu	unications - t.c. J. Mon	teverde; revisions to prelim app	. Br.; legal research				
03-09-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		2.500	750.00	1,875.00
	11 - Corres	pondence/Commu	unications - t.c. M. Sch	reiner; edits to stipulation of set	tlement				
03-10-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		3.600	750.00	2,700.00
		e and review - rev il corr to defense c		o. Br; revise and update stipulat	ion of settlement; email corr	w/ J. Monteverde re			
03-10-2023	Approved		Billable	12 - Analyze and review	Bower, David		0.400	750.00	300.00
	12 - Analyz	e and review - Re	view stipulation and in	put regarding preparation of pa	pers to file for Preliminary ap	proval.			
03-10-2023	Approved		Billable	05 - Motion	Schreiner, Miles		5.600	850.00	4,760.00
	05 - Motion	- revise/edit motio	on for preliminary appr	oval and legal research re same	e				
03-11-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		0.500	750.00	375.00
	12 - Analyz	e and review - rev	iew emails re settleme	ent papers					
03-12-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		1.300	750.00	975.00
	12 - Analyz	e and review - rev	iew edits to prelim ap	or. br.					
03-12-2023	Approved		Billable	05 - Motion	Monteverde, Juan		3.000	975.00	2,925.00
	05 - Motion	- prel approval ed	lits						
03-14-2023	Approved		Billable	02 - Pleading	Keller, Beth		1.400	750.00	1,050.00
	02 - Pleadir	ng - work on claim	s admin decl and ema	il corr re same					
03-15-2023	Approved		Billable	12 - Analyze and review	Keller, Beth		8.300	750.00	6,225.00
		e and review - Re nd J. Monteverde	vise and circulate stip	ulation of settlement; edits/revis	ions to brief and exhibits; t.c.	. S. German; t.c. M.			
03-16-2023	Approved		Billable	02 - Pleading	Keller, Beth		3.200	750.00	2,400.00
	02 - Pleadir	ng - Finalize prelim	n approval submission	; email corr w/ M. Schreiner and	J. Monteverde				
03-16-2023	Approved		Billable	05 - Motion	Monteverde, Juan		1.600	975.00	1,560.00
	05 - Motion	- update prel app	brief and emails w de	fs re email to class members w	notices.				
03-28-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	nondence/Comm	inications w RG2 re n	otential notice and timing					

11 - Correspondence/Communications w RG2 re potential notice and timing

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Oclaro, I	nc.								
<u>Merger</u>									
04-04-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.100	750.00	75.00
	11 - Corres	pondence/Comm	unications - email corr	to M. Schreiner re settlement					
04-19-2023	Approved		Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		6.000	975.00	5,850.00
	06 - Prepar	ation Hearing/Tria	al - travel to sfo and pr	ep prel app					
04-20-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.600	750.00	450.00
	11 - Corres	pondence/Comm	unications - Email corr	w/ J. Monteverde re settlement	hearing; t.c. M. Schreiner re s	same			
04-20-2023	Approved		Billable	07 - Court Hearing	Monteverde, Juan		1.000	975.00	975.00
	07 - Court I	Hearing - prel app							
04-21-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.200	750.00	150.00
	11 - Corres	pondence/Comm	unications - Review er	mail corr from M. Schreiner w/ leg	al research				
04-21-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		1.500	975.00	1,462.50
	09 - Settler	nent/Mediation - re	eview sharheolde list a	nd calculate potential claim rates					
04-22-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.200	750.00	150.00
	11 - Corres	pondence/Comm	unications - Email cor	r w/ J. Monteverde and M. Schre	iner				
04-22-2023	Approved		Billable	05 - Motion	Monteverde, Juan		3.500	975.00	3,412.50
	05 - Motion	- review/revise p	rel app and prepare οι	utline re damages and liability					
04-24-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.100	750.00	75.00
	11 - Corres	pondence/Comm	unications - email corr	w/ J. Monteverde re prelim appre	oval				
04-25-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.100	750.00	75.00
	11 - Corres	pondence/Comm	unications - email corr	w/ J. Monteverde re prelim appre	oval				
04-25-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.700	975.00	682.50
	11 - Corres	pondence/Comm	unications - prep and c	onfer w defs re vlaue of settleme	nt				
04-25-2023	Approved		Billable	09 - Settlement/Mediation	Monteverde, Juan		2.500	975.00	2,437.50
	09 - Settler	nent/Mediation - re	eview 14a comps						
04-26-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		1.300	750.00	975.00
	12 - Analyz	e and review - re	view and edit prelim ap	op. Br.					
04-26-2023	Approved		Billable	09 - Settlement/Mediation	Schreiner, Miles		1.000	850.00	850.00

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Time Entries

Group By Professional Group Client - Matter = Merger (Active Only) Monteverde & Associates PC Task Code = All View = Original From 01-01-2018 To 10-31-2023 Start Stop Date Status Approval BillableType Task Professional Duration Rate Amount Oclaro. Inc. Merger 09 - Settlement/Mediation - review/revise settlement demand letter and previous case filings re defendants' likely summary judgment arguments for same 11 - Correspondence/ 04-26-2023 Approved Billable Schreiner, Miles 0.200 850.00 170.00 Communications 11 - Correspondence/Communications - review/draft emails re renewed motion for preliminary approval 04-27-2023 Approved Billable 12 - Analyze and review Keller, Beth 2.900 750.00 2,175.00 12 - Analyze and review - review and edit prelim approval br; review demand letter; review proof of claim 04-27-2023 Approved Billable 09 - Settlement/Mediation Schreiner, Miles 0.900 850.00 765.00 09 - Settlement/Mediation - review/revise settlement demand letter and previous case filings re defendants' likely summary judgment arguments for same 04-28-2023 Billable 05 - Motion Schreiner. Miles 3.700 850.00 3.145.00 Approved 05 - Motion - revise/edit motion for prelminary approval; review case file re same and legal research re same 11 - Correspondence/ 05-04-2023 Billable Monteverde, Juan 0.300 975.00 292.50 Approved Communications 11 - Correspondence/Communications - re prel app transcript 4/20/23 975.00 682.50 05-09-2023 Approved Billable 05 - Motion Monteverde, Juan 0.700 05 - Motion - draft stip to exceed pages and emails w defs re same 225.00 05-10-2023 Billable Keller, Beth 0.300 750.00 Approved 12 - Analyze and review 12 - Analyze and review - Review and edit proof of claim; t.c. M. Schreiner 11 - Correspondence/ Approved Billable Monteverde, Juan 1.000 975.00 975.00 05-12-2023 Communications 11 - Correspondence/Communications - w defs re head count re proxy and review lists 05-16-2023 Approved Billable 05 - Motion Schreiner, Miles 850.00 6,970.00 8.200 05 - Motion - revise/edit revised motion for preliminary approval and legal research re same; 05-17-2023 Approved Billable 05 - Motion Schreiner, Miles 10.300 850.00 8.755.00 05 - Motion - revise/edit revised motion for preliminary approval and legal research re same; review addendum and revised claim form 05-18-2023 Approved Billable 05 - Motion Schreiner. Miles 6.100 850.00 5.185.00 05 - Motion - revise/edit revised motion for preliminary approval and legal research re same; 05-18-2023 Approved Billable 05 - Motion Monteverde, Juan 2.200 975.00 2,145.00 05 - Motion - dec RG2 11 - Correspondence/ 05-19-2023 Approved Billable Keller. Beth 0.100 750.00 75.00 Communications 11 - Correspondence/Communications - Email corr re stipulation of settlement addendum 05-19-2023 Billable 05 - Motion 2.500 975.00 2.437.50 Approved Monteverde, Juan 05 - Motion - 14a comps and update table

Schreiner, Miles

Approved

Billable

05 - Motion

05-19-2023

7,310.00

8.600

850.00

Professional = All (Inactive Included)

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Time Er Montever	n tries de & Associates PC					Professional = All (Inactive Included Group By Professional Group Client - Matter = Merger (Active Only Task Code = All View = Origina From 01-01-2018 To 10-31-2023			
Date	Status Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount	
Oclaro, I	nc.								
Merger									
Merger	05 - Motion - revise/edit revise emails re same; revise chart c		ary approval and legal research re	e same; review Wickersham	declaration and				
05-21-2023	Approved	Billable	05 - Motion	Monteverde, Juan		4.000	975.00	3,900.00	
	05 - Motion - review/finalize p	rel app motion							
05-22-2023	Approved	Billable	12 - Analyze and review	Keller, Beth		1.000	750.00	750.00	
	12 - Analyze and review - Rev	view preliminary appr	oval brief						
05-22-2023	Approved	Billable	05 - Motion	Schreiner, Miles		6.700	850.00	5,695.00	
			ary approval and legal research re nents; meetings with juan re finaliz		declaration and				
06-11-2023	Approved	Billable	11 - Correspondence/ Communications	Keller, Beth		0.200	750.00	150.00	
	11 - Correspondence/Commu	nications - Email corr	w/ J. Monteverde re reply re preli	minary approval					
06-11-2023	Approved	Billable	02 - Pleading	Keller, Beth		3.200	750.00	2,400.00	
	02 - Pleading - Draft reply re p	oreliminary approval;	email corr w/ J. Monteverde and N	A. Schreiner re same					
06-12-2023	Approved	Billable	05 - Motion	Schreiner, Miles		2.600	850.00	2,210.00	
	05 - Motion - revise/edit reply	re revised motion for	preliminary approval of settlemen	t; legal research re same					
08-16-2023	Approved	Billable	06 - Preparation Hearing/ Trial	Monteverde, Juan		8.000	975.00	7,800.00	
	06 - Preparation Hearing/Trial	and travel to SFO re	prel app						
08-17-2023	Approved	Billable	07 - Court Hearing	Monteverde, Juan		1.000	975.00	975.00	
	07 - Court Hearing - Prel app								
08-20-2023	Approved	Billable	05 - Motion	Monteverde, Juan		0.500	975.00	487.50	
	05 - Motion - update prel app	order							
08-20-2023	Approved	Billable	09 - Settlement/Mediation	Schreiner, Miles		0.600	850.00	510.00	
	09 - Settlement/Mediation - re	view updated settlem	ent documents and emails from ju	uan re same					
08-21-2023	Approved	Billable	09 - Settlement/Mediation	Monteverde, Juan		0.600	975.00	585.00	
	09 - Settlement/Mediation - up	odate notice to the cla	ISS						
08-23-2023	Approved	Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.600	975.00	1,560.00	
	11 - Correspondence/Commu	nications - w rg2 and	set up notice and website						
08-29-2023	Approved	Billable	11 - Correspondence/ Communications	Keller, Beth		0.500	750.00	375.00	
	11 - Correspondence/Commu	nications - Email cor	respondence with claims administ	trator					

09 - Settlement/Mediation Monteverde, Juan

11 - Correspondence/

Communications

Monteverde, Juan

10-31-2023 11:18:20

Approved

Approved

Billable

Billable

09 - Settlement/Mediation - Admin and Rg2 re website and notice

08-29-2023

08-31-2023

975.00

390.00

1.000 975.00

0.400 975.00

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Time Entries

Monteverde & Associates PC

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Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, Ir	1С.								
<u>Merger</u>									
	11 - Corres	oondence/Commu	unications - emails re l	D brokers					
09-11-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.200	975.00	1,170.00
	11 - Corres	oondence/Commu	unications - emails re p	proxy count and SPR from DTC					
09-25-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		1.400	975.00	1,365.00
	11 - Corres	oondence/Commu	unications - calls / ema	ils w class members re settlem	ent				
09-26-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	oondence/Commu	inications - re website	and update non tax code for fo	reigners				
09-30-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.300	975.00	292.50
	11 - Corres	oondence/Commu	inications - class mem	bers					
10-02-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.400	975.00	390.00
	11 - Corres	oondence/Commu	inications - class mem	bers					
10-03-2023	Approved		Billable	05 - Motion	Keller, Beth		6.200	750.00	4,650.00
	05 - Motion	- Draft brief regar	ding attorneys' fees ar	nd expenses/service award to le	ead plaintiff and legal research	i re same			
10-03-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.800	975.00	780.00
	11 - Corres	oondence/Commu	unications - class mem						
10-04-2023	Approved		Billable	05 - Motion	Keller, Beth		5.700	750.00	4,275.00
		- Draft brief regar	· ·	nd expenses/service award to le		i re same			
10-05-2023	Approved	Deathbriater	Billable	05 - Motion	Keller, Beth		6.400	750.00	4,800.00
	05 - Motion	- Draft brief regar	ding attorneys' tees ar	nd expenses/service award to le	ead plaintiπ and legal research	i re same			
10-05-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.500	975.00	487.50
	11 - Corres	oondence/Commu	inications - class mem	bers					
10-06-2023	Approved		Billable	05 - Motion	Keller, Beth		4.000	750.00	3,000.00
	05 - Motion	- Draft brief regar	ding attorneys' fees ar	nd expenses/service award to le	ead plaintiff and legal research	i re same			
10-08-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		1.100	750.00	825.00
	11 - Corres	oondence/Commu	inications - Email corr	with JM re fee brief and review	edits to fee brief				
10-08-2023	Approved		Billable	10 - Fee Petition	Monteverde, Juan		4.200	975.00	4,095.00
	10 - Fee Pe	tition - edit fee bri	ef						
10-09-2023	Approved		Billable	11 - Correspondence/ Communications	Keller, Beth		0.200	750.00	150.00

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Time Entries

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						FIC	1101-01-20	018 10 10-31-2023	
Date	Status	Approval	BillableType	Task	Professional	Start Stop	Duration	Rate	Amount
Oclaro, li	nc.								
Merger									
	11 - Corres	pondence/Commu	nications - Email corr	with JM re fee brief					
10-10-2023	Approved		Billable	05 - Motion	Keller, Beth		1.300	750.00	975.00
	05 - Motion	- Draft Plaintiff De	claration regarding se	rvice award and email corr to J	M and MS re same				
10-10-2023	Approved		Billable	05 - Motion	Monteverde, Juan		1.700	975.00	1,657.50
	05 - Motion	i - draft/review cleir	nt dec, communicate v	v client re settlement approval					
10-10-2023	Approved		Billable	05 - Motion	Schreiner, Miles		1.400	850.00	1,190.00
	05 - Motion	- revise/edit motio	n for final approval an	d legal research re same					
10-18-2023	Approved		Billable	05 - Motion	Schreiner, Miles		2.500	850.00	2,125.00
	05 - Motion	- revise/edit motio	n for final approval of	settlement and legal research i	re same				
10-20-2023	Approved		Billable	11 - Correspondence/ Communications	Monteverde, Juan		0.600	975.00	585.00
	11 - Corres	pondence/Commu	nications - class mem	bers					
10-22-2023	Approved		Billable	05 - Motion	Keller, Beth		1.300	750.00	975.00
	05 - Motion	- Review MS edite	s to fee brief; t.c. JM a	nd MS re same; make addition	al edits and legal research				
10-30-2023	Approved		Billable	11 - Correspondence/ Communications	Scarpa, Rossella		0.300	475.00	142.50
	11 - Corres	pondence/Commu	nications - conferred	with Beth and Miles re Motion fo	or Fees.				
10-30-2023	Approved		Billable	10 - Fee Petition	Monteverde, Juan		1.500	975.00	1,462.50
	10 - Fee Pe	etition - review/fina	lzie fee brief						
						Matter Total	7782.900		5,460,580.00
						Client Total	7782.900		5,460,580.00
						Grand Total	7782.900		5,460,580.00

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Exhibit 3

Case 3:18-cv-03435-JD Document 213-4 Filed 11/02/23 Page 2 of 13 CHAMBERS COPY - DO NOT FILE

All Expenses

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All Expense Code = All View = Original Approval Status = All Selected Expenses = All From 01-01-2018 To 10-30-2023

								1101101-01-2010	10 10-2020
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc.									
<u>Merger</u>									
12-03-2018	Approved		Billable	Court Filling Fees	Monteverde, Juan	150.00	0.00	1.00	150.00
	Court Filling F	ees Chamber Copy (I	Bower Advance)						
12-15-2018	Approved		Billable	Court Filling Fees	Monteverde, Juan	150.00	0.00	1.00	150.00
	Court Filling F	ees California Office	Reimbursement Pay		-mail on Dec. 31 2018				
12-31-2018	Approved		Billable	Court Filling Fees	Monteverde, Juan	150.00	0.00	1.00	150.00
	California Offi	ce Reimbursement Pa	ayment from Bower	E-mail on Dec. 31 2	018				
11-16-2020	Approved		Billable	Subpoena Service Fees	Monteverde, Juan	0.00	0.00	0.00	130.00
	David Bower -	Subpoena Jefferies							
12-24-2020	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	6,604.88
	Value Inc D	amages Expert Travis	Keath - Invoice 107	721					
01-01-2021	Approved		Billable	Subpoena Service Fees	Monteverde, Juan	0.00	0.00	0.00	130.00
	Subpoena Se	rvice Fees - Deutsche	e Bank subpoena se	rvice					
02-28-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	895.00
	CloudNine Inv	oice 108789 - Monthl	y Hosting						
02-28-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	13.98
	CloudNine Inv	oice 108789 - Monthl	y Hosting						
02-28-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	176.61
	CloudNine Inv	oice 108911 - Oclaro	Inc						
02-28-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	134.66
	CloudNine Inv	oice 108915 - Oclaro	Jeffries						
03-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	134.66
	~~			- iddonn					.

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All Expenses

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All Expense Code = All View = Original Approval Status = All Selected Expenses = All From 01-01-2018 To 10-30-2023

								1101101-01-201	01010-00-2020
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc.									
<u>Merger</u>									
	CloudNine Invo	ice 109122 - Oclaro	olnc						
03-31-2021	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	3,669.38
	Value Inc Invoid	ce 10887 - Travis K	eath						
03-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	1,062.81
	Cloudnine Invoi	ice #109209 - Oclaı	o_Jeffries March Ho	sting					
03-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	13.98
	Cloudnine Invoi	ice #109209 - Oclai	olnc March Hosting						
04-19-2021	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	4,700.00
	Griffing Group	aluation Consulting	g						
04-30-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	13.98
	Cloudnine Invoi	ice #109593 - Oclai	oInc April Hosting						
04-30-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	1,062.81
	Cloudnine Invoi	ice #109209 - Oclai	o_Jefferies April Hos	sting					
05-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	167.81
	Cloudnine Mon	thly Hosting May 20	21- Oclaro Deutsche	e Bank					
05-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	111.88
	Cloudnine Mon	thly Hosting May 20	21- OclaroInc						
	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	1,062.81
	Cloudning Mon	thly Hesting May 20	21- Oclaro lefferies						

Cloudnine Monthly Hosting May 2021- OclaroJefferies

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All Expenses

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Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc.	<u>.</u>								
<u>Merger</u>									
06-30-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	167.81
	eDiscovery - F	Review Platform - Clo	oudNine Invoice 110	461 - Monthly Hosti	ng Oclaro_Deutsche_Ba	nk			
06-30-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	992.89
	eDiscovery - F	Review Platform - Clo	oudNine Invoice 110	461 - Monthly Hosti	ng OclaroInc				
06-30-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	895.00
	eDiscovery - F	Review Platform - Clo	oudNine Invoice 110	461 - Monthly Hosti	ng Oclaro_Jefferies				
07-07-2021	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	34,700.00
	Consultants a	nd Experts - Griffing	Group Valuation Co	nsulting 6/7/21-6/24	/21				
07-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	447.50
	CloudNine Inv	voice 110840 - Oclaro	_Deutsche_Bank M	Ionthly Hosting					
07-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	992.89
	CloudNine Inv	voice 110840 - Oclaro	oInc Monthly Hosting	9					
07-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	1,272.58
	CloudNine Inv	voice 110840 - Oclaro	_Jefferies Monthly	Hosting					
07-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	13.98
	CloudNine Inv	voice 111117 - Augus	st Monthly Hosting -	Oclaro_Lumentum					
07-31-2021	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	447.50
	CloudNine Inv	voice 111117 - Augus	st Monthly Hosting -	Oclaro Deutsche E	Bank				

CloudNine Invoice 111117 - August Monthly Hosting - Oclaro_Deutsche_Bank

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-	01.1	A I	DULLT	-		D. Lu		110111011-2010	
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
<u>Oclaro, Inc.</u>									
<u>Merger</u>									
				eDiscovery -	Monteverde,				
07-31-2021	Approved		Billable	Review	Juan	0.00	0.00	0.00	992.89
			Man the last in a firm	Platform					
	Cioudinine invo	ice 111117 - August	Monthly Hosting - C						
07-31-2021	Approved		Billable	eDiscovery - Review	Monteverde,	0.00	0.00	0.00	1,272.58
07 01 2021	, ipplotod		Billabio	Platform	Juan	0.00	0.00	0.00	1,272.00
	CloudNine Invo	ice 111117 - August	Monthly Hosting - C	Oclaro_Jefferies					
				eDiscovery -	Monteverde,				
11-30-2021	Approved		Billable	Review	Juan	0.00	0.00	0.00	545.40
	<u>.</u>			Platform					
	CloudNine Invo	ice 112289 - Monthi	y NearReady - Ocla	—	aro_Deutsche_Bank, Oo	clarolnc, Oclaro_Jeffer	es		
01-31-2022	Approved		Billable	eDiscovery - Review	Monteverde,	0.00	0.00	0.00	545.40
01-01-2022	Appioved		Dillabic	Platform	Juan	0.00	0.00	0.00	0-00
	eDiscovery - Re	eview Platform - Clo	udNine Invoice 1129	956 - Monthly NearF	Ready - OclaroInc, Lum	entum, Deutsche_Banl	<, Jefferies		
				eDiscovery -	Montovordo				
02-28-2022	Approved		Billable	Review	Monteverde, Juan	0.00	0.00	0.00	545.40
	2. 2			Platform					
	eDiscovery - Re	eview Platform - Clo	udNine Invoice 1132	•	Ready - OclaroInc, Lum	entum, Deutsche_Banl	<, Jefferies		
03-31-2022	Approved		Billable	eDiscovery - Review	Monteverde,	0.00	0.00	0.00	1,641.78
03-31-2022	Approved		Diliable	Platform	Juan	0.00	0.00	0.00	1,041.70
	eDiscovery - Re	eview Platform - Clo	udNine Invoice 1136		Ready - OclaroInc, Lum	entum, Deutsche Banl	k, Jefferies		
00.04.0000				Court Filling	Monteverde,	0.00	0.00	0.00	450.00
03-31-2022	Approved		Billable	Fees	Juan	0.00	0.00	0.00	150.00
	Court Filling Fe	es - PacTrack Invoid	e PLA30230						
				eDiscovery -	Monteverde,				
04-30-2022	Approved		Billable	Review Platform	Juan	0.00	0.00	0.00	2,360.57
	Discovery R	wiow Blotform Cla	udNino Invoico 1120		Ready - OclaroInc, Privil		atum Doutoobo Bon	k lofforion	
	eDiscovery - Re			eDiscovery -	Cauy - Ocidiolilic, Plivi	lege_Log, DCG, Luffiel		R, JEHEHES	
04-30-2022	Approved		Billable	Review	Monteverde,	0.00	0.00	0.00	83.91
				Platform	Juan				
	eDiscovery - Re	eview Platform - Clo	udNine Invoice 1140	59 - Web Hosting [Data Load Fee				
05-03-2022	Approved		Billable	Depo	Monteverde,	0.00	0.00	0.00	1,607.50
00-00-2022	πρριονοα		Dilabic	Transcripts	Juan	0.00	0.00	0.00	1,007.00

10-31-2023 11:20:14

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All Expenses

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All Expense Code = All View = Original Approval Status = All Selected Expenses = All From 01-01-2018 To 10-30-2023

								11011101-01-201	0 10 10-30-2023		
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount		
<u>Oclaro, Inc.</u>											
<u>Merger</u>											
	Depo Transcri Clifford Edwa		Invoice 2080580, 4/2	20/22 Berkowitz De	position						
05-03-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	765.00		
		Depo Transcripts - TSG Reporting Invoice 2080581, Videography for 4/20/22 Berkowitz Deposition Matthew Chin-Quee, Videographer									
05-10-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	701.70		
	Leslie A. Todo		Invoice 2081087, 4/2	22/22 Karri Depositi	ion						
05-12-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	109.11		
	Overnight Deli	very - Fedex - Binde	rs for Deposition								
05-16-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	10,000.00		
	Consultants ar	nd Experts - Prof. Ste	ephen J. Lubben, Ad	vance Retainer							
05-24-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	285.23		
	Overnight Deli	very - Fedex - Binde	rs for Deposition								
05-24-2022	Approved		Billable	Photocopies	Monteverde, Juan	0.25	0.00	14,320.00	3,580.00		
	Photocopies -	10 Binders, 1432 Pa	ges per Binder, for D	Director Depositions	i.						
05-27-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	8,800.00		
	Consultants ar	nd Experts - Griffing	Group Invoice								
05-31-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	2,696.19		
	eDiscovery - F Deutsche_Bar		oudNine Invoice 1142	210 - Monthly Near	Ready - OclaroInc, Individ	luals, Privilege_Log, B	CG, Lumentum,				
06-01-2022	Approved		Billable	Postage - USPS	Monteverde, Juan	0.00	0.00	0.00	2,216.00		
	Postage - USE	S - Postage in conn	ection with mailed su	irvevs and self-add	ressed stamped envelop	20					

Postage - USPS - Postage in connection with mailed surveys and self-addressed stamped envelopes.

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All Expenses

Monteverde & Associates PC

Professional = All (Inactive Included) Group By Professional Group Client - Matter = Merger (Active Only) Task Code = All Expense Code = All View = Original Approval Status = All Selected Expenses = All From 01-01-2018 To 10-30-2023

								From 01-01-201	8 To 10-30-2023			
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount			
<u>Oclaro, Inc.</u>												
<u>Merger</u>												
06-01-2022	Approved		Billable	Postage - USPS	Monteverde, Juan	0.00	0.00	0.00	1,122.29			
	Postage - USF	PS - Vistaprint envelo	opes in connection wi	th mailed surveys	and self-addressed stam	ped envelopes.						
06-01-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	453.92			
	Overnight Deli	Overnight Delivery - Fedex - Binders for Deposition										
06-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,101.50			
	Depo Transcri	pts - TSG Reporting	Invoice 2083709, 5/2	24/22 Collins Depo	sition							
06-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	715.00			
	Depo Transcri	pts - TSG Reporting	Invoice 2083710, 5/2									
06-07-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,470.05			
	Depo Transcri	pts - TSG Reporting	Invoice 2083779 5/2	5/22 Smith Deposi	tion							
06-07-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	547.50			
	Depo Transcri	pts - TSG Reporting	Invoice 2083779 5/2	5/22 Smith Deposi	tion Videographer							
06-11-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	255.83			
	Overnight Deli	very - Fedex - Binde	rs for Deposition									
06-14-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	317.50			
	Overnight Deli	very - Fedex - Binde	rs for Deposition									
06-15-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	184.26			
	Overnight Deli	very - Fedex - Binde	rs for Deposition									
06-16-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	2,090.00			
	Depo Transcri	pts - TSG Reporting	Invoice 2084876 6/2	/22 Haylor Deposit	lion							
06-16-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,121.25			

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All Expenses

Monteverde & Associates PC

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								11011101-01-20	10 10 10-00-2020
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc.									
<u>Merger</u>									
	Depo Transcri	ots - TSG Reporting	Invoice 2084877 6/2	2/22 Haylor Deposit	ion Videographer				
06-18-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	240.64
	Overnight Deli	very - Fedex - Binde	rs for Deposition						
06-22-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	11,596.25
	Consultants ar	nd Experts - Stepher	J. Lubben for work	from 5/18-6/20					
06-23-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,876.00
	Depo Transcri	ots - TSG Reporting	Invoice 2085476 - 6/	/10/22 Smith Depos	sition				
06-23-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	822.50
	Depo Transcri	ots - TSG Reporting	Invoice 2085477 - 6/	/10/22 Smith Depos	sition Videographer				
06-23-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	198.77
	Overnight Deli	very - Fedex - Binde	rs for Deposition						
06-28-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	822.50
	Depo Transcri	ots - TSG Reporting	Invoice 2085844 - 6/	/28/22 Cowan Dep	osition Videographer				
06-28-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,877.95
	Depo Transcri	ots - TSG Reporting	Invoice 2085848 - 6/	/28/22 Cowan Dep	osition				
06-30-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	2,738.16
	eDiscovery - R Deutsche_Ban		oudNine Invoice 1146	618 - Monthly Near	Ready - OclaroInc, Indivic	luals, Privilege_Log,	BCG, Lumentum,		
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,151.75
	Depo Transcrij	ots - TSG Reporting	Invoice 2086489 - 6/	/14/22 Peterson De	eposition				
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	652.50
	Dono Transcriu	ate TSC Poporting	Invoice 2086400 6	11/22 Potorson Do	position Videographor				

Depo Transcripts - TSG Reporting Invoice 2086490 - 6/14/22 Peterson Deposition Videographer

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All Expenses

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								From 01-01-2018	5 10 10-30-2023
Entered <u>Oclaro, Inc.</u> <u>Merger</u>	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	2,149.35
	Depo Transcript	s - TSG Reporting	Invoice 2086494 - 6/	13/22 Mangan De	position				
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,277.50
	Depo Transcript	s - TSG Reporting	Invoice 2086495 - 6/	13/22 Mangan De	position Videographer				
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,920.00
	Depo Transcript	s - TSG Reporting	Invoice 2086526 - 6/	23/22 Dougherty [Deposition				
07-06-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	965.00
	Depo Transcript	s - TSG Reporting	Invoice 2086530 - 6/	23/22 Dougherty [Deposition Videographer				
07-07-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,801.75
	Depo Transcript	s - TSG Invoice 20	86621 - 6/21/22 LeM	aitre Deposition					
07-07-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	902.50
	Depo Transcript	s - TSG Invoice 20	86622 - 6/21/22 LeM	aitre Deposition V	ideographer				
07-11-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	652.50
	Depo Transcript	s - TSG Reporting	Invoice 2086996 - 6/	24/22 Small Depo	sition Videographer				
07-11-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,240.00
	Depo Transcript	s - TSG Reporting	Invoice 2086998 - 6/	24/22 Small Depo	sition				
07-13-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	609.00
	Depo Transcript	s - TSG Reporting	Invoice 2087181 - 6/	30/22 Tanaka Dep	oosition				
07-13-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	100.00
	Depo Transcript	s - TSG Reporting	Invoice 2087182 - 6/	30/22 Tanaka Dep	oosition Videographer				
07-13-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1.50
	Depo Transcript	s - TSG Reporting	Invoice 2087209- 6/2	•	ition Hyperlinks				
07-31-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	2,738.16

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All Expenses

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Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
<u>Oclaro, Inc.</u>									
<u>Merger</u>									
	eDiscovery - F Deutsche_Ba		oudNine Invoice 1148	93 - Monthly Nearl	Ready - OclaroInc, Individ	uals, Privilege_Log,	BCG, Lumentum,		
08-01-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	50,300.00
	Consultants a	nd Experts - Griffing	Group / William Jeffe	rs July Invoice					
08-01-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	19,743.75
	Consultants a	nd Experts - Stepher	n J. Lubben July Invoi	ce					
08-02-2022	Approved		Billable	Court Filling Fees	Monteverde, Juan	0.00	0.00	0.00	317.00
	Court Filling F	ees - PHV for Rosse	ella Scarpa						
08-08-2022	Approved		Billable	Court Filling Fees	Monteverde, Juan	0.00	0.00	0.00	317.00
	Court Filling F	ees - PHV for Jonath	nan Lerner						
08-15-2022	Approved		Billable	Court Filling Fees	Monteverde, Juan	0.00	0.00	0.00	175.00
	Court Filing F	ees - David Bower (1	/2)						
08-15-2022	Approved		Billable	Court Filling Fees	Monteverde, Juan	0.00	0.00	0.00	175.00
	Court Filing F	ees - David Bower (2	2/2)						
08-31-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
	eDiscovery - F Deutsche_Ba	Review Platform - Clo nk, Jefferies	oudNine Invoice 1152	82 - Monthly Nearl	Ready - OclaroInc, Individ	uals, Privilege_Log,	BCG, Lumentum,		
09-01-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	5,606.25
	Consultants a	nd Experts - Stepher	n J. Lubben August In	ivoice					
09-02-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	95,100.00
	Consultants a	nd Experts - Griffing	Group August Invoice	e - William Jeffers					
09-19-2022	Approved		Billable	Mediation	Monteverde, Juan	0.00	0.00	0.00	1,800.00
	Mediation - Ph	hillips ADR Invoice 2	1838						
09-30-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
				, latonn				_	

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Monteverde & Associates PC

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Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc	<u>.</u>								
<u>Merger</u>									
	eDiscovery - F Deutsche_Ba		oudNine Invoice 1156	633 - Monthly Near	rReady - OclaroInc, Individ	uals, Privilege_Log, E	BCG, Lumentum,		
10-02-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	10,286.25
	Consultants a	nd Experts - Prof. St	ephen J. Lubben Sep	otember Invoice					
10-15-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	140.22
	Overnight Del	ivery - Fedex - Binde	ers for Deposition						
10-21-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,609.45
	Depo Transcr	ipts - TSG Reporting	Invoice 2097304 - 10	0/11/22 Lubben De	eposition				
10-22-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	284.71
	Overnight Del	ivery - Fedex - Binde	ers for Deposition						
10-25-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,709.25
	Depo Transcr	ipts - TSG Reporting	Invoice 2097534 - 10	0/14/22 Jeffers De	position				
10-25-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	400.00
	Depo Transcr	ipts - TSG Reporting	Invoice 2097535 - 10	0/14/22 Jeffers De	position Video				
10-26-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,453.65
	Depo Transcr	ipts - TSG Reporting	Invoice 2097605 - 10	0/14/22 Hoyer Dep	position				
10-26-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	775.00
	Depo Transcr	ipts - TSG Reporting	Invoice 2097609 - 10	0/14/22 Hoyer Dep	oosition Video				
10-26-2022	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	68.00
	Overnight Del	ivery - Fedex - Binde	ers for Deposition						
10-27-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,657.45
	Dana Transar	into TCC Departing	Invoice 2007700 1	0/17/00 Fiefeldt De	nacition				

Depo Transcripts - TSG Reporting Invoice 2097799 - 10/17/22 Eisfeldt Deposition

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								11011101-01-201	8 10 10-30-2023
Entered Oclaro, Inc. Merger	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
10-27-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	902.50
	Depo Transcrip	ts - TSG Reporting	nvoice 2097803 - 10	/17/22 Eisfeldt Dep	osition Video				
10-27-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	17,013.75
	Consultants and	d Experts - Stephen	J. Lubben October I	nvoice					
10-31-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
	eDiscovery - Re Deutsche_Banl		udNine Invoice 1159	31 - Monthly NearF	eady - OclaroInc, Indiv	iduals, Privilege_Log, I	BCG, Lumentum,		
11-01-2022	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	33,141.00
	Consultants and	d Experts - Jeffers C	ctober Invoice						
11-04-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	1,679.60
	Depo Transcrip	ts - TSG Reporting	nvoice 2098590 - 10	/21/22 Solomon De	position				
11-04-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	840.00
	Depo Transcrip	ts - TSG Reporting	nvoice 2098591 - 10	/21/22 Solomon De	position Video				
11-04-2022	Approved		Billable	Depo Transcripts	Monteverde, Juan	0.00	0.00	0.00	75.00
	Depo Transcrip	ts - TSG Reporting	nvoice 2098595 - 10	/21/22 Solomon De	eposition Stream				
11-30-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
	eDiscovery - Re Deutsche_Banl		udNine Invoice 1161	99 - Monthly NearF	eady - OclaroInc, Indiv	riduals, Privilege_Log, I	BCG, Lumentum,		
12-31-2022	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
	eDiscovery - Re Deutsche_Banl		udNine Invoice 1165	46 - Monthly NearF	eady - OclaroInc, Indiv	iduals, Privilege_Log, I	BCG, Lumentum,		
01-31-2023	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16

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								1101110110120	10 10 10-30-2023
Entered	Status	Approval	BillableType	Expense	Professional	Price	Mark Up %	Qty	Amount
Oclaro, Inc.									
Merger									
	eDiscovery - I Deutsche_Ba		udNine Invoice 1168	27 - Monthly Near	Ready - OclaroInc, Individ	duals, Privilege_Log, E	3CG, Lumentum,		
02-15-2023	Approved		Billable	Consultants and Experts	Monteverde, Juan	0.00	0.00	0.00	1,360.00
	Consultants a	ind Experts - PhillipsA	DR Invoice 22633 -	Outstanding Balan	се				
02-28-2023	Approved		Billable	eDiscovery - Review Platform	Monteverde, Juan	0.00	0.00	0.00	769.16
	eDiscovery - I Deutsche_Ba		udNine Invoice 1171	86 - Monthly Near	Ready - OclaroInc, Individ	duals, Privilege_Log, E	3CG, Lumentum,		
05-16-2023	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	112.92
	Overnight Del	livery - Fedex - Cham	ber Copies for Revis	ed Motion for Preli	minary Approval				
05-23-2023	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	112.92
	Fedex of Cha	mber Copies, Revised	d Motion for Prelimin	ary Approval of Se	ttlement				
06-12-2023	Approved		Billable	Overnight Delivery - Fedex	Monteverde, Juan	0.00	0.00	0.00	63.32
	Overnight Del	livery - Fedex - Cham	ber Copies for Reply	Brief re Motion for	Preliminary Approval				
								Aatter Total Client Total	397,680.55 397,680.55
							(Grand Total	397,680.55

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Exhibit 4

MONTEVERDE & ASSOCIATES PC

Firm Résumé

NEW YORK OFFICE The Empire State Building 350 Fifth Avenue, Suite 4405 New York, NY 10118 Tel: (212) 971-1341 Fax: (212) 202-7880 CALIFORNIA OFFICE 600 Corporate Pointe 600 W. Corporate Pointe, Suite 1170 Culver City, CA 90230 Tel: (213) 446-6652 Fax: (212) 202-7880

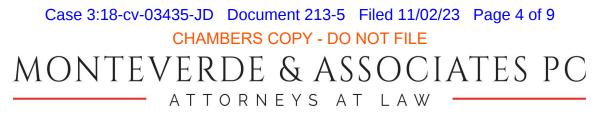
Case 3:18-cv-03435-JD Document 213-5 Filed 11/02/23 Page 3 of 9 CHAMBERS COPY - DO NOT FILE MONTEVERDE & ASSOCIATES PC ATTORNEYS AT LAW

Monteverde & Associates PC was founded in 2016 and is a national class action law firm committed to protecting shareholders from corporate wrongdoing. The firm has significant experience litigating Mergers & Acquisitions and Securities Class Actions, protecting investors and recovering damages in the process. The legal team at the firm is passionate about all its cases and works tirelessly to obtain the best possible outcome for its clients and all shareholders. The firm is recognized as a preeminent securities firm listed in the Top 50 in the 2018-2022 ISS Securities Class Action Services Report.

The attorneys at Monteverde & Associates have been involved in a number of cases recovering substantial amounts of money for shareholders or investors through their litigation efforts, including in the selected list of cases below:

TARGET COMPANY ACQUIRED	INCREASED CONSIDERATION OR SETTLEMENT FUND
Anworth (Pending Approval)	\$3 million
American Capital (2018)	\$17.5 million
Apollo Education (2017)	\$54 million
ClubCorp (2019)	\$5 million
Comverge (2017)	\$5.9 million
Education Realty Trust (2022)	\$10 million
EnergySolutions (2014)	\$36 million
Envision Healthcare (2021)	\$17.4 million
Force Protection (2012)	\$11 million
GW Pharmaceuticals (Pending Approval)	\$7.75 million
Hansen Medical (2019)	\$7.5 million
Jaguar Animal (2021)	\$2.6 million
Jefferies Group (2015)	\$70 million
Mavenir Systems (2016)	\$3 million
MRV Communications (2021)	\$1.9 million
Oclaro (Pending Approval)	\$15.25 million
Orchard Enterprises (2014)	\$10.725 million
Papa Murphy's Holdings (2022)	\$2.4 million
Syntroleum (2016)	\$2.8 million
Transgenomic (2020)	\$1.95 million
US Geothermal (2020)	\$6.5 million
West Marine (2020)	\$2.5 million

Monteverde & Associates has also changed the law in the 9th Circuit, by lowering the standard of liability under Section 14(e) of the Exchange Act from scienter to negligence to better protect shareholders. *Varjabedian v. Emulex Corp.*, 888 F.3d 399 (9th Cir. 2018). Thereafter, the firm preserved this victory (after oral argument) by obtaining dismissal of a writ of certiorari as improvidently granted at the United States Supreme Court. *Emulex Corp. v. Varjabedian*, 139 S. Ct. 1407 (2019).



Juan E. Monteverde

Mr. Monteverde is the founder and managing partner for the firm. Mr. Monteverde has over a decade of experience advocating shareholder rights. Mr. Monteverde regularly handles high profile M&A cases seeking damages or to maximize shareholder value and has obtained monetary relief for shareholders.

Mr. Monteverde has also broken new ground when it comes to challenging proxies related to compensation issues post Dodd-Frank Act. *Knee v. Brocade Comm'ns Sys., Inc.*, No. 1-12-CV-220249, slip op. at 2 (Cal. Super. Ct. Santa Clara Cnty. Apr. 10, 2012) (Kleinberg, J.) (enjoining the 2012 shareholder vote related to executive compensation proxy disclosures). Mr. Monteverde also argued successfully before the 9th Circuit to change the law and lowered the standard of liability under Section 14(e) of the Exchange Act from scienter to negligence to better protect shareholders. *Varjabedian v. Emulex Corp.*, 888 F.3d 399 (9th Circ 2018).

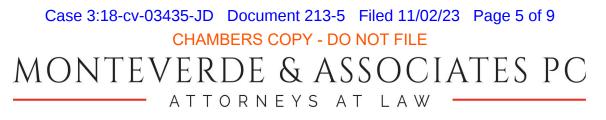
Mr. Monteverde has been selected by Super Lawyers as a New York Metro Rising Star in 2013, 2017 - 2019 and a Super Lawyer in 2022 - 2023, and by Martindale-Hubbell as a Top-Rated Lawyer 2017 - 2023.

Mr. Monteverde has been a keynote speaker at ABA, PLI, ACI and other conferences regarding merger litigation or executive compensation issues. Below is a list of published articles by Mr. Monteverde:

- Fair To Whom? Examining Delaware's Fair Summary Standard
- A Review of Trados and Its Impact
- Emerging Trends in Say-on-Pay Disclosure
- Battling for Say on Pay Transparency

Mr. Monteverde graduated from California State University of Northridge (B.S. Finance) and St. Thomas University School of Law (J.D., *cum laude*), where he served as a Law Review Staff Editor.

Mr. Monteverde is admitted to practice law in the State of New York, 2007.



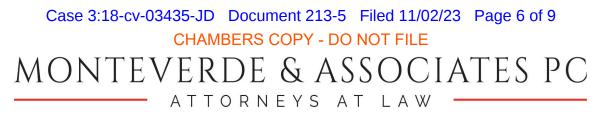
David E. Bower

Mr. Bower is of counsel with the firm since 2016 and has extensive experience in securities and consumer class actions as well as corporate litigation and complex commercial litigation matters.

Mr. Bower has been in the private practice of law since 1981. Prior to forming his own law firm, Law Offices of David E. Bower, in 1996, Mr. Bower practiced for two years with the law firm Hornberger & Criswell where he supervised and coordinated complex business litigation. From 1989 to 1994, he was a partner with the law firm Rivers & Bower where he handled business, construction, real estate, insurance, and personal injury litigation and business and real estate transactions. From 1984 to 1989, he practiced in the insurance bad faith defense and complex litigation department of the Los Angeles, California based law firm of Gilbert, Kelley, Crowley & Jennett. From 1981 to 1984, he practiced law in New York as a partner with the law firm Boysen, Scheffer & Bower. Mr. Bower has extensive trial experience and has tried over 100 cases.

Mr. Bower is a graduate of the Mediation Training Program at UCLA and has a certification in Advanced Mediation Techniques. He has presided in over 200 mediations since becoming certified and is currently on the Los Angeles Superior Court Pay Panel of mediators and arbitrators. He was previously the President of the Board of A New Way of Life Reentry Project, a non-profit serving ex-convicts seeking reentry into society as productive citizens.

Mr. Bower is admitted to practice law in the State of New York, 1982, and California, 1985.



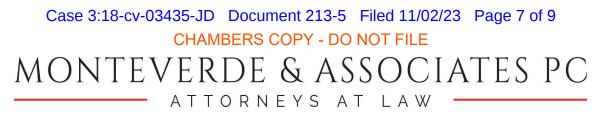
Beth Keller

Ms. Keller is of counsel with the firm since 2018 and has extensive experience in securities class actions as well as corporate governance reform.

For the last 16 years, she has focused her legal practice on shareholder rights litigation. Prior to working with Monteverde & Associates, Ms. Keller was a Partner at Faruqi & Faruqi, LLP, a nationally recognized securities firm based in New York City, where she litigated shareholder class and derivative actions, and served as head of the firm's Shareholder Derivative Litigation Department. She later became a founding Member of the boutique securities firm, Hynes Keller & Hernandez, LLC, where she was involved in all aspects of the firm's shareholder advocacy practice.

Ms. Keller has extensive litigation experience and has served as lead or co-lead counsel in numerous complex cases in which she has achieved substantial corporate governance measures and/or financial recoveries for the corporation and its stockholders.

Ms. Keller is admitted to practice law in the State of New York, 2003 and New Jersey, 2002.



Miles D. Schreiner

Mr. Schreiner is a senior associate with the firm from its inception in 2016. He is experienced in securities and consumer class action litigation, and has been an integral part of litigation teams that have recovered tens of millions of dollars for shareholders and consumers across the country.

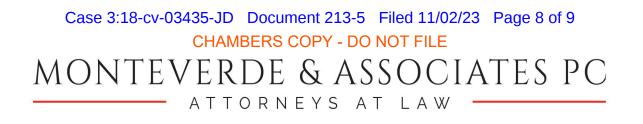
Mr. Schreiner also has significant experience in appellate litigation. Mr. Schreiner successfully argued before the U.S. Court of Appeals for the Eighth Circuit in *Campbell v. Transgenomic, Inc.*, 916 F.3d 1121 (8th Cir. 2019), where he obtained reversal of a district court's order dismissing a Section 14(a) action and prompted the Eighth Circuit to clarify the standard governing misleading statements under the Exchange Act. Moreover, in *Murphy v. Inman*, No. 161454, 2022 Mich. LEXIS 733 (Mich. Apr. 5, 2022), Mr. Schreiner obtained a landmark victory for shareholders by persuading the Michigan Supreme Court to hold that shareholders have standing to bring direct claims for breaches of fiduciary duty when challenging unfair cash-out mergers. And in *Varjabedian v. Emulex Corp.*, 888 F.3d 399 (9th Cir. 2018), Mr. Schreiner was a key member of the team that persuaded the Ninth Circuit to split from five other circuits and adopt a lower culpability standard for claims under Section 14(e) of the Exchange Act.

Mr. Schreiner has also had multiple legal articles published, set forth below:

- Fair To Whom? Examining Delaware's Fair Summary Standard
- The Delaware Courts' Increasingly Laissez Faire Approach To Directorial Oversight
- Money-Back Guarantees Unlikely to Satisfy 'Superiority'
- A Deadly Combination: The Legal Response to America's Prescription Drug Epidemic

Mr. Schreiner is a *cum laude* graduate of Brooklyn Law School, where he was a Dean's Merit Scholar and served as a Law Review Editor. He obtained his undergraduate degree in Political Science from Tulane University, where he graduated *cum laude*.

Mr. Schreiner has been selected by Super Lawyers as a New York Metro Rising Star for 2018-2022. He is admitted to practice law in the State of New York (2013) and New Jersey (2012).



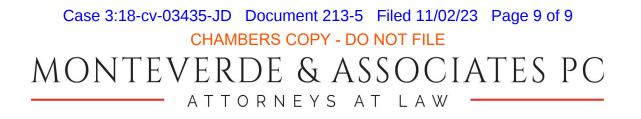
Jonathan Lerner

Mr. Lerner is an experienced class action and civil litigation attorney who currently represents shareholders in cases nationwide.

Before joining the firm, Mr. Lerner worked for a real estate litigation firm, where he handled foreclosure, title, and code violation matters as well as other real estate related proceedings. He also has a successful track record in New York State appellate courts on novel points of law and has transactional experience in the real estate and commercial context. He is deeply interested in science and technology and uses this knowledge to inform his investigations at the firm.

Mr. Lerner is a graduate of St. John's University School of Law, where he was a St. Thomas More Scholar. He obtained his undergraduate degree in Philosophy from the University of St Andrews in Scotland, where he graduated with First Class Honors, the highest academic honor conferred by universities in the United Kingdom.

Mr. Lerner is admitted to practice law in the State of New York, 2019.



Rossella Scarpa

Rossella Scarpa started in the firm in 2019 as a law clerk and became an associate in 2021. She has experience in financial services and securities class action litigation.

Ms. Scarpa graduated from Binghamton University (B.A. Economics and Political Science) in 2017 and from St. John's University School of Law (J.D.) in 2020. During law school, she was the Articles & Notes Editor for the St. John's American Bankruptcy Institute Law Review. Additionally, Ms. Scarpa was co-chair for the 2019 FINRA Triathlon Competition hosted by St. John's. Ms. Scarpa was also a legal intern for the St. John's Securities Arbitration Clinic.

Ms. Scarpa externed for Magistrate Judge Katharine Parker of the United States District Court for the Southern District of New York.

Ms. Scarpa is admitted to practice law in the State of New York, 2021.

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Exhibit 5

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1 2 3 4 5 6 7 8 9	Juan E. Monteverde (admitted <i>pro hac vice</i> , NY Reg MONTEVERDE & ASSOCIATES PC The Empire State Building 350 Fifth Avenue, Suite 4740 New York, New York 10118 Tel: (212) 971-1341 jmonteverde@monteverdelaw.com David E. Bower (SBN 119546) MONTEVERDE & ASSOCIATES PC 600 Corporate Pointe, Suite 1170 Culver City, CA 90230 Tel: (213) 446-6652 dbower@monteverdelaw.com <i>Counsel for Lead Plaintiff and</i>	, No. 4467882)
10	Lead Counsel for the Settlement Class	
11 12	UNITED STATES D FOR THE NORTHERN DIS	
12	SAN FRANCISC	
14		
15	SAISRAVAN BHARADWAJ KARRI, Individually and on Behalf of All Others Similarly Situated,	Case No. 3:18-cv-03435-JD
16	Plaintiff,	Date: February 22, 2024 Time: 10:00 a.m.
17	V.	Courtroom: 11 – 19 th Floor
18	OCLARO, INC., MARISSA PETERSON,	Judge: Hon. James Donato
19	EDWARD COLLINS, GREG DOUGHERTY, KENDALL COWAN, DENISE HAYLOR, IAN	
20	SMALL, BILL SMITH, and JOEL A. SMITH III,	
21 22	Defendants.	
22		
23		
24		
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DECLARATION OF LEAD PLAINTIFF SAISRAVAN BHARADWAJ KARRI

2 || I, Saisravan Bharadwaj Karri, hereby declare as follows:

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1. I am the Court-appointed Lead Plaintiff in the above-captioned class action ("Action"), and I held 4,700 shares as of the Record Date and through consummation of the Merger.

2. I submit this declaration in support of the Settlement and my request for a service award in the
amount of \$5,000 for the time I have spent actively engaged as Lead Plaintiff in this Action.

7 3. During the last four-plus years, I have regularly communicated with Juan E. Monteverde at 8 Monteverde & Associates PC ("Lead Counsel") regarding this Action and participated in the following 9 tasks: (i) reviewed the class action complaint and amended complaints thereafter; (ii) reviewed the 10 motion seeking my appointment as Lead Plaintiff; (iii) reviewed and discussed Defendants' motions to 11 dismiss and motion for judgment on the pleadings; (iv) reviewed and discussed the motion for class 12 certification; (v) participated in discovery including collecting documents, answering interrogatories and 13 requests for admission, and sitting for a four-hour deposition; (vi) considered and discussed potential 14 settlement and mediations; and (vii) reviewed papers related to the settlement.

4. In my role as Lead Plaintiff, I devoted at least 55 hours of my time to this action. Accordingly, I
seek an award of \$5,000 for the time and expenses I incurred directly relating to my representation of
the Settlement Class in this Action.

18 5. I declare under penalty of perjury under the laws of the United States of America that the19 foregoing is true and correct.

20		10 (11 (2022	
21	Dated:	10/11/2023	DocuSigned by:
22			Sai karri Saisravan Bharadwaj Karri
23			Saisiavan Dharadwaj Karri
24			
25			
26			
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28			1
		DECLARATION	OF LEAD PLAINTIFF SAISRAVAN BHARADWAJ KARRI Case No. 3:18-cv-03435-JD